

MR#326202 L10-212

Contains Confidential Business Information

April 14, 2010

Via Facsimile

Ms. Virginia Lee United States Environmental Protection Agency Ariel Rios Building 1200 Pennsylvania Avenue, N.W. Mail Code: 7405M Washington, D.C. 20460

PMN Submission [

COMPANY SANITIZED

Dear Ms. Lee:

Re:

On behalf of our client, [], The Acta Group, L.L.C. (Acta) confirms that [] wishes to convert pending pre-manufacture notice [] to a low volume exemption (LVE) application. With this letter, Acta understands that the documents submitted yesterday to the U.S. Environmental Protection Agency are sufficient to accomplish this change.

If you have any questions or comments, please call me at (202) 266-5031.

Sincerely,

Sheryl Lindros Dolan

The Acta Group, L.L.C.
1203 Nineteenth Street, N.W.
Suite 300
Washington, D.C. 20036
TEL: 202/266-5020 - FAX: 202/557-3836
WEB: WWW.ACTAGROUP.COM

The Acta Group EU, Ltd
Avanta Royal Mills
17 Redhill Street
Ancoats Urban Village
Manchester M4 5BA
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Registered in England No. 5307652 Registered office: The Acta Group EU, Ltd. c/o PKF (UK), Ll.P Sovereign House, Queen Street, Manchester M2. 5HR



April 13, 2010

Via Facsimile

Ms. Virginia Lee United States Environmental Protection Agency Ariel Rios Building 1200 Pennsylvania Avenue, N.W. Mail Code: 7405M Washington, D.C. 20460

Re: PMN Submission [

Dear Ms. Lee:

On behalf of our client, we are forwarding the following materials as discussed:

- Confidential and sanitized replacement pages for EPA Form 7710-25; and
- Confidential and sanitized copies of a Material Safety Data Sheet revised to reflect EPA's comments.

If you have any questions or comments, I can be reached at (202) 266-5031.

Sincerely,

Sheryl Lindros Dolan

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Attachments

The Acta Group, LL.C. 1203 Nineteenth Street, N.W. Suite 300 Washington, D.C. 20036 TEL: 202/266-5020 • FAX: 202/557-3836 WEB: WWW.ACTAGROUP.COM

The Acta Group EU, Ltd Avanta Royal Mills 17 Redhill Street Ancoats Urban Village Manchester M4 5BA TEL: +44 (0) 161 216 4260 • FAX: +44 (0) 161 216 4261 WEB: WWW.ACTAGROUP.COM

> Registered in England No. 5307852 Registered office: The Acta Group EU. Ltd. c/o PKF (UK), LLP Sovereign House, Queen Street, Manchester M2 5HR

0921.002 / 19 / 00059029.DOC

	Form Approved. O.M.B. No. 2070-0012. Approval Expires 10-31-90
U.S. ENVIRONMENTAL PROTECTION AGE	
	Date of receipt
PREMANUFACTUR	DEC 29 2009
WEDA NOTICE	
MED' NOTICE	
When	
completed 5 3 1 0 0 0 0 2 1 2 /	COMPANY SANITIZED
send this form to	COIVIL VIAL OVII ALLICE
	,
Enter the total number of pages in the Premanufacture Notice 1,368	53100000212 EPA case number L-10-212
GENERAL INSTRUCTION	
	s known to or reasonably ascertainable by you. Make reasonable estimates if you do
not have actual data. • Before you complete this form, you should read the "Instructions Manual for	Premanufacture Notification" (the Instructions Manual is available from the Toxic
Substances Control Act (TSCA) Information Service by calling 202-554-1404	4, or faxing 202-554-5603).
If a user fee has been remitted for this notice (40 CFR 700.45), indicate in the your user fee ID number must also appear on your corresponding fee remittan 360399M, Pittsburgh, PA 15251-6399, Atm. TSCA User fee.	e boxes above the TS-user fee identification number you have generated. Remember, nce, which is sent to EPA, Washington Financial Management Center (3303), P.O.
Part I GENERAL INFORMATION	TEST DATA AND OTHER DATA
You must provide the currently correct Chemical Abstracts (CA) Name of the new	You are required to submit all test data in your possession or control and to provide a
chemical substance, even if you claim the identity as confidential. You may authorize another person to submit chemical identity information for you, but your submission will	description of all other data known to or reasonably ascertainable by you, if these data are related to the health and environmental effects on the manufacture, processing, distribution
not be complete and the review will not begin until EPA receives this information. A letter in support of your submission should reference your TS user fee identification	in commerce, use, or disposal of the new chemical substance. Standard literature citations
number. You must submit an original and two copies of this notice including all test data. If you claimed any information as confidential, a single sanitized copy must also be	may be submitted for data in the open scientific literature. Complete test data (written in English), not summaries of data, must be submitted if they do not appear in the open
submitted.	literature. You should clearly identify whether test data is on the substance or on an analog. Also, the chemical composition of the tested material should be characterized.
Part II — HUMAN EXPOSURE AND ENVIRONMENTAL RELEASE	Following are examples of test data and other data. Data should be submitted according to
If there are several manufacture, processing, or use operations to be described in Part II,	the requirements of §720.50 of the Premanufacture Notification Rule (40 CFR Part 720).
sections A and B of this notice, reproduce the sections as needed.	
Part III — LIST OF ATTACHMENTS	Test Data (Check Below any included in this notice)
Attach additional sheets if there is not enough space to answer a question fully. Label each continuation sheet with the corresponding section heading. In Part III, list these	Environmental fate data Yes Other data Yes
attachments, any test data or other data and any optional information included in the	Health effects data
notice.	Environmental effects data Yes Structure/activity relationship
OPTIONAL INFORMATION	Physical/Chemical Properties* Yes Test data not in the possession
You may include any information that you want EPA to consider in evaluating the new substance. On page 11 of this form, space has been provided for you to described	or control of the submitter
pollution prevention and recycling information you may have regarding the new	* A physical and chemical properties worksheet is located on the last page of this form.
substance.	TYPE OF NOTICE (Check Only One)
So-called "binding" boxes are included throughout this form for you to indicate your willingness to be bound to certain statements you make in this section, such as use,	may a superior of the state of
production volume, protective equipment This option is intended to reduce delays that routinely accompany the development of consent orders or Significant New Use	PMN (Premanufacture Notice)
Rules. Except in the case of exemption applications (such as TMEA, LVE, LOREX)	INTERMEDIATE PMN (submitted in sequence with final product PMN)
where certain information provided in such notification is binding on the submitter when the Agency approves the exemption application, checking a binding box in this notice	Chil Int / Cimificant New Has Nation
does not by itself prohibit the submitter from later deviating from the information (except chemical identity) reported in the form.	SNUN (Significant New Use Notice)
	TMEA (Test Marketing Exemption Application)
CONFIDENTIALITY CLAIMS	LVE (Low Volume Exemption) @ 40 CFR 723.50(c)(1)
You may claim any information in this notice as confidential. To assert a claim on the form, mark (X) the confidential box next to the information that you claim as	LOREX (Low Release/Low Exposure Exemption) @ 40 CFR 723.50(c)(2)
confidential. To assert a claim in an attachment, circle or bracket the information you claim as confidential. If you claim information in the notices as confidential, you must	
also provide a sanitized version of the notice, (including attachments). For additional instructions on claiming information as confidential, read the Instructions Manual.	LVE Modification LOREX Modification
more wereing on vianting information as configurate feat the filsuluctors intainal.	IS THIS A CONSOLIDATED PMN? Yes
N	
Mark (x) if any information in this notice is claimed as confidential.	# of chemicals (Prenotice Communication # required, enter # on page 3)

Public reporting burden for this collection of information is estimated to average 110 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Director, Collection Strategies Division (2822), U.S. Environmental Protection Agency, 1200 Pennsylvania Ave., N.W., Washington, D.C. 20460; and to the Office of Management and Budget, Paperwork Reduction Act (2070-0012), Washington, D.C. 20503.

CERTIFICATION -- A Printed copy of this signature page, with original signature, must be submitted

I certify that to the best of my knowledge and belief:

- The company named in Part I, section A, subsection 1a of this notice form intends to manufacture or import for a
 commercial purpose, other than in small quantities solely for research and development, the substance identified in Part I,
 Section B.
- 2. All information provided in this notice is complete and truthful as of the date of submission.
- 3. I am submitting with this notice all test data in my possession or control and a description of all other data known to or reasonably ascertainable by me as required by §720.50 of the Premanufacture Notification Rule.

Additional Certification Statements:	
Town and helping DOOL Internation DOOL Constituted DOOL on DOOL	

If you are submitting a PMN, Intermediate PMN, Consolidated PMN, or SN statement that applies:	IUN, check the following user fee ce	rtification
The Company named in Part I, Section A has remitted the fee of \$2500	specified in 40 CFR 700.45(b), or	
The Company named in Part I, Section A has remitted the fee of \$1000 700.43) in accordance with 40 CFR 700.45(b), or	for an Intermediate PMN (defined @) 40 CFR
The Company named in Part I Section A is a small business concern un in accordance with 40 CFR 700.45(b).	der 40 CFR 700.43 and has remitted	a fee of \$100
If you are submitting a low volume exemption (LVE) application in accordance with 40 C statements:		
The manufacturer submitting this notice intends to manufacture or imporpurposes, other than in small quantities solely for research and developed		
The manufacturer is familiar with the terms of this section and will com	ply with those terms; and	
The new chemical substance for which the notice is submitted meets all	applicable exemption conditions.	
If this application is for an LVE in accordance with 40 CFR 723.50(c)(1) manufacture of the exempted substance for commercial purposes within review period.	1), the manufacturer intends to comm a 1 year of the date of the expiration of	ence of the 30 day
The accuracy of the statements you make in this notice should reflect your best prediction of the anticipa lescribed herein. Any knowing and willful misinterpretation is subject to criminal penalty pursuant to 1	ted facts regarding the chemical substance 8 USC 1001.	Confidential
Signature and title of Authorized Official (Original Signature Required)	Date	
		\boxtimes
Signature of agent - (if applicable)	Date	

	Part I GENERA	L INFORMATION							
Section A SUBM	ITTER IDENTIFICATION			Confi-					
	Mark () the "Confidential" box next to any s		ıtial	dential					
la. Person Submitting Notice (in U.S.)	Name of authorized official Position								
	Company								
	Mailing address (number and street)			_					
	City, State Postal Code	e e e e e e e e e e e e e e e e e e e							
b. Agent (if applicable)	Name of authorized official	Position							
	Company								
	Mailing address (number and street)								
	City, State area code)	Postal Code	Telephone (include						
c. If you are submit	ing this notice as part of a joint submission, mark (X) this bo	Х.							
Joint Submitter (if applicable)	Name of authorized official	Position							
	Company								
	Mailing address (number and street)		City, State						
	Province, Country Post	al Code	Telephone (include area code)						
2. Technical	Name of authorized official	Position							
Contact (in U.S.)	Joseph E. Plamondon, Ph.D.	Senior Scientist							
	Company The Acta Group, L.L.C.								
	Mailing address (number and street)								
	1203 Nineteenth Street, N.W., Suite 300								
	City, State Posta Washington, D.C. 20036-24	al Code 101 (520) 572-39	Telephone (include area code)						
3. If you have had a p	renotice communication (PC) concerning this notice and	(320) 372-39	40						
	Number to the notice, enter the number.		Mark (X)						
substance covered EPA. If you previous	bmitted an exemption application for the chemical by this notice, enter the exemption number assigned by usly submitted a PMN for this substance enter the PMN EPA (i.e. withdrawn or incomplete).		Mark (X)	\boxtimes					
	ed a notice of Bona fide intent to manufacture or import estance covered by this notice, enter the notice number	•	Mark (X)						
6. Type of Notice	- Mark (X) 1. Manufacture Only Binding Option	2. Import Only Binding Option	3. Both						
	Mark (X)	Mark (X)							

Part I – GENERAL INFORMATION – Continued	
Section B - CHEMICAL IDENTITY INFORMATION: You must provide a currently correct Chemical Abstracts (CA) name the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and converted to the ninth Collective Index (9CI) of CA nomenclature rules and	
Mark (X) the "Confidential" box next to any item you claim as confidential	
Complete either item 1 (Class 1 or 2 substances) or 2 (Polymers) as appropriate. Complete all other items.	
If another person will submit chemical identity information for you (for either Item 1 or 2), mark (X) the box at the right. Identify the name, company, and address of that person in a continuation sheet.	Confidential
1. Class 1 or 2 chemical substances (for definitions of class 1 and class 2 substances, see the Instructions Manual)	
a. Class of substance - Mark (X) Class 1 or Class 2	
b. Chemical name (Currently correct Chemical Abstracts (CA) Name that is consistent with TSCA Inventory listings for similar substate. For Class 1 substances a CA Index Name must be provided. For Class 2 substances either a CA Index Name or CA Preferred Name be provided, which ever is appropriate based on CA 9CI nomenclature rules and conventions).	
c. Please identify which method you used to develop or obtain the specified chemical identity information reported in this notice: (che	ck
one). Method 1 (CAS Inventory Expert Service - a copy of the Identification	
d. Molecular formula CBI already exists for the substance	
For a class 1 substance, provide a complete and correct chemical structure diagram. For a class 2 substance, provide a correct represent or partial chemical structure diagram, as complete as can be known, if one can be reasonably ascertained. Please see the E-PMN Instructure diagram software which can be helpful in reviewing your substance.	
Mark (X) this box if you attach a continuation sheet.	

Part I GENERAL INFORMATION – Continued			
Section B CHEMICAL IDENTITY INFORMATION - Continued			
2. Polymers (For a definition of polymer, see the Instructions Manual.)			Confi- dential
a. Indicate the number-average weight of the lowest molecular weight composition of the polymer you intend to manufa	cture.		
Indicate maximum weight percent of low molecular weight species (not including residual monomers, reactants, or so below 1,000 absolute molecular weight of that composition.	olvents) bel	low 500 and	
· · · · · · · · · · · · · · · · · · ·	ify below)		
i) lowest number average molecular weight:			
ii) maximum weight % below 500 molecular weight:			
iii) maximum weight % below 1000 molecular weight:			·····
Mark (X) this box if you attach a continuation sheet.			
 b. You must make separate confidentiality claims for monomer or other reactant identity, composition information, and "Confidential" box next to any item you claim as confidential (1) - Provide the specific chemical name and CAS Registry Number (if a number exists) of each monomer or of the polymer. (2) - Mark (X) this column if entry in column (1) is confidential. (3) - Indicate the typical weight percent of each monomer or other reactant in the polymer. 			
(4) - Type "yes" in the identity column if you want a monomer or other reactant used at two weight percent or le	ess to be lis	sted as part of the	polymer
description on the TSCA Chemical Substance Inventory.		•	
 (5) - Mark (X) this column if entries in columns (3) and (4) are confidential. (6) - Indicate the maximum weight percent of each monomer or other reactant that may be present as a residual commercial purposes. 	in the poly	mer as manufact	ured for
(7) - Mark (X) this column if entry in column (6) is confidential.		T	
Monomer or other reactant and CAS Registry Number Confidential Include in dential composition Identity	Confi- dential	Maximum residual	Confi- dential
$(1) \qquad \qquad (2) \qquad (3) \qquad (4)$	(5)	(6)	(7)
%		%	
%		%	
		. 70	
%		%	
		, ,	
%		%	
%		%	
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%		%	
%	·	%	····
	ļ		
Mark (X) this box if you attach a continuation sheet.	· · · · · · · · · · · · · · · · · · ·		<u> </u>
c. Please identify which method you used to develop or obtain the specified chemical identity information reported in thi	s notice (c	heck one).	CBI
Method 1 (CAS Inventory Expert Service - a copy of the identification report source)	Metho	od 2 (other	
obtained from CAS Inventory Expert Service must be submitted as an attachment to this notice)			
d. The currently correct Chemical Abstracts (CA) name for the polymer that is consistent with TSCA Inventory listings for	or similar p	oolymers.	
e. Provide a correct representative or partial chemical structure diagram, as complete as can be known, if one can be reas- Please see the E-PMN Instruction Manual for discussion of "native format" diagram software which can be helpful in reviewing			·
			•
Mark (X) this box if you attach a continuation sheet.			

Part I GENERAL INFORMATION	Continued	
Section B CHEMICAL IDENTITY INFORMATION Continued		
3. Impurities (a) - Identify each impurity that may be reasonably anticipated to be present in the chemical subst CAS Registry Number if available. If there are unidentified impurities, enter "unidentified." (b) - Estimate the maximum weight % of each impurity. If there are unidentified impurities, estim		e. Provide the
Impurity and CAS Registry Number	Maximum percent	Confi- dential
(a)	(b)	
	%	
	%	
	%	
	%	
	%	
	%	:
	%	
Mark (X) this box if you attach a continuation sheet.		
4. Synonyms - Enter any chemical synonyms for the new chemical identified in subsection 1 or 2.	the state of the s	Confi-
		dential
Made (V) die has if you are the same in the state of		
Mark (X) this box if you attach a continuation sheet. Trade identification - List trade names for the new chemical substance identified in subsection 1 or	2	
The results and the same same so the second results and same second results are same second results an	2.	
Mark (V) this have if you attach a continuation short	•	
Mark (X) this box if you attach a continuation sheet. 6. Generic chemical name - If you claim chemical identify as confidential, you must provide a generic na	me for your substance that reveals the specific	
chemical identity of the new chemical substance to the maximum extent poss Inventory, 1985 Edition, Appendix B for guidance on developing generic nar	ible. Refer to the TSCA Chemical Substance	
Polycyclic polyamine diester organometallic compound		
Mark (X) this box if you attach a continuation sheet.		
7. Byproducts - Describe any byproducts resulting from the manufacture, processing, use, or disposal of Number if available.	the new chemical substance. Provide the CA	S Registry
Byproduct (1)	CAS Registry Number	Confi- dential
	(2)	dential
Mark (X) this box if you attach a continuation sheet.		- wires

		~~~										
					RMATI	<u>ON –</u>	Conti	nued				
Section C PRODUCTION, IMPORT, AND USE INFORMATION:  Mark (X) the "Confidential" box next to any item you claim as confidential.												
1. Production volume Estimate the maximum production volume during the first 12 months of production. Also estimate the maximum												
production volume for any consecutive l substance basis. For a Low Volume Exe kg/yr, specify the volume and mark (x) i	2-mor	nth period n applicat	during the	e first t	hree years o	f produ ur notic	ction. Es	timates s	hould be or	100% ne	ew chemic	cal 0,000
Maximum first 12-month produ	ction	(kg/yr)			Maximum	12-m	onth proc			Con		nding
(100% new chemical substan	ice ba	sis)			(100% ne	w che	nical sub	stance 1	oasis)	den	Ma	ption rk (x)
2. Use Information You must make sepa			1241-24.	C 4l.		- 64		C	1			X
devoted to each category, the formulation claim as confidential.  a. (1) Describe each intended cate (2) Mark (X) this column if entr (3) Indicate your willingness to	n of th gory o ry colu	ne new sul f use of th mn (1) is	ostance, ar e new che confidenti	nd othe mical s al busi	r use inform substance by ness informa	ation. functi ation (C	Mark (X) on and ap CBI).	the "Co	nfidential" l	Box next	to any ite	m you
(4) Estimate the percent of total	produ	ction for t	he first th	ree yea	rs devoted to	o each	category o	of use.				
<ul> <li>(5) Mark (X) this column if entr</li> <li>(6) Estimate the percent of the n</li> </ul>	y in co	olumn (4)	is confide	ntial bu	isiness infor	mation	(CBI).				.e 1	<b>C</b>
commercial purposes at sites	unde	your con	trol associ	iated w	ith each cate	ension egory o	s, emuisie f use.	ons, solu	nons, or ge	is as mani	nactured	ior
(7) Mark (X) this column if entr (8) Indicate % of product volum willingness to have the use t	e expe	ected for the	he listed "	use" se	siness infor ctors. Mark	mation more	(CBI). than one b	oox if app	propriate. N	Mark (X)	to indicat	e your
(9) - Mark (X) this column if entr		in column			dential busi	ness in	formation	(CBI).				
Category of use (1) (by function and application i.e., a dispersive dye for finishing polyester	CBI	Binding Option	Produc- tion %	CBI	% in Form-	CBI		% of sub	stance expec	ted per use	>	CBI
fibers)	(2)	Mark (x) (3)	(4)	(5)	ulation (6)	(7)	Site- limited	Con-*	Industrial	Com- mercial	Binding Option	(9)
				X		$\boxtimes$	mintod	Sume		Moreita	Option	
			%		%							
			%		%					''		
			%		%							
			%		- 0/						_	
			%		%		-			,,, <u>,,,</u>		
					%							
* If you have identified a "consumer" use, please addition include estimates of the concentration of substance loses its identity in the consumer product Mark (X) this box if you attach a continu	of the n luct.	ew chemica	nuation she al substance	et a det	ailed descripti ected in consu	on of th	e use(s) of oducts and	this chem describe t	nical substand he chemical	ce in consu reactions b	mer produ y which th	cts. In
b. Generic use If you claim any categor descriptions Manual for examples of	y of us	e descriptio	on in subsec	ction 2a	as confidentia	al, enter	a generic o	lescription	n of that cate	gory. Read	i the Instru	ictions
Coatings additive at a 1%	conc	entratio	on or les	ss.			" Hav					
Monte (V) shirt and for the state of	n4i === *											
Mark (X) this box if you attach a continu 3. Hazard Information — Include in the notice a conformation which will be provided to any person for the safe handing, transport, use, or disposal conformation.	opy of n who	reasonable is reasonab	ly likely to	be expo	sed to this sui	bstance	regarding	material sa protective	afety data she equipment o	eet, or other	, l op	nding prion rk (x)
Mark (X) this box if you attach hazard in	formati	on.								.,		

Par	t II—HUMAN EXPOSUI	RE AND ENVIRON	MENTAL RELEASE	
Section A – INDUSTRI	AL SITES CONTROLLED BY	THE SUBMITTER	Mark (X) the "Confidential" box next to an claim as confidential	ny item you
			e new chemical substance at industrial site vever, you may still have reporting require	
			hese operations. See instructions manual	
Operation description				Confi-
	e identity of the site at which the ope	ration will occur.		dential
Name				
	mber and street)			
City, County, St				
	occur at more than one site, enter th			1
	ontinuation sheet, and if any of the s or operations, include all the inform			
section for those sites as a		anon requested in this		
	ou attach a continuation sheet.			
b. Type				
Mark (X)	Manufacturing	Processing	Use	
c. Amount and D	Puration Complete 1 or 2 as approp Maximum kg/batch (100% new chemical		Batches/year	-
	substance)	Hours/batch	Batches/year	
1. Batch				<u> </u>
	Maximum kg/batch (100% new chemical substance)	Hours/day	Days/year	
2. Continuous	<u> </u>			
d. Process description	Mark (X) to indic	ate your willingness to have you	r process description binding.	
and feedstocks (include frequency if not used to	he approximate weight (by kg/day or kg/l ling reactants, solvents, catalysts, etc.), a	nd of all products, recycle stream	substance basis), and entry point of all starting ms, and wastes. Include cleaning chemicals (no ament of the new chemical substance.	materials ote
		•		
		<del></del>	<u> </u>	
Mark (X) this box	if you attach a continuation sheet.			

### Part II—HUMAN EXPOSURE AND ENVIRONMENTAL RELEASE - Continued

### Section A -- INDUSTRIAL SITES CONTROLLED BY THE SUBMITTER - Continued

- 2. Occupational Exposure -- You must make separate confidentiality claims for the description of worker activity, physical form of the new chemical substance, number of works exposed, and duration of activity. Mark (X) the "Confidential" box next to any item you claim as confidential.
  - (1) -- Describe the activities (i.e. bag dumping, tote filling, unloading drums, sampling, cleaning, etc.) in which workers may be exposed to the substance.
  - (2) -- Mark (X) this column if entry in column (1) is confidential business information (CBI).
  - (3) -- Describe any protective equipment and engineering controls used to protect workers.
  - (4) and (6) -- Indicate your willingness to have the information provided in column (3) or (5) binding.
  - (5) -- Indicate the physical form(s) of the new chemical substance (e.g., solid, crystal, granule, powder, or dust) and % new chemical substance (if part of a mixture) at the time of exposure.
  - (7) -- Mark (X) this column if entry in column (5) is confidential business information (CBI).
  - (8) -- Estimate the maximum number of workers involved in each activity for all sites combined.
  - (9) -- Mark (X) this column if entry in column (8) is confidential business information (CBI).
  - (10) and (11) -- Estimate the maximum duration of the activity for any worker in hours per day and days per year.

(12) -- Mark (X) this column if entries in columns (10) and (11) are confidential business information (CBI).

(12) Mark (X) this colu	ımn it enti	ries in columns (10) and (11) ar	e connaen	nai business inform	iation (CB)	<i>)</i> .					
Worker activity	CBI	Protective Equipment/	Binding	Physical forms(s)	Binding	CBI	# of	CBI	Maximum	duration	CBI
(e.g., bag dumping, filling drums)	1	Engineering Controls	Option	(e.g., solid:powder)	Option	l	Workers	ĺ	Hrs/day	Days/yr	l
	1		Mark (x)	and % new	Mark (x)	İ	Exposed	İ			ĺ
(1)	(2)	(3)	(4)	substance	(6)	(7)	(8)	(9)	(10)	(11)	(12)
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Mark (X) this box if you attach a continuation sheet.

- 3. Environmental Release and Disposal -- You must make separate confidentiality claims for the release number and the amount of the new chemical substance released and other release and disposal information. Mark (X) the "Confidential" box next to each item you claim as confidential.
  - (1) -- Enter the number of each release point identified in the process description, part II, section A, subsection 1d(3).
  - (2) -- Estimate the amount of the new substance released (a) directly to the environment or (b) into control technology (in kg/day or kg/batch).
  - (3) -- Mark (X) this column if entries in columns (1) and (2) are confidential business information (CBI).
- (4) -- Identify the media (stack air, fugitive air (optional-see Instruction Manual), surface water, on-site or off-site land or incineration, POTW, or other (specify)) to which the new substance will be released from that release point.
- (5) -- a. Describe control technology, if any, and control efficiency that will be used to limit the release of the new substance to the environment. For releases disposed of on land, characterize the disposal method and state whether it is approved for disposal of RCRA hazardous waste. On a continuation sheet, for each site describe any additional disposal methods that will be used and whether the waste is subject to secondary or tertiary on-site treatment. b. Estimate the amount released to the environment after control technology (in kg/day).
  - (6) -- Mark (X) this column if entries in columns (4) and (5) are confidential business information (CBI).
- (7) -- Identify the destination(s) of releases to water. Please supply NPDES (National Pollutant Discharge Elimination System) numbers for direct discharges or NPDES numbers of the POTW (Publicly Owned Treatment Works). Mark (X) if the POTW name or NPDES # is confidential business information (CBI).

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Release Number	Amount of new substance released		CBI	Media of release (e.g. stack air)	Control technology and efficiency (you may wish to	o optionally attac	h efficiency data)	CBI
(1)	(2a)	(2b)	(3)	(4)	(5a)	Binding Mark (X)	(5b)	(6)
						<u> </u>		
					,			
(7) Mark (2		POT	W provi	de name(s)	CBI Navigable Other - Specify		provide NPDES #	CBI
destination( releases to		below:			waterway			
	Mark (X) thi	is box if you a	ttach a	continuation she	et.			

### -HUMAN EXPOSURE AND ENVIRONMENTAL RELEASE – Continued Part II-

Section	R	INDUSTRIAL.	SITES CONTROLLED BY	OTHERS
Section	D	INDUSTRIAL	SILES CONTROLLED BY	OTHERS

secti	on for oper	ations	outside t	he U.S.; h	oweve	er, you must report any proc	essing or	use ac	tivities af	ter import.	See the Ins	truction	is Manual. Complete a separal at more than one site describ	te e the
typic	cal operatio	n con	nmon to th	nese sites.	Ident	ify additional sites on a con	tinuation	sheet.	unce. II ii	ic same ope	ration is po	A LOTTING	at more than one blee deserte	o uno
1. (1 di bo re E	Operation  ) Diagra rums, rail contents elow, providuactants, sol ither in the	Desci m the ars, ta de the vents diagra	ription major uni nk trucks identity, and catal am or in th	To claim: it operatio , etc). On the approx ysts, etc) a he text fiel	inform n step the di cimate and all	nation in this section as con- is and chemical conversions lagram, identify by letter and weight (by kg/day or kg/ba products, recycle streams,	fidential, , includind d briefly atch, on a and wast the poin	circle ng inter describ n 100% es. Inc ts of re	rim storag be each wo % new che lude clean lease, incl	e and transporker activitemical substaining chemicaluding smal	oort contain ty. (2) E tance basis als (note fr 1 or interm	ners (specither in the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of th	you claim as confidential. ecify - e.g. 5 gallon pails, 55 g the diagram or in the text field thry point of all feedstocks (in- y if not used daily or per batch leases, to the environment of t	1(b) cluding ). (3)
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2.	(1)			vironment liagram ab		ease provide the letter for each w	orker act	tivity.	Complete	2-8 for eac	h worker a	ctivity d	escribed.	
	(2)	I	Estimate tl	he number	r of w	orkers exposed for all sites of	combined	i.				•		
	(4) (6)	I	Estimate tl	he typical	durati	ion of exposure per worker i	n (a) hou	ırs per	day and (t	o) days per	year. v protective	equinn	nent and engineering controls.	if anv
used	to protect v			niysicai io	1111 01	exposure and 70 new enem	cai suos	ance (i	111 111176	ic), and an	y protective	oquipii	mone and ongmooning conviction	,
	(7)					new substance as formulat							ut idantified	
	(9) (10)					above, enter the number of the new substance released							nt identified. ology to the environment (in k	g/day
or kg	/batch).												•	
DOT	(12)	- (cnoo	- Describe	e media of	relea	se i.e. stack air, fugitive air gy, if any, that will be used t	(optional	l-see In	structions	Manual), s	urface wate	er, on-si	te or off-site land or incinerati	on,
POI	(14)					ch may result from the oper		iic reica	ise of the i	iew suostai	ice to the c	1141101111	ion.	
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Letter	# of Workers	CBI	1	ation of	CBI	Protective Equip./ Engineering Controls/	% in Form-	CBI	Release Number	ŀ	t of New s Released	CBI	Media of Release & Control Technology	CBI
Act-	Exposed		Exp	osure		Physical Form and % new	ulation							l
ivity (1)	(2)	(3)	(4a)	(4b)	(5)	substance (6)	(7)	(8)	(9)	(10a)	(10b)	(11)	(12)	(13)
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Page 10

Mark (X) this box if you attach a continuation sheet

# OPTIONAL POLLUTION PREVENTION INFORMATION

To claim information in this section as confidential circle or bracket the specific information that you claim as confidential.

In this section you may provide information not reported elsewhere in this form regarding your efforts to reduce or minimize potential risks associated with activities surrounding manufacturing, processing, use and disposal of the PMN substance. Please include new information pertinent to pollution prevention, including source reduction, recycling activities and safer processes or products available due to the new chemical substance. Source reduction includes the reduction in the amount or toxicity of chemical wastes by technological modification, process and procedure modification, product reformulation, raw materials substitution, and/or inventory control. Recycling refers to the reclamation of useful chemical components from wastes that would otherwise be treated or released as air emissions or water discharges, or land disposal. Descriptions of pollution prevention, source reduction and recycling should emphasize potential risk reduction subsequent to compliance with existing regulatory requirements and can be either quantitative or qualitative. The EPA is interested in the information to assess overall net reductions in toxicity or environmental releases and exposures, not the shifting of risks to other environmental media or non-environmental areas (e.g., occupational or consumer exposure). In addition, information on the relative cost or performance characteristics of the PMN substance to potential alternatives may be provided.

All information provided in this section will be taken into consideration during the review of this substance. See Instructions Manual and Pollution Prevention Guidance manual for guidance and examples.

Describe the expected net benefits, such as (1) an overall reduction reduction in the generation of waste materials through recycling, environmental release; (5) an increase in product performance, a in comparison to existing chemical substances used in similar apsubstance that poses a greater overall risk to human health or the	source reduction decrease in the copplication; or (6) to	or other means; (4 ost of production a	) a reduction in p nd/or improved (	ootential toxicity operation efficien	or human expo	sure and/or chemical substance
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Part III ·	_ T TCT	OF	ATT		TENTS
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Attach continuation sheets for sections of the form and test data and other data (including physical/chemical properties and structure/activity information), and optional
information after this page. Clearly identify the attachment and the section of the form to which it relates, if appropriate. Number consecutively the pages of the attachment
In the column below, enter the inclusive page numbers of each attachment. Electronic attachments can be identified by filename. Mark (X) the "Confidential" box next to
any attachment name you claim as confidential. Read the Instructions Manual for guidance on how to claim any information in an attachment as confidential. You must
include with the sanitized copy of the notice form a sanitized version of any attachment in which you claim information as confidential.

	Attachment name	Attachment Filename	Attachment page number(s)	Confi- dential
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Mark (X) this box if you attach a continuation sheet. Enter the attachment name and number. Additional Attachments	Mark (X) this box if you attach a continuation sheet. Enter the attachment name and number. Additional Attachments	<u> </u>		

### PHYSICAL AND CHEMICAL PROPERTIES WORKSHEET

To assist EPA's review of physical and chemical properties data, please complete the following worksheet for data you provide and include it in the notice. Identify the property measured, the page of the notice on which the property appears, the value of the property, the units in which the property is measured (as necessary), and whether or not the property is claimed as confidential. The physical state of the neat substance should be provided. These measured properties should be for the neat (100% pure) chemical substance. Properties that are measured for mixtures or formulations should be so noted (% PMN substance in ___). You are not required to submit this worksheet; however, EPA strongly recommends that you do so, as it will simplify review and ensure that confidential information is properly protected. You should submit this worksheet as a supplement to your submission of test data. This worksheet is not a substitute for submission of test data.

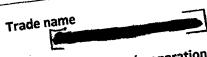
11							
Property (a)	Mark (X) if provided	Page number		Value		Measured or Estimate	Mark (X)
Physical state of neat substance		(b)	(-)	(c)	()	(M or E)	(d)
Vapor pressure  @ Temperature	X		(s)	(l)	(g)		
Density/relative density	X						$\boxtimes$
Solubility  @ Temperature°C  Solvent°C					g/L		
Solubility in water @ Temperature	X						$\boxtimes$
Melting temperature							
Boiling / sublimation temperature at torr pressure							
Spectra							
Dissociation constant							
Particle size distribution							
Octanol / water partition coefficient	X						$\boxtimes$
Henry's Law constant							
Volitalization from water					,		
Volitalization from soil			-				
pH @ concentration							
Flammability	X						
Explodability	X						$\boxtimes$
Adsorption / coefficient							
Other -	х						$\boxtimes$
Other -	Х						$\boxtimes$
Other -	х						$\boxtimes$



Date: 12 April 2010

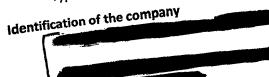
Date of printing: 13 April 2010

1. Identification of the substance/preparation and of the company/undertaking

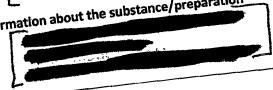


Use of the substance/preparation Industry sector:

Type of use:



Information about the substance/preparation



2. Hazard identification

May cause sensitization by skin contact

3. Composition/information on ingredients

# Chemical characterization

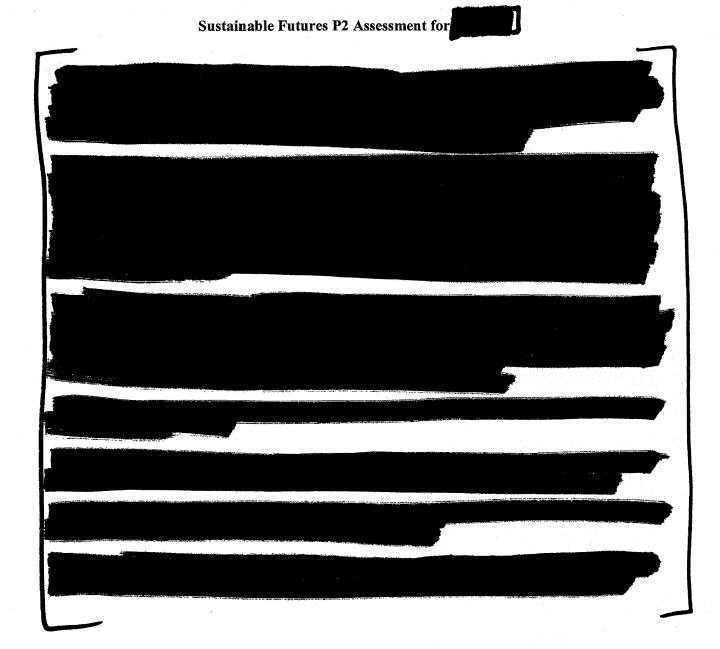
Hazardous ingredients



4. First aid measures

General information

Remove soiled or soaked clothing immediately

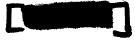


# Sustainable Futures Summary Assessment Using P2 Framework Models

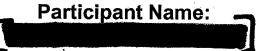
This document was developed to help compile estimation results from U.S. EPA OPPT's P2 Framework Models <a href="www.epa.gov/oppt/p2framework/">www.epa.gov/oppt/p2framework/</a> and is used by OPPT during Sustainable Futures (SF) training described at <a href="www.epa.gov/opptintr/newchems/sustainablefutures.htm">www.epa.gov/opptintr/newchems/sustainablefutures.htm</a>. Participants in the voluntary SF Pilot Project are asked to submit the information contained in this assessment along with their SF PMNs in their choice of format.

Use of this specific format is not mandatory.

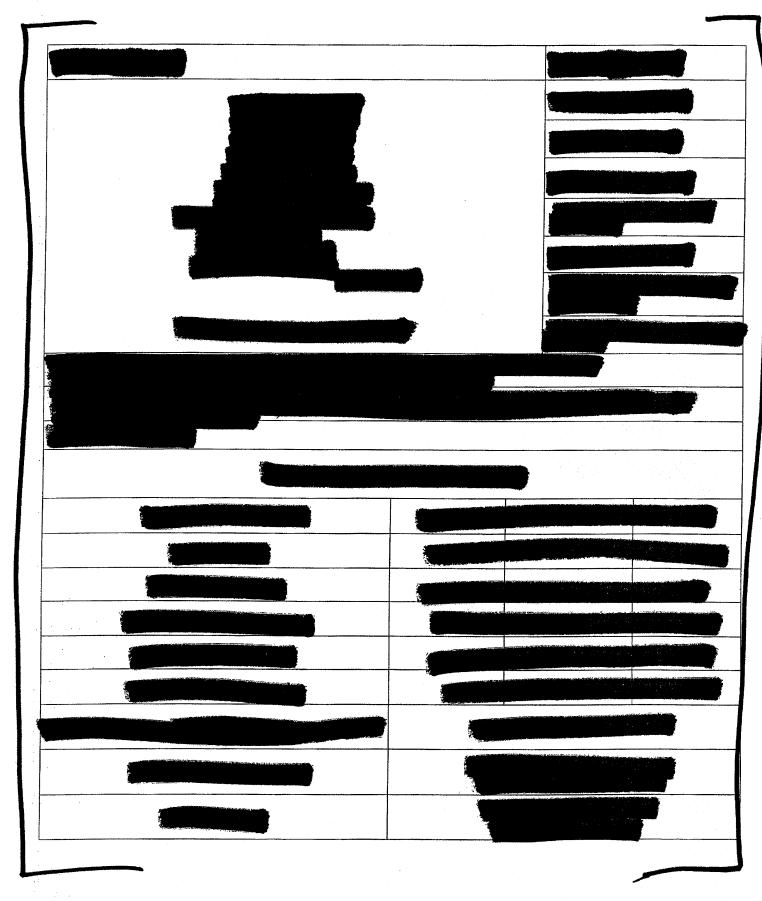
**Chemical Assessed:** 

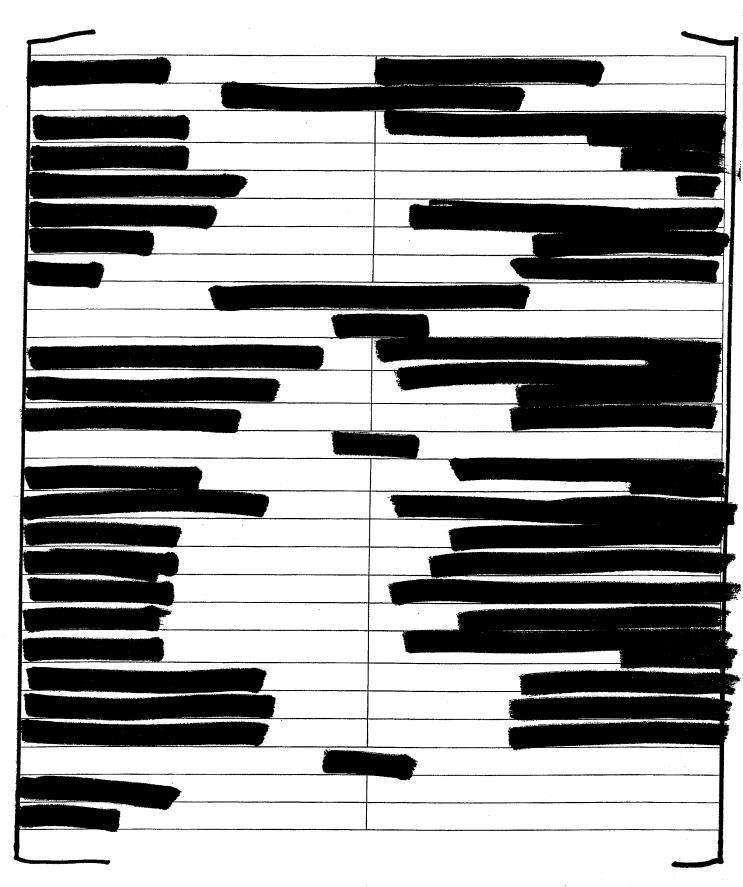


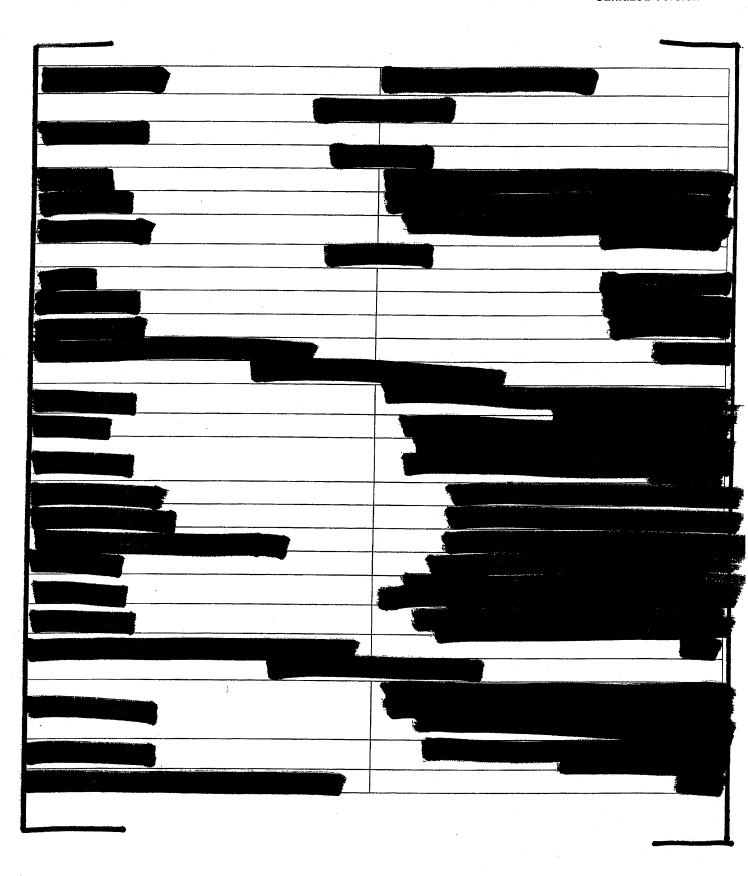
CAS Registry Number:

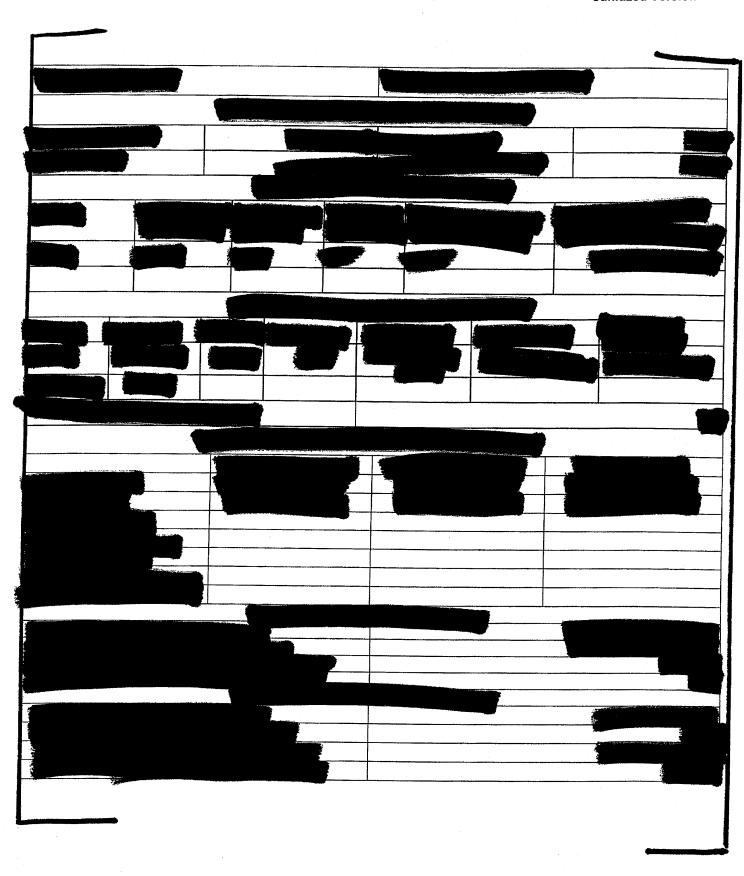


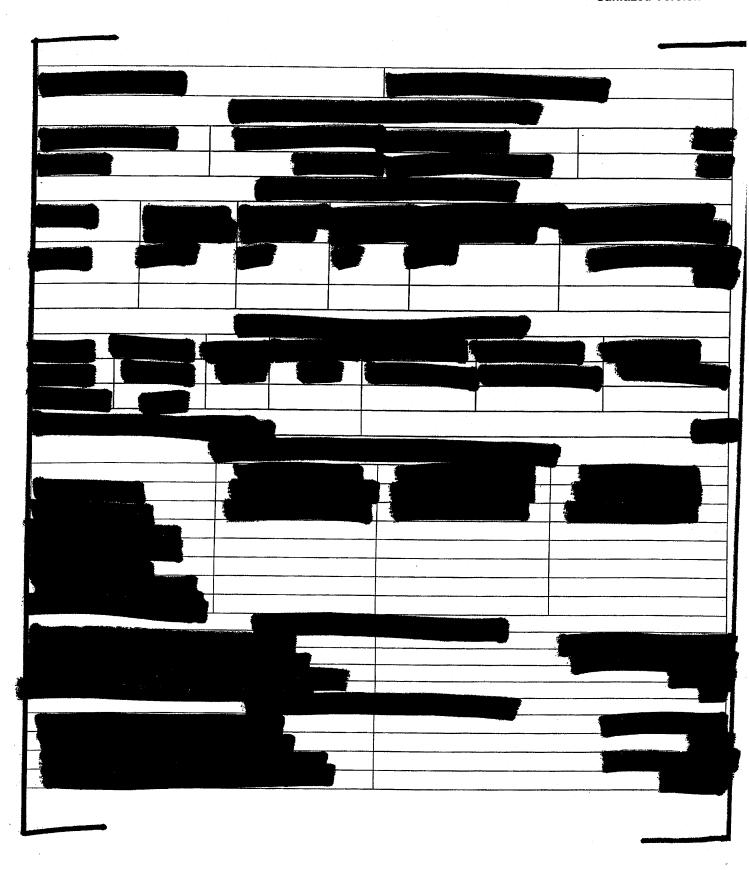
**Date of Assessment:** 11/17/2009

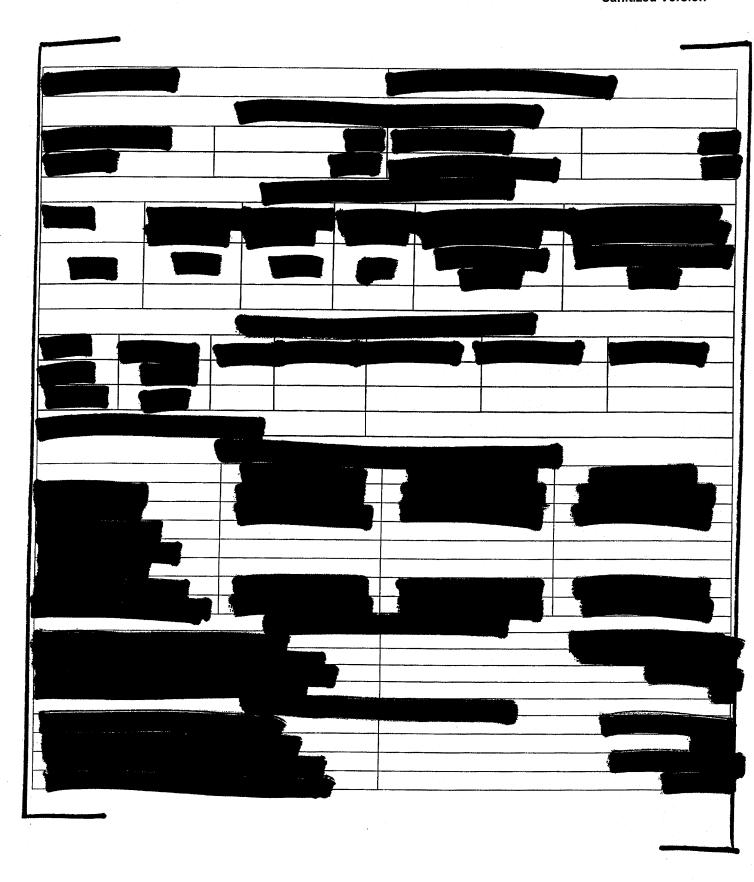


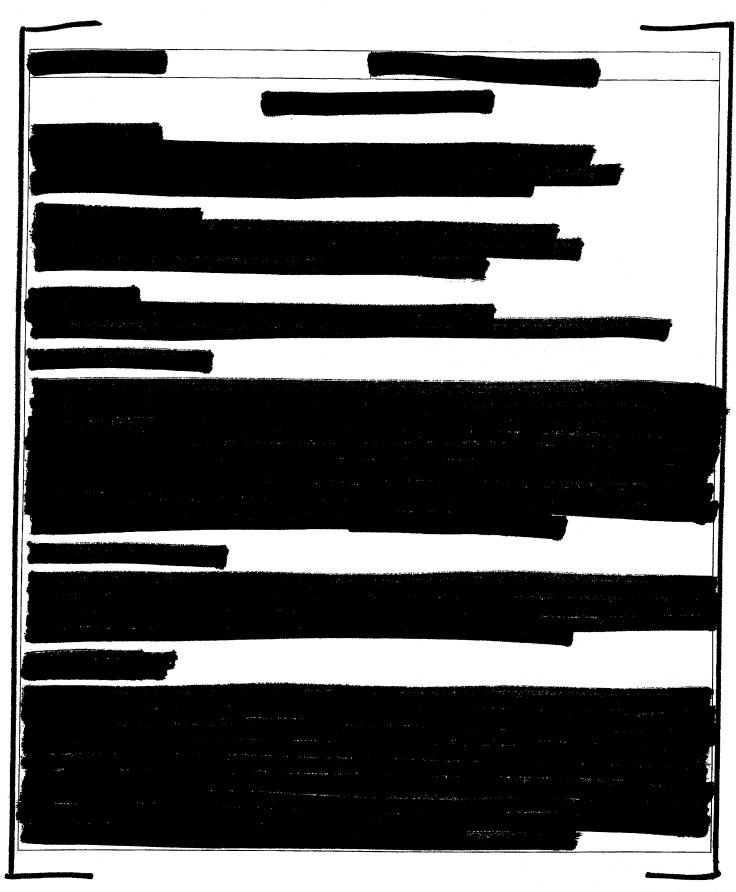


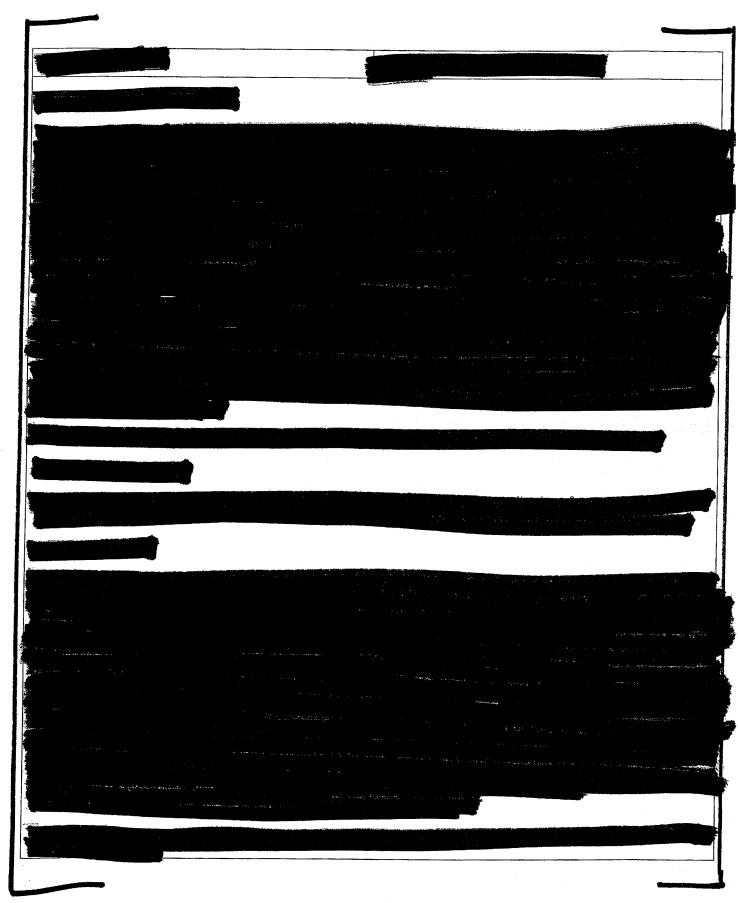


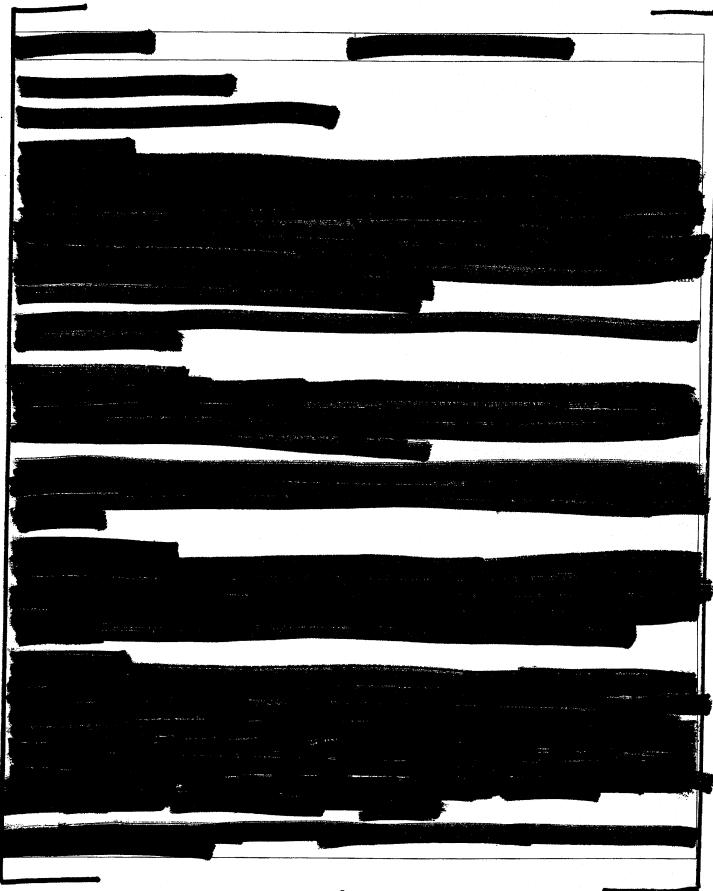


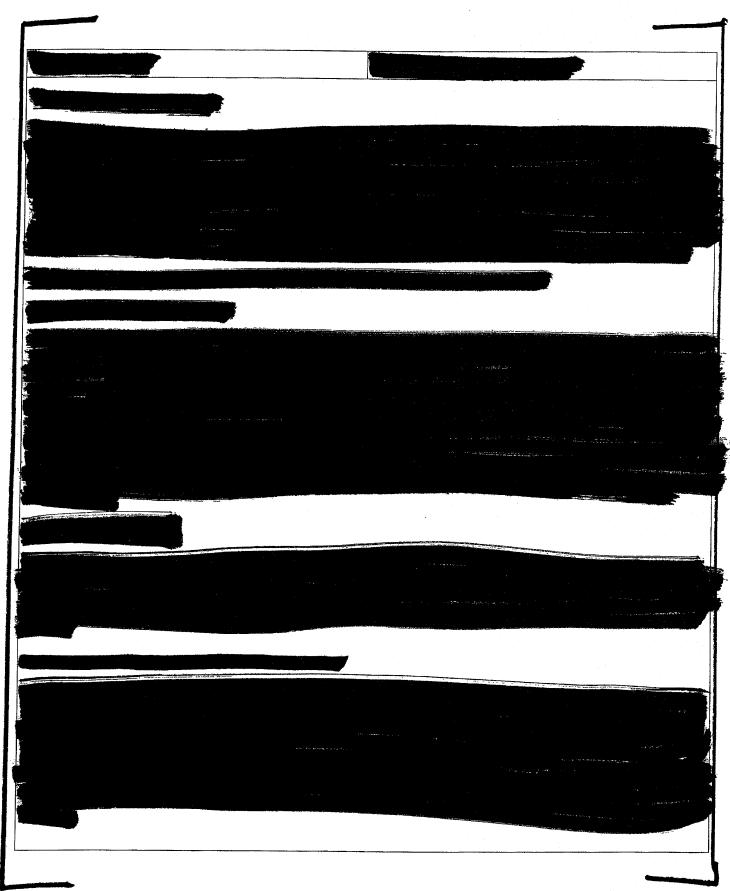


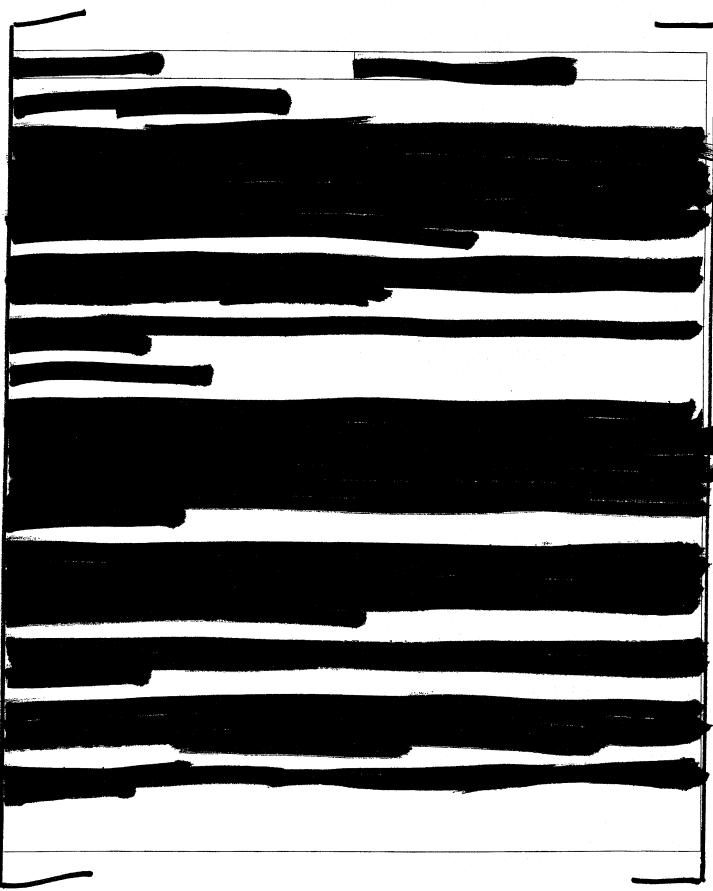


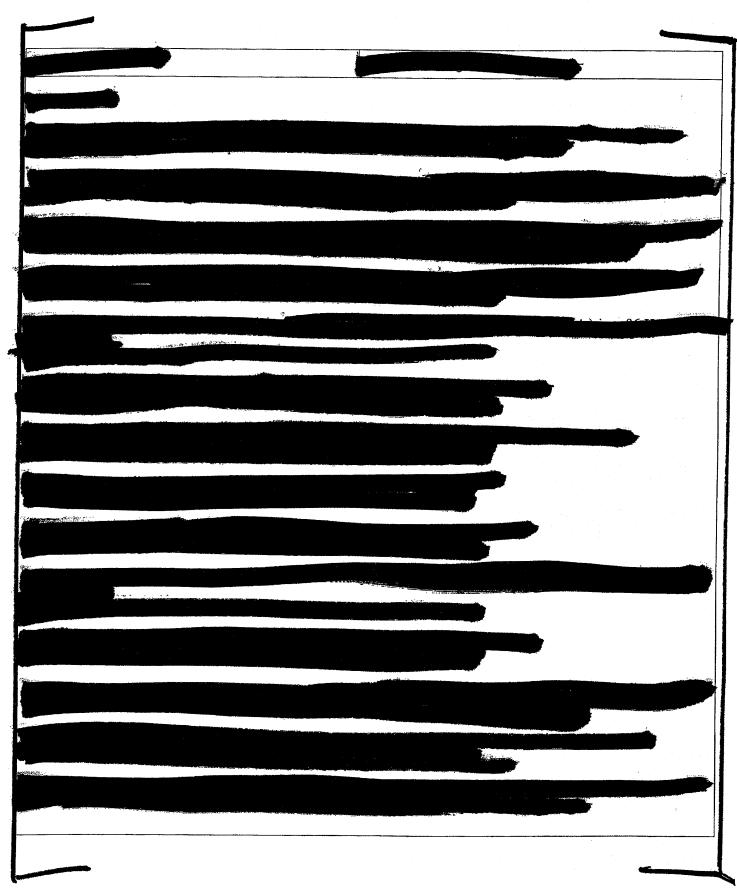


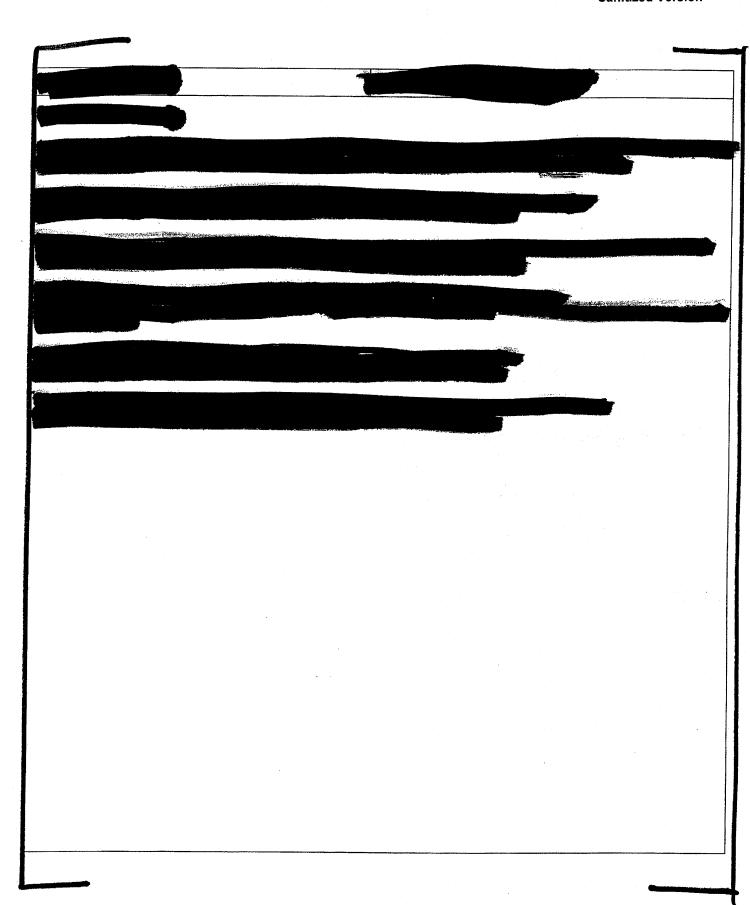


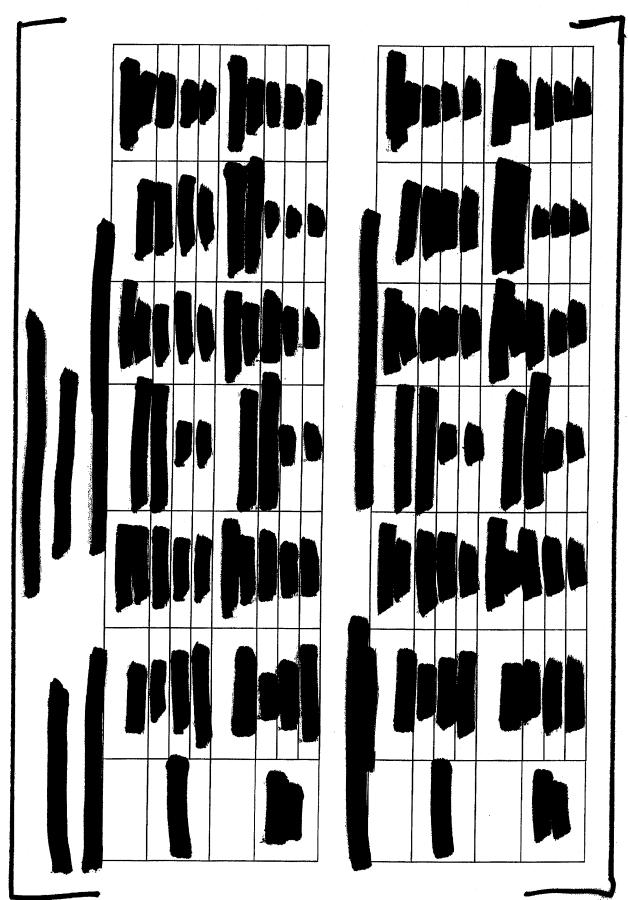


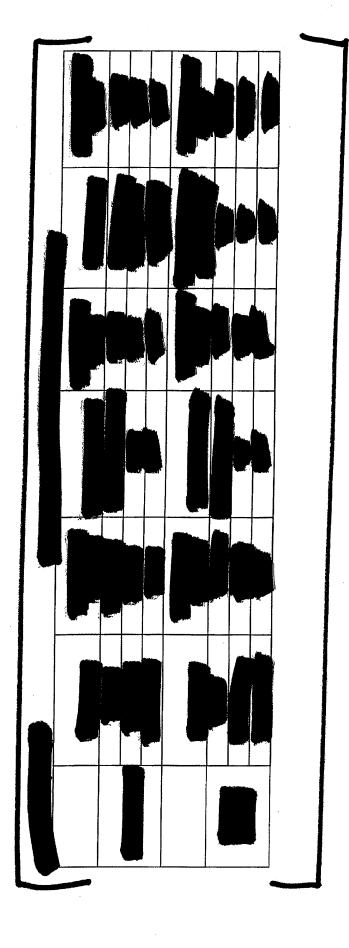


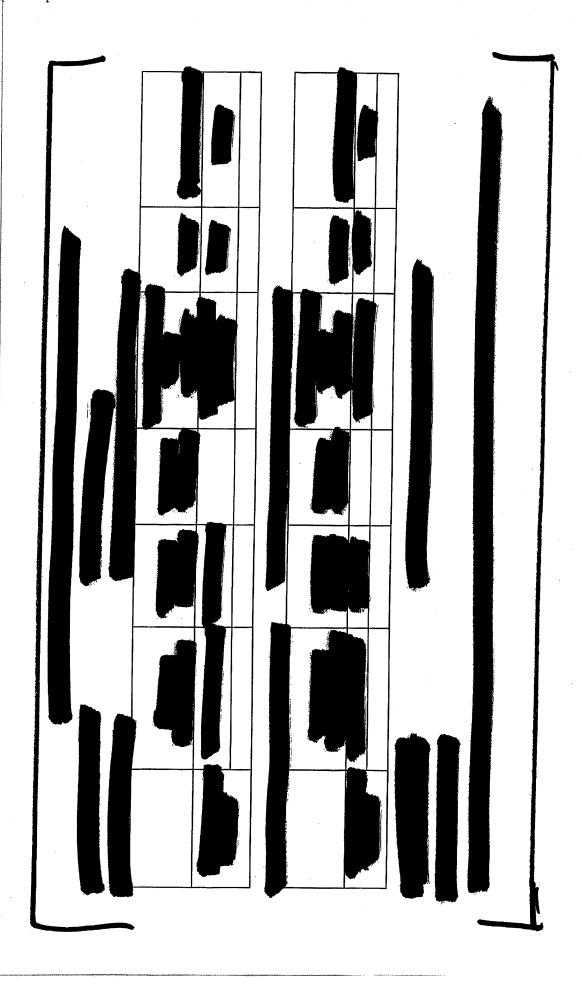




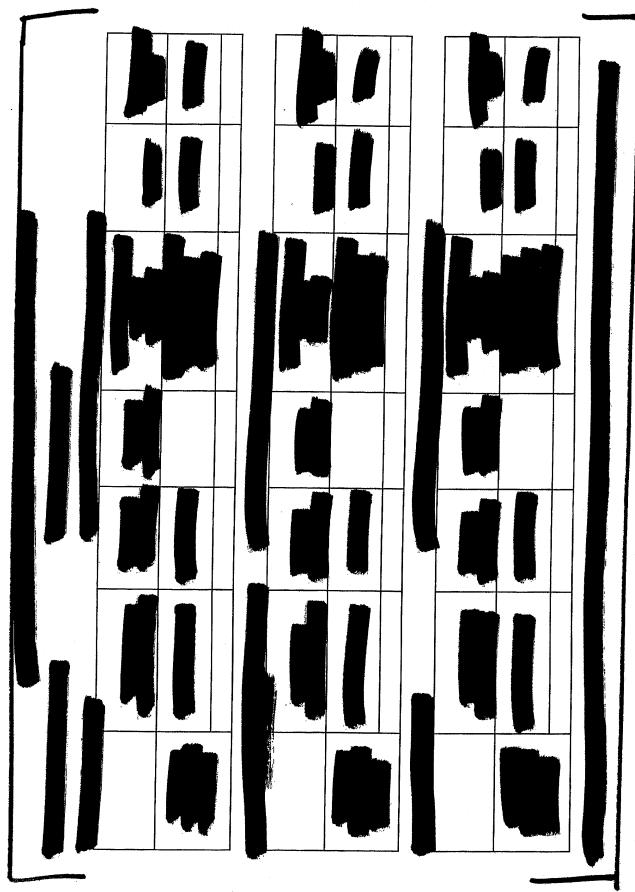






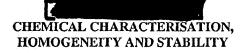


9



ATTACHMENT PAGE 39

### STUDY REPORT

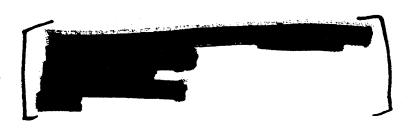


**Study AC030449** 

AUTHOR: CHRIS SPARHAM (STUDY DIRECTOR)



This report must not be circulated further, copied, or destroyed without reference to the Reports Administrator



Date: September 2008

#### **STUDY INFORMATION**

Study title

I and stability

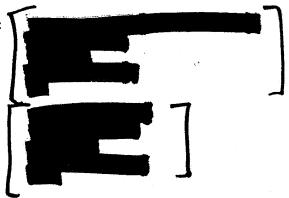
Chemical characterisation, homogeneity

and stability

Study number

: AC030449

Study location



Butterworth Laboratories Ltd, 54-56, Waldergrave Road,

Teddington, Middlesex, TW11 8LG.

STUDY DATES

Date protocol signed:

28th October 2003

Experimental period

29th October 2003 to 12th November 2004

**ARCHIVING** 

Data & Report

Datacare Business Management Systems

3012 Heyford Park Upper Heyford

Bucks

OX25 5HF

Test item(s)

STUDY PERSONNEL

**Study Director** 

Chris Sparham

#### STUDY INFORMATION (CONTINUED)

Responsible Practitioner

E. Findlay (Measurement Science)

Phase

Principal Investigator

D. Bell, (Butterworth Laboratories Ltd)

Phase

Analysts

Sean O'Connor, Nicola Bettles, Mark Tinkler,

Ian Bromilow

MONITORING ROLES

Scientific Reviewer

Neil Colson

Quality Assurance

Harjit Lall

**CROSS REFERENCES** 

Project number

221130

Study number

AC030449

Study title

and stability

Chemical characterisation, homogeneity

This report has been authorised for issue to the appropriate recipients.

NEIL COLSON DEPARTMENT HEAD

#### **QUALITY ASSURANCE STATEMENT**

Study Number: AC030449

Page 1 of 2

Study Title Chemical Characterisation, Homogeneity and Stability.

This study was conducted at Butterworth Laboratories Ltd, Teddington, Middlesex.

The following inspections and audits were conducted on the study. The dates on which they were performed and the dates on which any findings were reported to the Study Director and to Management are given below.

Audit Type	Audit Date	Report Date
Protocol Audit	28-Oct-2003	28-Oct-2003
Study Report Audit	13-Dec-2004	14-Dec -2004
Process Inspection	01-Sep-2003	27-Nov-2003
- Measurement Proced	ures - Wt/Vol.	
- HPLC - LCMS		
- LUVIS - UV/Vis		
- Total Volatiles		
Process Inspection	05-Jan-2004	19-Mar-2004
- Measurement Procedi	ures – Wt/Vol.	
- LCMS - GCMS		
- GCMS - HPLC		
Process Inspection	02-Feb-2004	18-Mar-2004
- Measurement Procedi		
- GCMS		
Procedural Inspection	14-Nov-2003	27-Nov-2003
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Procedural Inspection	22-Jan-2004	30-Jan-2004
Procedural Inspection	26-Feb-2004	26-Feb-2004
Facility Inspections		
Chemistry Department	27-Nov-2003	05-Dec-2003
Product Support	19-Feb-2004	25-Feb-2004

07-May-2003

15-May-2003

Butterworth Laboratories Ltd.

Analytical Process Inspection

18-Nov-2003

18-Nov-2003

Analytical Results

18-Nov-2003

18-Nov-2003

- Elemental Analysis

Final Report

18-Nov-2003

18-Nov-2003

Page 2 of 2

As far as can reasonably be established, this report has been accepted by Quality Assurance as being an accurate presentation of the raw data and findings of the study.

H LALL

DATE

QUALITY ASSURANCE

#### **AUTHENTICATION STATEMENT**

Study number

AC030449

Study title

and stability

Chemical characterisation, homogeneity

I, the undersigned, hereby declare that this study has been conducted under my supervision, as Study Director, in accordance with policy on Good Laboratory Practice which is based on the UK Good Laboratory Practice Regulations 1999, Statutory Instrument No. 3106 (amended by the Good Laboratory Practice (Codification Amendments etc) Regulations 2004, Statutory Instrument No. 994) and OECD Principles on Good Laboratory Practice (as revised in 1997) ENV/MC/CHEM/ (98)17.

I also certify that this report presents a true and accurate account of the procedures used and the results obtained.

HRIS SPARHAM

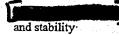
CHRIS SPARHAM STUDY DIRECTOR 7/1/05

#### RESPONSIBLE PRACTITIONER

Study number

AC030449

Study title

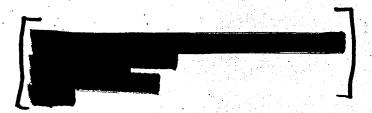


Chemical characterisation, homogeneity





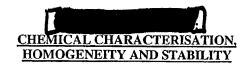
7-1-05 DATE





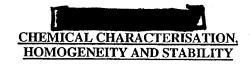
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#### **SUMMARY**

A characterisation study was required for the final representative material produced by in order to support the safety testing programme of this material. The intention of this study was to provide characterisation, homogeneity and stability data on (\$2539801) was characterised by a variety of techniques including liquid chromatography/mass spectrometry (LC/MS), liquid chromatography/ultraviolet detection (LC/UV), total volatiles, inductively coupled plasma/ atomic emission spectroscopy (ICP/AES) and The key results are summarised as follows: From the the experimentally derived formula wa This was consistent with the proposed structure with the addition of I water molecule. The total volatile material, determined at 3.07 % w/w, accounted for the presence of this water (theoretically 2.7 % w/w). The purity of the main active (catalyst) by LC/UV at 260 nm was determined to be 97.89 %, on a peak area basis. The homogeneity of the bulk sample, by replicate analysis of 3 sub-samples, was also demonstrated by this technique. Two main impurities were seen in the LC/UV data, which were the of the main active (peak area 1.21 %). Structures of the main components of the sample were confirmed by LC/MS molecular ions. Other smaller impurities were tentatively identified by LC/MS. The amount of bresent, determined by spiked addition LC/MS, was 1 % w/w which gave good agreement with the % area data. The sample was demonstrated to be stable over the course of the study, as stored at ambient temperature in the dark, by reanalysis using LC/MS and LC/UV. Other comparisons carried out in the study showed BL 1749 Batch 005 (S2430001) to be very similar to S2539801 but with elevated levels of 3.2 %) and no

(< 0.1%) as analysed by LC/UV on an area basis. The S2601501) was analysed to provide confirmation that there

was no present in the sample (< 0.04 % by LC/UV area).

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#### 1. <u>INTRODUCTION</u>

A characterisation study was required for the final representative material produced by in order to support the safety testing programme of this material. The intention of this study was to provide characterisation, homogeneity and stability data on

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#### 2. **SUBSTANCES TESTED**

#### 2.1 Test item

Name

Sample Number Appearance

Storage

Name

Sample Number Appearance Storage

Name

Sample Number Appearance Storage

S2539801 ambient/dark

BL 1749 batch 005

S2430001

ambient

S2601501

ambient/dark

Characterisation, stability and homogeneity of S2539801 is confirmed by analysis in this study. S2430001 and S2601501 were characterised for comparative purposes, homogeneity and stability of these two samples were not addressed.

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#### 3. METHODS

#### 3.1 <u>Ultraviolet/visible absorption spectra</u>

UV/Vis absorption spectra in the region 220-750 nm were measured on 0.002 and 0.01% w/v solution of S2539801 in Ultrapure water. The solutions were placed in a 1cm quartz cell referenced against blank Ultapure water. The Varian Cary 1E spectrometer was operated with a bandwith of 1nm and a response time of 0.1 s.

Calculation of absorption coefficient, A, for a 1% solution in a 1cm cell

$$A_{1cm}^{1\%} = \frac{absorbance}{C}$$
 where C = solution concentration in % (w/v).

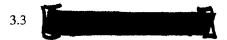
3.2

S2539801 was analysed for carbon, hydrogen, nitrogen and chlorine by Butterworth Laboratories Ltd.

Carbon, hydrogen and nitrogen analysis was carried out using a Leeman CE440 analyser. The test item was combusted in pure oxygen, producing a variety of gaseous materials: carbon dioxide from the oxidation of organic and elemental carbon and decomposition of carbonates; water vapour from the oxidation of organic hydrogen and the liberation of moisture and nitrogen and nitrogen oxides from the oxidation of organic nitrogen. The combustion products were then passed over suitable reagents in the combustion tube to ensure complete oxidation and removal of undesirable by-products, such as In the reduction tube, oxides of nitrogen were converted to molecular nitrogen and residual oxygen was removed. In the mixing volume the remaining nitrogen, carbon dioxide and water are thoroughly homograpised at precise volume the remaining nitrogen,

nitrogen and residual oxygen was removed. In the mixing volume the remaining nitrogen, carbon dioxide and water are thoroughly homogenised at precise volume, temperature and pressure before passing through to a thermal conductivity detector using chemical traps to segregate individual responses. The detector was calibrated using acetanilide.

Chlorine was determined by oxygen flask combustion and ion chromatography.



A sample was submitted to Measurement Science, which is not part of the UK GLP compliance programme, for



The sample was dissolved in Ultrapure water, acidified to give a 5% nitric acid solution and analysed by inductively coupled plasma-atomic emission spectroscopy (ICP-AES). The sample

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was introduced to the ICP-AES via a Low flow gem cone nebuliser with Cyclonic spray chamber. The sample was quantified by comparison of its emission intensity to that of a known standard at a wavelength characteristic to

#### Trace metals

To determine arsenic, lead, cadmium, copper, zinc, chromium, cobalt, nickel and antimony the sample was dissolved in Ultrapure water and acidified to give a 10 % nitric acid solution. The samples were introduced into the ICP-AES by an Ultrasonic nebuliser (USN) and are quantified by comparison of their emission intensity to that of standards at wavelengths characteristic of the desired elements. Standards were spiked with the spectral effects from the high levels of the present in samples.

For manganese the same sample and standard preparation procedures were used but the determination was carried out using the Low flow gern cone nebuliser with Cyclonic spray chamber.

#### Mercury

The sample solution prepared for trace metals analysis i.e. 10 % nitric acid was spiked with concentrated hydrochloric acid to provide a 5 % hydrochloric acid concentration. Mercury was determined by an FIAS-100, flow injection system with atomic absorption spectrometry. Samples were quantified by comparison of their emission intensity to that of known standards.

#### <u>Ash</u>

Ash was determined by heating the sample at 550 °C in a muffle furnace overnight.

## 3.4 Determination of the section by ultraviolet / visible spectrometry

The aim of the method was to determine the amount of samples. Calibration standards in the range were prepared by adding the appropriate amounts of a concentration of lagainst absorbance at 552nm on the uv/vis spectrophotometer. The amount of lagainst absorbance at 552nm on the uv/vis spectrophotometer. The amount of lagainst absorbance at 552nm. For samples approximately 16 mg was made up to 10 mL in 10 mM 2,2':6',2''- terpyridine in ethanol. To this 0.4 mL of 0.1 M sodium ascorbate in water was added and left to react for 5 minutes to reduce any present to

#### 3.5 <u>Liquid chromatography / mass spectrometry</u>

The aim of this method was to confirm the identity of the main component and any significant impurities present from the molecular ions formed in the ESI-MS process. The samples at a concentration of  $1000 \,\mu\text{g/mL}$  in initial mobile phase were analysed on an  $1100 \,\mu\text{g/mL}$ 

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LC/MS G1946B from Agilent Technologies Ltd. The samples were separated by gradient elution. The mobile phase consisted of a mixture of A, 5 mM ammonium formate pH 3.0 and B, acetonitrile. The mobile phase composition was 19 % B at the beginning of the gradient and then linearly increased to 100 % B in 10 min. It was then held for a further 10 min before re-equilibrating at the initial conditions for 20 min. The samples were separated by means of a Luna  $C_8$  (2) analytical column (150 x 2mm i.d., 5 µm particle size) from Phenomenex. The flow rate was 0.20 mL/min. and 10 µL of the samples, in initial mobile phase, were injected onto the column which was thermostatically held at 30°C. The MS was operated in positive electrospray ionisation (ESI) mode with gas temperature 300°C, drying gas 7.0 L/min, nebuliser gas pressure 35 psi, capillary voltage 3000 V and fragmentor voltage of 90V. The MS was operated in full scan mode over the range m/z 50 to 750.

BL1749 Batch 005 (S2430001) was also analysed by this technique for comparative purposes with the main test item (S2539801).

#### 3.6 Determination of total volatiles by oven drying

The total volatiles content of the samples was determined by oven drying at 105 °C for approximately 16hr, recording the difference in weight.

#### 3.7 Purity and homogeneity of catalyst by liquid chromatography / ultraviolet detection

LC/UV was used to determine purity of the samples by consideration of the percentage areas of the main component and any impurities present. Homogeneity within the bulk sample was also considered by analysis of different sub-samples. Reference materials of (JK07106) and (BL1749 (E284-2003)) were analysed to confirm the retention time of the main active and impurity in test item \$2539801.

The samples, at a concentration of 500  $\mu$ g/ml in mobile phase, were analysed by reversed phase LC by injecting 10  $\mu$ L onto a Waters Spherisorb S5 C₆ column held at 35°C. An isocratic mobile phase, flowing at 1.5 ml/min., consisting of 35% acetonitrile and 65% ultrapure water containing 10mM triethylamine and 10mM octanesulphonic acid sodium salt was used for the analysis. The aqueous portion was made to pH 2.5 with o-phosphoric acid before adding the acetonitrile. Detection was by UV at 260nm with visible data at 390 nm being collected for certain samples.

LC/UV profiles for samples S2539801 and S2430001 were compared. LC/UV profiles for samples S2539801 and S2601501 were compared.

#### 3.8 Analysis by gas chromatography/mass spectrometry

S2539801 and S2430001 were analysed as  $1000 \,\mu\text{g/mL}$  solutions in methanol. Compounds used in the synthesis of the catalyst were also analysed, namely 2-pyridine carboxaldehyde

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(99%), dimethyl 1,3-acetone dicarboxylate (98.7%) and 2-(aminomethyl) pyridine (99%), supplied by Aldrich with purity the figures in parentheses. These standards were analysed at  $1000 \,\mu g/mL$  and as  $1 \,\%$  w/w spikes (nominal values) in test item solutions to help in identification and quantification purposes.

The samples were analysed on a 6890 gas chromatograph (GC) coupled to a 5973N mass selective detector (MSD) from Agilent Technologies Ltd. The carrier gas was helium at a constant flow of 1.6 ml/min. The samples were separated by a temperature gradient starting at 40°C held for one minute then increasing to 300°C at a rate of 20°C/min. It was then held for a further 5 min before re-equilibrating at the initial temperature. The samples were separated by means of a DB5-MS analytical column (30 m x 0.25 mm i.d., 0.25  $\mu$ m film thickness) from Agilent. 1  $\mu$ L of the samples were injected onto the column in split injection mode (100:1) with the injector held at 250°C. The MS was operated in electron impact ionisation mode over the range 25 to 400 amu.

The samples were also run on an MD800 MS with Trace 2000 GC from ThermoFinnigan, using a SolGelWax 30 m x 0.25 mm x 0.25  $\mu$ m column (SGE). Conditions used were similar to above, however the maximum temperature of the gradient in this case was 250 °C.

# 3.9 Quantitation of using spiked addition

To give a % w/w value for the simpurity in S2539801 the sample was analysed using the method of spiked addition using the section 3.7 was used for this purpose with spiked at 0, 1, 5, 10 and 20 % w/w in 1000  $\mu$ g/mL solutions of S2539801. Analysis was compared in both LC/MS and LC/UV (260 nm) detection modes.

#### 3.10 Determination of volatiles by headspace GC/MS

Headspace/GC-MS conditions were applied to solutions of S2539801 and analyte spiked solutions for the determination of formaldehyde. The 37% formaldehyde standard contains 10-15% methanol, which has similar fragment ions m/z 29 and 30 to formaldehyde. The DB-WAX-ETR GC column resolved formaldehyde from methanol.

Standards were analysed at 0, 18.5, 37, 74 and 185  $\mu g$  of formaldehyde (supplied by Aldrich as a 37 % solution) in 10 mL headspace vials containing 5 mL of solution. Formaldehyde was also analysed at 18.5 and 185  $\mu g$ , spiked in a solution containing 125 mg of S2539801. For approximate calculation purposes the amount of methanol present in the standard was assumed to be half the level of formaldehyde (~18.5%)

Sealed headspace vials were placed on a CombiPAL autosampler, agitated and heated at 80 °C for 10 min before 1000  $\mu$ L aliquots were sampled using a gas tight syringe, heated to 105 °C. Each aliquot was injected via the Finnigan 8000 series split/splitless injector (heated to 160 °C), at 3 psi constant pressure and a 20:1 split onto a 50m x 0.32mm DB-WAX-ETR column film thickness 1 $\mu$ m. The Finnigan 8000 GC oven, held at an initial temperature of 30 °C for 1 min, was then heated to 150 °C at 20 °C/min.

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The MD800 in EI+ ionisation was operated in the following modes:

- Selected ion recording (SIR) of ions m/z 15, 28 (N₂ check ion), 29 and 30. Each with a dwell time of 0.08 s with 0.02 s inter-channel delay. Under these conditions Formaldehyde eluted at 4.46 min and methanol elutesd at 6.16 min. The m/z 29 ion was used for quantitation of the formaldehyde.
- ii) Full scan from m/z 10 to 300 at 0.45 scans/s, with 0.05 s inter-scan delay.

A series of liquid and solid samples were run under different headspace incubation temperatures, (80 °C, 100 °C, 125 °C, 150 °C) and over a longer time of 20 min at 150 °C, in scan mode as detailed above.

# 3.11 Stability of

Stability of S2539801 was assessed by reanalysis using LC/MS and LC/UV with experimental conditions as described earlier.

#### 3.12 Study dates

The study was conducted from 29th October 2003 to 12th November 2004.

#### 3.13 Storage and retention of data

The protocol, any amendments, the raw data and final report will be placed in Datacare Business Management Systems, 3012 Heyford Park, Upper Heyford, Bucks, OX25 5HF. Datacare is not a member of the UK GLP compliance programme. The test item(s) will be archived in

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#### 4. RESULTS AND DISCUSSIONS

#### 4.1 <u>Ultraviolet/visible absorption spectra</u>

For sample S2539801 the following absorption coefficients and wavelength maxima were observed.

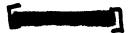
$$A_{lcm}^{1\%} = 180 (257 \text{ nm})$$

$$A_{1cm}^{1\%} = 25.2 (387 \text{ nm})$$

Photobiological testing was recommended due to an absorbance of >1 being observed in the range 300 to 700nm.

4.2

The results of the results from Butterworth Laboratories are presented in Table 1. The results from Measurement Science have also been included in the Table. From the calculations shown the experimentally derived formula of as follows:



The proposed structure is the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the

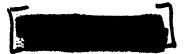
Table 1 also compares the theoretical and experimental composition.



Results of determinations in are as follows:



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4.4 <u>Determination of by ultraviolet/visible spectroscopy</u>

was determined as follows: (S2539801) = < 0.14 % w/w

#### 4.5 <u>Liquid chromatography/mass spectrometry</u>

The proposed structure of main active is shown in Figure 1. As determined by positive ESI LC/MS the structure of the catalyst (main active) and impurity are shown in Figures 2 and 3 respectively. In Figure 2 the structure of the catalyst shown is after replacement of chlorine with formate in solution, which occurs in the mobile phase used. The structure of the shown in Figure 3 is the likely structure in the acidic mobile phase and site of protonation of the structure could equally be at another position. Extracted ion data showed catalyst (m/z 620.1), (m/z 620.1), and a peak at m/z 457.2, tentatively identified as the  $N_2Py_2$  species. These other impurities, tentatively identified from their molecular ions are displayed in Figure 4, as are typical LC/MS total ion and extracted ion chromatograms (TIC and EIC).

BL1749 Batch 005 (S2430001) was found to contain no experience by comparison of TIC traces with (S2539801).

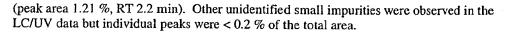
#### 4.6 Determination of total volatiles by oven drying

contained 3.07 % w/w total volatile material. This figure appears to be in agreement with the data where the addition of 1 molecule of water to the proposed structure is equivalent to 2.7 % w/w.

#### 4.7 Purity of catalyst by liquid chromatography/ultraviolet detection

The purity of the main active (catalyst) in the purity by LC/UV at 260 nm was determined to be 97.89 %, on a peak area basis (see Table 2). The data presented in table 2 also demonstrates homogeneity of the bulk sample by replicate analysis of 3 sub-samples. From this data two main impurities were seen in the sample (see Figure 5). These were the peak area 0.59 %, retention time (RT) 9.2 min) and the

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Reference materials of and (BL1749 (E284-2003)) were run at the same concentrations and conditions which confirmed the retention time of the main active and impurity in test item S2539801 (see Figure 5). Although unconfirmed by a retention time standard, the comparison of LC/UV profiles of S2539801 and S2430001 are displayed in Table 3. The main difference between the two samples was the presence of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the cont

Analysis by LC/UV of the (S2601501) clearly shower that all the standard been removed from the sample (see Figure 6). The only peaks remaining in the sample were the main active and a small peak at approximately 4.4 min. The peak at 4.4 min (0.1 % by area) was not present when the sample was reanalysed at 390 nm, i.e. no containing. The identity of this peak is unknown and did not appear in any previous of subsequent analysis of S2539801 and could be considered to be an artefact of this analysis.

#### 4.8 Analysis by gas chromatography/mass spectrometry

The results of a comparison of S2430001 and S2539801 can be clearly seen in data taken from the ThermoFinnigan MD800 analysis (Figure 7). Both sample chromatograms in the figure show very similar profiles and in each case the only peaks not present in the blank were the peaks at retention time 1.08 and 6.54 min. The mass spectra of these two peaks are also included in Figure 7. The peak at 1.08 min was identified from the library as chloromethane. The library did not correctly identify the peak at 6.54 min and the mass spectrum did not match those of any of the starting materials. The software did however identify a structure containing a pyridine ring and side chain, similar to groups contained within the catalyst structure. Both peaks were thought to be the product of thermal decomposition at the injection temperature of 250 °C (reference study KY030438 decomposed at approximately 200 °C).

Data from the Agilent GC/MS confirmed that 2 pyridine carboxaldehyde was present at < 1 % w/w by spiked addition in solutions of S2539801 and S2430001. However dimethyl 1,3-acetone dicarboxylate and 2-(aminomethyl) pyridine were unable to be detected in the same solutions at the 1 % w/w level. Further method development would have been necessary to conclusively confirm the absence of these two starting materials at a known % level. However the objective of this piece of work was to confirm the similarity of S2539801 and S2430001 by this technique which was found to be the case.

# 4.9 Quantitation of using spiked addition was determined to be 1.1 % w/w by LC/MS standard addition. The 20 % w/w standard was not included in the standard addition curve due

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to a saturated MS response at this level. The curve showed good linearity excluding this standard ( $R^2$  =0.9988). The was determined to be 0.55 % w/w using the LC/UV data at 260nm ( $R^2$  = 0.9892). The MS data is considered to be the more reliable from comparison of the two correlation coefficients ( $R^2$  values).

### 4.10 Determination of volatiles by headspace GC/MS

Formaldehyde was determined to be less than  $<0.2 \mu g/mg$  (% w/w). The spiking standards into the matrix at detection level and 10 times the detection level gave mean recoveries of 82 and 91% respectively.

Trace amounts of methanol were found present at <0.5  $\mu$ g/mg (% w/w). This result was estimated, based on methanol present in the formaldehyde standard. In Scan mode under the under different headspace incubation temperatures a peak tentatively identified as chloromethane was identified from library fit.

#### 4.11 Stability assessment

Analysis by LC/UV showed satisfactory stability of the study. Figure 8 demonstrates good agreement of the chromatograms as analysed at the beginning and end of the study. The area % of the main components of the sample are displayed in the figure. LC/MS confirmed the identity of the main active (m/z 634.2) and presence of impurity (m/z 534.2) at the end of the study period. There was a slight increase in the catalyst area by LC/UV).

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#### 5. CONCLUSION

(S2539801) was characterised by a variety of techniques including liquid chromatography/mass spectrometry (LC/MS), liquid chromatography/ultraviolet detection (LC/UV), total volatiles, inductively coupled plasma/ atomic emission spectroscopy (ICP/AES) and The key results are summarised as follows:

From the the experimentally derived formula was

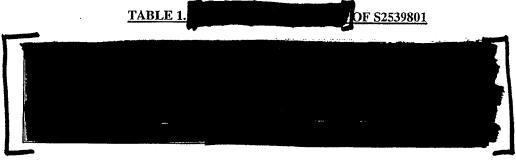
This was consistent with the proposed structure with the addition of I water molecule. The total volatile material, determined at 3.07 % w/w, accounted for the presence of this water (theoretically 2.7 % w/w). The purity of the main active (catalyst) by LC/UV at 260 nm was determined to be 97.89 %, on a peak area basis. The homogeneity of the bulk sample, by replicate analysis of 3 sub-samples, was also demonstrated by this technique. Two main impurities were seen in the LC/UV data, which were the confirmed to the main active (peak area 1.21 %). Structures of the main components of the sample were confirmed by LC/MS molecular ions. Other smaller impurities were tentatively identified by LC/MS. The amount of the bresent, determined by spiked addition LC/MS, was 1 % w/w which gave good agreement with the % area data. The sample was demonstrated to be stable over the course of the study, as stored at ambient temperature in the dark, by reanalysis using LC/MS and LC/UV.

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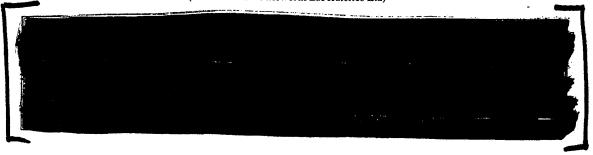
#### 6. <u>REFERENCES</u>

1. Personal Communication. Jan Koek, Unilever Research, Vlaardingen.

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- * Oxygen by difference
  ** Data from Measurement Science (all other data Butterworth Laboratories Ltd)



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## TABLE 2. LC/UV (260 nm) PURITY AND HOMOGENEITY (S2539801)

SOLUTION/SUB-	REPLICATES	BEAK AREA.%	PEAK AREA %	PEAK AREA-%
SAMPLE	1		CATALYST	
		(APPROXIMATE	(APPROXIMATE	(APPROXIMATE
		RETENTION TIME	RETENTION TIME	RETENTION TIME 9.2
		2.2 MIN)	4.5 MIN)	MIN)
001 A (sol 1)	1	1.15	98.00	0.48
001 A (sol 1)	2	1.16	98.06	0.47
001 A (sol 2)	1	1.17	97.96	0.54
001 A (sol 2)	2	1.17	97.97	0.54
001 A (sol 3)	1	1.32	97.85	0.54
001 A (sol 3)	2	1.34	97.91	0.47
001 A (sol 3)	. 3	1.32	97.90	0.49
001E	1	1.23	97.87	0.59
001E	2	1.25	97.84	0.60
001E	3	1.23	97.82	0.65
001I	1	1.16	97.78	0.77
001I	2	1.15	97.78	0.78
0011	3	1.15	97.82	0.73
	Mean	1.21	97.89	0.59
	SD	0.07	0.09	0.11
	%CV	5.86	0.09	19.07

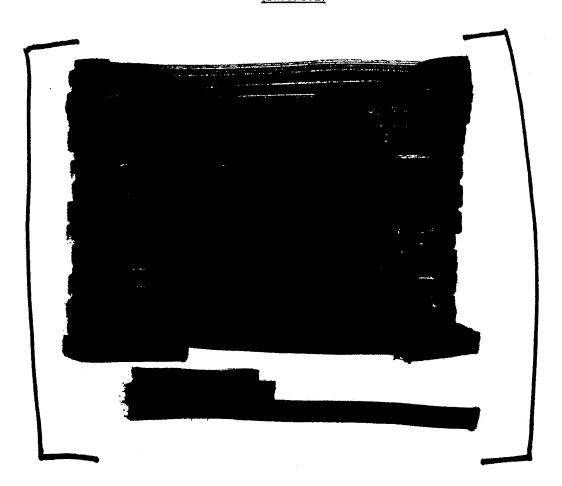
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# TABLE 3. COMPARISON OF S2539801 AND S2430001 BY LC/UV AT 260 NM

SAMPLE	REPLICATES	AREA %	AREA % CATALYST	AREA %	AREA % UNKNOWN
(Retention time (min))		(2.5)	(5.5)	(7.7)	(7.4)
	A	1.228	98.124	0.648	0.000
(S2539801)	В	1.136	98.245	0.619	0.000
	С	1.197	98.105	0.599	0.000
Mean		1.187	98.158	0.622	0.000
BL1749 Batch 005	Α	3.308	96.481	<0.1	0.212
(S2430001)	В	3.102	96.644	<0.1	0.254
	С	3.093	96.598	<0.1	0.252
Mean		3.167	96.574	<0.1	0.240

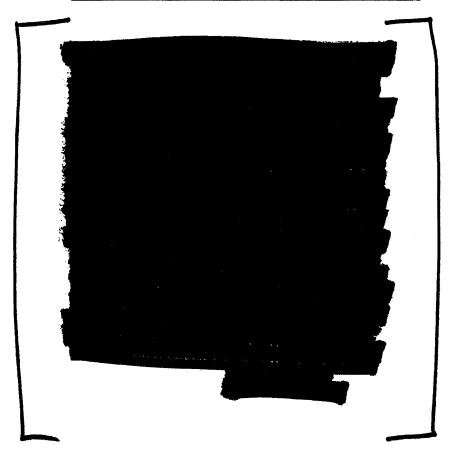
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# FIGURE 1. PROPOSED STRUCTURE AND FORMULA OF (S2539801)



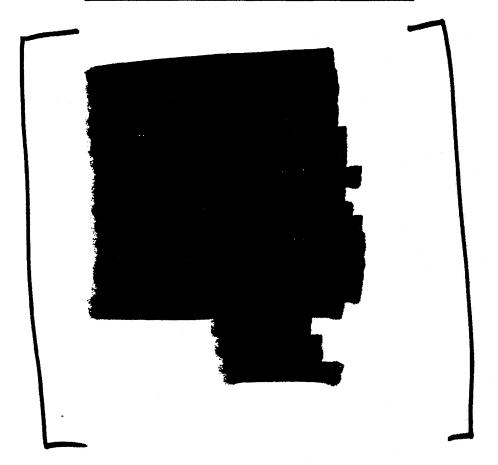
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FIGURE 2. STRUCTURE OF CATALYST ION BY LC/MS



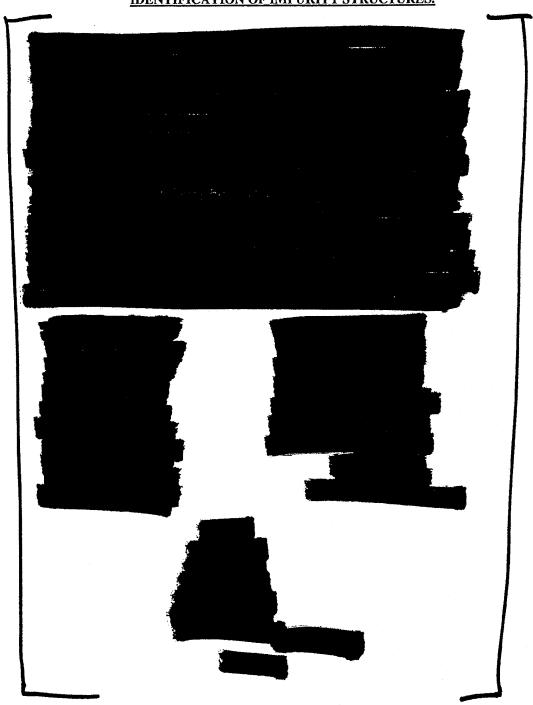
Page 20 of 25

FIGURE 3. STRUCTURE OF LIGAND ION BY LC/MS

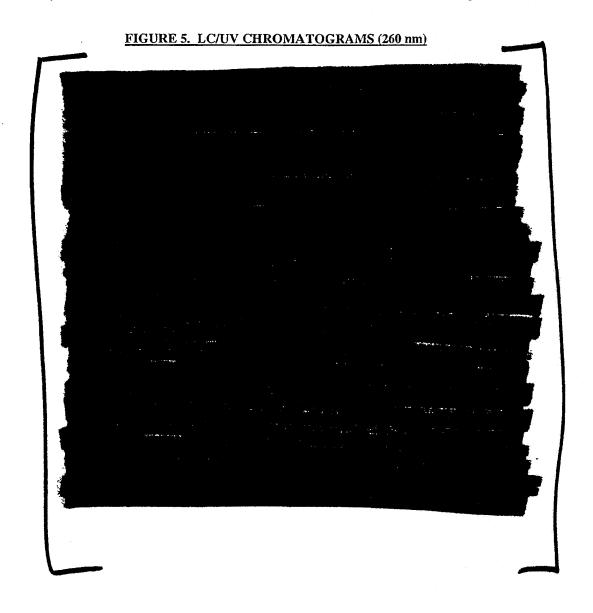


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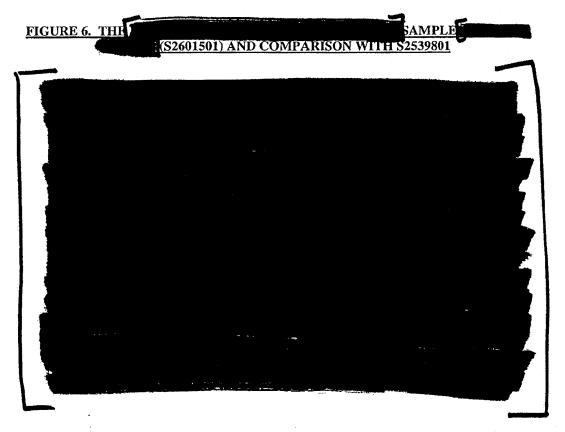
# FIGURE 4. TYPICAL TIC AND EICS BY LC/MS WITH TENTATIVE IDENTIFICATION OF IMPURITY STRUCTURES.



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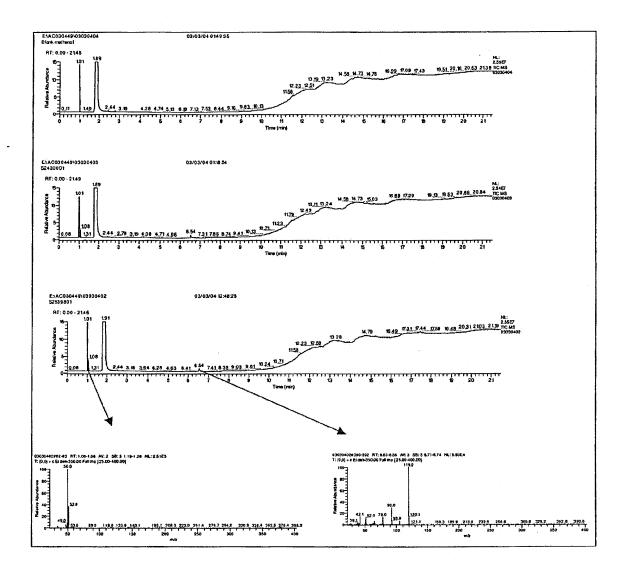
Page 23 of 25



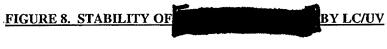
For S2539801 the figures displayed in brackets are from the analysis done at the time of comparison with S2601501. The main figures are from the initial (homogeneity) analysis.

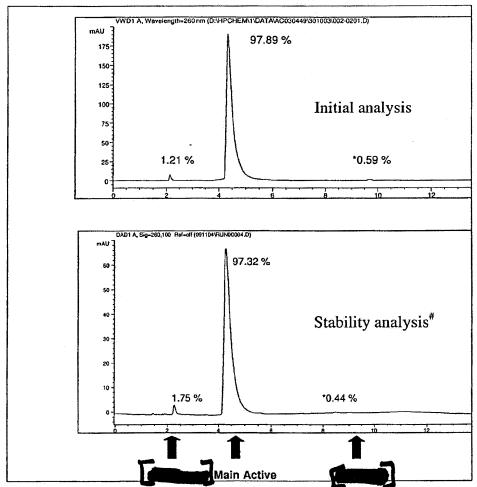
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# FIGURE 7. GC/MS COMPARISON OF S2430001 AND S2539801 AND MASS SPECTRA



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^{*1} year stability

## **DISTRIBUTION LIST**

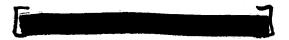
Study AC030449

Archive C Sparham M York R Van Egmond N Colson

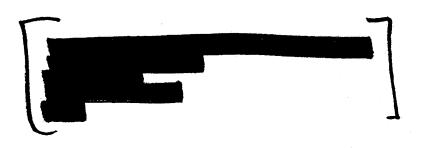
# STUDY REPORT

Study AH030452

AUTHORS: SEAN O'CONNOR & CHRIS SPARHAM (STUDY DIRECTOR)



This report must not be circulated further, copied, or destroyed without reference to the Reports Administrator



Date: February 2004

#### **STUDY INFORMATION**

Study title

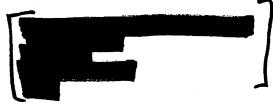
:

Homogeneity and Stability in Water

Study number

AH030452

Study location



#### STUDY DATES

Date protocol signed:

10 November 2003

Experimental period:

18 November – 02 December 2003

Archiving (raw data):

Datacare Business Management Systems

3012 Heyford Park Upper Heyford Bucks OX25 5HF

Archiving (Test Material)



#### STUDY PERSONNEL

**Study Director** 

Chris Sparham

Chemical Analyst

Sean O'Connor

Scientific Reviewer:

Ian Bromilow

QA

Harjit Lall

#### **CROSS REFERENCES**

Project number

221130

#### AUTHORISATION STATEMENT

Study number

AH030452

Study title

Homogeneity and Stability in Water

This report has been authorised for issue to the appropriate recipients.

W/ Call 1 1 1 Call

JULIA FENTEM

GROUP HEAD, APPLIED SCIENCE AND TECHNOLOGY

102/04

DATE

#### **QUALITY ASSURANCE STATEMENT**

Study Number: AH030452

Study Title Homogeneity and Stability in Water.

This study was conducted a

Procedural inspections are not performed on individual routine studies but Process Inspections are periodically conducted according to defined Standard Operating Procedures. Facility Inspections are also periodically completed.

The dates on which the relevant inspections and audits were performed and the dates on which any findings were reported to the Study Director and to Management are given below.

Audit Type	Audit Date	Report Date	
Protocol Audit Study Report Audit	10-Nov-2003 13-Feb-2004	10-Nov-2003 13-Feb-2004	•
Facility Inspection	27-Nov-2003	05-Dec-2003	
Process Inspection - Measurement Proce - HPLC	01-Sep-2003 dures	27-Nov-2003	

As far as can reasonably be established, this report has been accepted by Quality Assurance as being an accurate presentation of the raw data and findings of the study.

**HLALL** 

19 Feb 2004.

DATE

QUALITY ASSURANCE

Study number

AH030452

Study title

Homogeneity and Stability in Water

I, the undersigned, hereby declare that this study has been conducted under my supervision, as Study Director, in accordance with policy on Good Laboratory Practice which is based on the UK Good Laboratory Practice Regulations 1999, No. 3106 and OECD Principles on Good Laboratory Practice (as revised in 1997) ENV/MC/CHEM/ (98)17.

I also certify that this report presents a true and accurate account of the procedures used and the results obtained.

CHRIS SPARHAM

STUDY DIRECTOR



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#### **SUMMARY**

This study

was designed to provide homogeneity and stability data in support of a toxicological study (or studies). The analysis was carried out using High Performance Liquid Chromatography with ultra violet detection at 260nm.

The data for the % nominal concentrations of the 0.5, 5 and 50 mg/mL solutions showed:

- a) "Homogeneity" was acceptable as replicate preparations all gave values within  $\pm 2.1\%$  of the nominal, clearly demonstrating these were true solutions.
- b) Stability within ±7.2% of nominal was proven over the course of 7 days refrigerated storage, including leaving out in the laboratory on days 1, 2, 3, 6 and 7 for up to 9 hours, plus 1 day frozen storage.

"Homogeneity" ( $\pm 12\%$  of the nominal) and stability ( $\pm 10\%$  of the nominal) at a lower concentration of 0.08mg/ml were also proven. This lower concentration was not used for the toxicological study.

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## 1. INTRODUCTION

I This study

was designed to provide homogeneity and stabinty data in support of a toxicological study (or studies).

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## 2. TEST MATERIAL

The sample was registered in Compound Control under the following number

Sample name

Sample number

: S2539801

The sample was stored in dark ambient conditions prior to analysis. Characterisation, stability and homogeneity is being carried out in study number AC030449

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#### 3. METHOD

#### 3.1 Homogeneity and stability

dosing levels to be used in the toxicological study. The solutions were prepared in duplicate at 0.08, 0.5, 5 and 50 mg/mL in Ultrapure water.

The samples were prepared in duplicate and analysed on day 0 to assess "homogeneity" to confirm they were true solutions. To assess stability, samples were kept refrigerated, but left out in the laboratory on days 1, 2, 3, 6 and 7 for up to 9 hours This was to simulate what could happen during the dosing period. Analysis of 0.5, 5 and 50 mg/mL solutions took place on days 1, 3 and 7. The 0.08 mg/mL was analysed on day 0 and 7 only, as this solution was added to the study at a later date, to cover predicted dosing level changes at the CRO. The 0.5, 5 and 50 mg/mL solutions were subsequently frozen after analysis on Day 7, defrosted on Day 8 and reanalysed. This was to simulate frozen transport of the samples from the CRO to the laboratory. The main component peak area was measured by High Performance Liquid Chromatography with UV detection (HPLC/UV) as described below.

The solutions were analysed by reversed phase HPLC by injecting  $10\mu L$  onto a Waters Spherisorb S5 C₆ (250 x 4.6mm) column held at 35°C. An isocratic mobile phase, flowing at 1.5 mL/min., consisting of 35% acetonitrile and 65% ultrapure water containing 10 mM triethylamine and 10 mM octanesulphonic acid sodium salt was used for the analysis. The aqueous portion was made to pH 2.5 with o-phosphoric acid before adding the acetonitrile. Reagents wherever possible were HPLC Grade, AR Grade or equivalent. Detection was by UV at 260 nm. Calibration standards, prepared in Ultrapure water, were run at concentrations of 0.1, 0.5 and 1 mg/mL. The 5 and 50 mg/mL samples were diluted, 10 and 100-fold respectively, with Ultrapure water before analysis. The calibration standards were made fresh on each day of analysis.

#### 3.2 Study dates

The study was conducted from 18th November 2003 to 2nd December 2003.

#### 3.3 Storage and retention of data

The protocol, any amendments, the raw data and final report will be placed in Datacare Business Management Systems. Datacare is not a member of the GLP compliance programme. The test material will be archived in

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### 4. RESULTS AND DISCUSSION

#### Homogeneity and stability

Refer to Table 1. for full experimental results.

The data for the % nominal concentrations of the 0.5, 5 and 50 mg/mL solutions showed:

- b) "Homogeneity" was acceptable as replicate preparations all gave values within  $\pm 2.1\%$  of the nominal, clearly demonstrating these were true solutions
- b) Stability was proven over the course of 7 days refrigerated storage, including leaving out in the laboratory on days 1, 2, 3, 6 and 7 for up to 9 hours, plus 1 day frozen storage.

"Homogeneity" ( $\pm 12\%$  of the nominal) and stability ( $\pm 10\%$  of the nominal) at a lower concentration of 0.08mg/ml were also proven. This lower concentration was not used for the toxicological study. The error reported on Day 8 for one of the 5 mg/mL solutions was an analytical error, but was not considered significant as all the other samples gave the expected results.

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#### 5. **CONCLUSION**

The data for the % nominal concentrations of the 0.5, 5 and 50 mg/mL solutions showed:

- c) "Homogeneity" was acceptable as replicate preparations all gave values within ±2.1% of the nominal, clearly demonstrating these were true solutions.
- b) Stability within ±7.2% of nominal was proven over the course of 7 days refrigerated storage, including leaving out in the laboratory on days 1, 2, 3, 6 and 7 for up to 9 hours, plus 1 day frozen storage.

"Homogeneity" ( $\pm 12\%$  of the nominal) and stability ( $\pm 10\%$  of the nominal) at a lower concentration of 0.08mg/ml were also proven. This lower concentration was not used for the toxicological study

# TABLE 1. HOMOGENEITY AND STABILITY OF

# (S2539801) IN ULTRAPURE WATER

	Sample Nominal	Day	y 0	Da	ý I	Da	y 3
Replicate	Concentration (mg/ml)	Measured concentration (mg/ml)	% Nominal	Measured concentration (mg/ml)	% Nominal	Measured concentration (mg/ml)	% Nominal
A	0.080	0.089	112	not analysed	not analysed	not analysed	not analysed
В	0.078	0.087	112	not analysed	not analysed	not analysed	not analysed
A	0.504	0.494	97.9	0.491	97.4	0.502	99.6
В	0.508	0.502	98.8	0.493	97.0	0.501	98.7
A	5.11	5.06	99.1	5.04	98.7	5.08	99.4
В	5.00	5.01	100	4.95	98.9	4.97	99,4
A	50.4	51.2	102	50.2	99.6	50.5	100
В	50.4	49.9	99.1	49.6	98.5	50.3	99.8

	Sample Nominal	Day 7		Day 8/frozen		
Replicate	Concentration (mg/ml)	Measured concentration (mg/ml)	% Nominal	Measured concentration (mg/ml)	% Nominal	
Α	0.080	0.088	109	not analysed	not analysed	
В	0.078	0.086	110	not analysed	not analysed	
Α	0.504	0.502	99.6	0.498	98.9	
В	0.508	0.505	99.4	0.500	98.4	
A	<b>5</b> .11	5.10	99.8	error	error	
В	5.00	5.04	101	4.64	92.8	
Α	50.4	50.4	100	49.4	98.2	
B	50.4	49.5	98.3	48.6	96.5	

# **DISTRIBUTION LIST**

Study AH030452

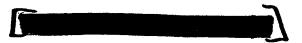
Archive C. Sparham M.York I. Bromilow

## STUDY REPORT

# HOMOGENETTY AND STABILITY IN ECOTOXICOLOGY TESTING MEDIA

Study AH030453

AUTHORS: SEAN O'CONNOR & CHRIS SPARHAM (STUDY DIRECTOR)



This report must not be circulated further, copied, or destroyed without reference to the Reports Administrator



Date: April 2004

## **STUDY INFORMATION**

Study title

Homogeneity and stability in

ecotoxicology testing media

Study number

Study location

AH030453

STUDY DATES

Date protocol signed:

15 December 2003

Experimental period:

16 December 2003 - 13 February 2004

**ARCHIVING** 

Data & Report

Datacare Business Management Systems

3012 Heyford Park Upper Heyford **Bucks OX25 5HF** 

Test Material

STUDY PERSONNEL

**Study Director** 

Chris Sparham

Chemical Analyst

Sean O'Connor

Media Preparation

**Environment Protection Department** 

Scientific Reviewer:

Ian Bromilow

QA

Harjit Lall

**CROSS REFERENCES** 

Project number

221130

Study number

AH030453

Study title

Homogeneity and stability in

ecotoxicology testing media

This report has been authorised for issue to the appropriate recipients.

26.04.04

DATE

GROUP HEAD, APPLIED SCIENCE AND TECHNOLOGY



#### **QUALITY ASSURANCE STATEMENT**

Study Number: AH030453

Study Title: Media.

Homogeneity and Stability in Ecotoxicology Testing

This study was conducted a



The following inspections and audits were conducted on the study. The dates on which they were performed and the dates on which any findings were reported to the Study Director and to Management are given below.

Audit Type	Audit Date	Report Date	
Protocol Audit Study Report Audit	12-Dec-2003 06-Apr-2004	12-Dec-2003 08-Apr-2004	
Facility Inspection	27-Nov-2003	05-Dec-2003	
Process Inspection - Measurement - LC/MS	05-Jan-2004 Procedures – Wt/Vol	19-Mar-2004	

As far as can reasonably be established, this report has been accepted by Quality Assurance as being an accurate presentation of the raw data and findings of the study.

H LALL

20 April 200A

DATE

QUALITY ASSURANCE

#### **AUTHENTICATION STATEMENT**

Study number

AH030453

Study title

Homogeneity and Stability in

Ecotoxicology Testing Media

I, the undersigned, hereby declare that this study has been conducted under my supervision, as Study Director, in accordance with bolicy on Good Laboratory Practice which is based on the UK Good Laboratory Practice Regulations 1999, No. 3106 and OECD Principles on Good Laboratory Practice (as revised in 1997) ENV/MC/CHEM/ (98)17.

I also certify that this report presents a true and accurate account of the procedures used and the results obtained.

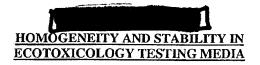
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CHRIS SPARHAM

1/4/04.

DATE





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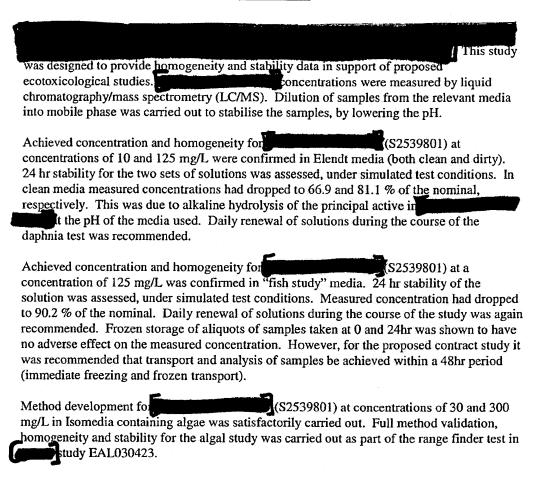
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TABLE 3. METHOD DEVELOPMENT FOR IN ISOMEDIA CONTAINING ALGAE 11

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#### **SUMMARY**



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# 1. <u>INTRODUCTION</u>

This study

was designed to provide homogeneity and stability data in support of proposed ecotoxicological studies.

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## 2. TEST MATERIAL

The sample was registered in Compound Control under the following number

stability and homogeneity is being carried out in study number AC030449

Sample name
Sample number

52520001

The sample was stored in dark ambient conditions prior to analysis. Characterisation,

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#### 3. METHOD

# 3.1 Homogeneity and stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the sta

was prepared at concentrations at the top and the bottom of the test concentration range to be used in the daphnia study. "Clean" and "dirty" Elendt media was supplied by the Environment Protection department. Concentrations were prepared at 10mg/L and 125 mg/L in both media types and were made in duplicate.

At 0hr the samples were diluted 10 fold in mobile phase in duplicate and analysed to assess homogeneity. To assess stability, analysis was repeated after 24 hours of storage under simulated test conditions i.e. between 18 and  $22 \pm 1$  °C in a mixture of light and dark. The samples were analysed as described below.

chromatography/mass spectrometry (LC/MS). The solutions were analysed by injecting 10μL onto a Luna C₈ 5μm (150 x 2.0mm) column held at 30°C. An isocratic mobile phase, flowing at 0.2 mL/min., consisting of 81% 5mM ammonium formate pH 3.0 and 19% acetonitrile was used for the analysis. Reagents were HPLC Grade or equivalent. The mass spectrometer was operated in positive electrospray ionisation (ESI) mode, with gas temperature 250°C, gas flow 11.0 L/min, nebuliser pressure 30 psi and capillary voltage 3000V. Quantitation of was carried out using single ion monitoring (SIM) for m/z 634.2. The calibration stock solution of was prepared in Ultrapure water at 1000 mg/L (stable for 1 week in the fridge). Further dilutions in calibration standard preparation were carried out in mobile phase. The calibration standards were made fresh on each day of analysis from the stock solution. Calibration standards were run at concentrations of 0.1, 1, 10 and 15 mg/L.

# 3.2 Homogeneity and Stability of in "fish study" media

was prepared at concentrations to be used in the contract fish study. The solutions were prepared in duplicate at 125 mg/L in media prepared by the Environment Protection department.

At 0hr the samples were diluted 10 fold in mobile phase in duplicate and analysed to assess homogeneity. To assess frozen storage of these samples, i.e. to simulate what would be necessary to get the samples from the contract laboratory to aliquots of the sample were immediately frozen and then analysed at timepoints, 48, 96 and 168 hr. The bulk samples were maintained at simulated test conditions (between 18 and 22 ±1°C in a mixture of light and dark) for 24hr before reanalysis. Frozen storage of aliquots of the 24hr samples was tested after freezing for 72 and 144hr.

The main component peak area was measured by LC/MS as described in Section 3.1. Calibration standards were run at 6.25, 12.5 and 25 mg/L for this part of the study.

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# 3.3 Method development for in Isomedia containing algae

Only preliminary method development was carried out in this study. Full method validation, homogeneity and stability for the algal study was carried out as part of the range finder test in study EAL030423. It was prepared in duplicate at 30 and 300 mg/L in Isomedia and the same concentration in Isomedia with Algae, as supplied by the Environment Protection department. The samples were passed through a 0.45µm PTFE syringe filter before dilution 10 fold (10mg/L) and 25 fold (300 mg/L) in mobile phase. Samples were measured at 0hr in both Isomedia and Isomedia containing algae to check the filtration step. To assess stability, the samples were left on the bench and aliquots were taken at 24, 48 and 72 hr. The main component peak area was measured by LC/MS as described in Section 3.1. Calibration standards were run at 1.5, 3, 12 and 15 mg/L for this part of the study.

#### 3.4 Study dates

The study was conducted from 16th December 2003 to 13 February 2004.

#### 3.5 Storage and retention of data

The protocol, any amendments, the raw data and final report will be placed in Datacare Business Management Systems. Datacare is not a member of the UK GLP compliance programme. The test material will be archived in

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#### 4. RESULTS AND DISCUSSION

# 4.1 Homogeneity and stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the sta

Refer to Table 1. for full experimental results.

The data for the % nominal concentrations of the 10 and 125 mg/L in clean Elendt media solutions showed:

- a) Homogeneity was acceptable as replicate preparations at 0hr gave values within ±8% of the nominal, clearly demonstrating these were true solutions. Satisfactory achieved concentration was also demonstrated.
- b) As expected, due to pH of the media, the solutions were not stable over 24 hr test conditions storage. Results of 66.9 and 81.1 % of nominal concentration were obtained for the 10 and 125 mg/L solutions respectively.

The same concentration solutions were also analysed in dirty Elendt media, to ensure the analytical method could still be used to quantify the principal active in the test substance in the presence of any matrix interferences. This data was seen to be comparable with the clean media. In fact the dirty media afforded more stability with the 10 mg/L test solution (85.9% of nominal after 24hr).

# 4.2 Homogeneity and stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the stability of the sta

Refer to Table 2. for full experimental results.

Single point averaged calibrations using the standard checks at 12.5 mg/L were used to calculate data. These standards were run bracketing samples to assess the accuracy of the calibration. The average of initial and closing calibrations was not found to give the most accurate results due to the LC/MS response decreasing over the course of a run.

The data for the % nominal concentration of the 125 mg/L solutions showed:

- a) Homogeneity was acceptable as replicate preparations at 0hr all gave values within ± 5.1% of the nominal, clearly demonstrating these were true solutions. The mean % of nominal concentration was measured at 96.0, demonstrating satisfactory achieved concentration.
- b) Stability after 24 hr under test conditions gave a mean % nominal concentration of 90.2, showing a slight drop in concentration from 0hr values.
- b) Stability of the 0 hr samples was proven for 168 hr (7 days) frozen storage with concentrations being measured  $\pm$  10.5% of the nominal concentration after this time

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with a mean value of 96.2 % of nominal. The 24hr ambient samples were also assessed for frozen stability. Mean % nominal concentration had dropped to 83.5 after 144 hr (6 days) frozen storage, a mean drop of 6.7% from the original measurement.

# 4.3 Method development of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the

Refer to Table 3. for full experimental results.

The methodology developed within this study was assessed in the measurement of the main active in the test item in the presence of algae, after incorporation of a filtration step. The data for the % nominal concentration of the 30 and 300 mg/L solutions containing algae showed 0 hr values of 85.4 and 107 respectively. Solutions in Isomedia only at the same concentrations gave % nominal values of 93.9 and 96.9. Stability was acceptable at the higher level over the course of 72 hr of storage on the laboratory bench with the % nominal concentration being 74.1. Stability at 30 mg/L was poor with the mean % nominal concentration dropping to 18.1 over the same time period.

Full method validation, homogeneity and stability for the algal study was carried out as part of the range finder test in study EAL030423. Proper conditions for algal growth were not simulated during this laboratory bench set up.

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#### 5. <u>CONCLUSION</u>

Achieved concentration and homogeneity for (\$2539801) at concentrations of 10 and 125 mg/L were confirmed in Elendt media (both clean and dirty). 24 hr stability for the two solutions was assessed, under simulated test conditions. Measured concentrations had dropped to 66.9 and 81.1 % of the nominal, respectively. This was due to alkaline hydrolysis of the principal active in the pH of the media used. Daily renewal of solutions during the course of the daphnia test was therefore recommended.

Achieved concentration, homogeneity for (\$2539801) at a concentration of 125 mg/L was confirmed in "fish study" media. 24 hr stability of the solution was assessed, under simulated test conditions. Measured concentration had dropped to 90.2 % of the nominal and daily renewal of solutions during the course of the study was recommended. Frozen storage of aliquots of samples was found to have no adverse effect on the measured concentration. However for the proposed contract study transport and analysis of samples within a 48 hr period was recommended. (immediate freezing and frozen transport)

Method development for (S2539801) at concentrations of 30 and 300 mg/L in Isomedia containing algae was satisfactorily carried out. Full method validation, homogeneity and stability for the algal study was carried out as part of the range finder test in tudy EAL030423.

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# TABLE 1. HOMOGENEITY AND STABILITY OF

# IN ELENDT MEDIA

SOLUTION	MEDIA	REPLICATE	NOMINAL CONCENTRATION (mg/L)	0 нс	DURS	24 HO	OURS
				Measured Concentration (mg/L)	% of Nominal Concentration	Measured Concentration (mg/L)	% of Nominal Concentration
10 mg/L A	Clean Elendt Media	1	10.4	10.8	104	7.25	69.7
		2	10.4	9.94	95.6	7.46	71.7
10 mg/L B	Clean Elendt Media	1	10.4	10.6	102	6.83	65.7
		2	10.4	9.70	93.3	6.28	60.4
10 mg/L	Clean Elendt Media	Average		10.3	98.7	6.96	66.9
125 mg/L A	Clean Elendt Media	1	128	126	98.4	106	82.8
		2	128	123	96.1	104	81.3
125 mg/L B	Clean Elendt Media	1	128	125	97.7	103	80.5
		2	128	118	92.2	102	79.7
125 mg/L	Clean Elendt Media	Average		123	96.1	104	81.1
10 mg/L A	Dirty Elendt Media	1	10.4	10.7	103	8.74	84.0
		2	10.4	9.88	95.0	9.03	86.8
10 mg/L B	Dirty Elendt Media	1	10.4	10.1	97.1	9.06	87.1
		2	10.4	10.1	97.1	8.89	85.5
10 mg/L	Dirty Elendt Media	Average		10.2	98.0	8.93	85.9
125 mg/L A	Dirty Elendt Media	i	128 ·	123	96.1	112	87.5
		2	128	121	94.5	112	87.5
125 mg/L B	Dirty Elendt Media	1	128	120	93.8	109	85.2
		2	128	120	93.8	110	85.9
125 mg/L	Dirty Elendt Media	Average		121	94.5	111	86.5

125 mg/L

125.85

Replicate 2

Average

# TABLE 2. HOMOGENEITY AND STABILITY OF

# IN "FISH STUDY" MEDIA

Solution	Nominal Concentration (mg/L)		0 hr		24 hr			
		Sample	Measured Concentration (mg/L)	% of Nominal Concentration	Sample	Measured Concentration (mg/L)	% of Nominal Concentration	
125 mg/L A	125.85	Replicate 1	119	94.9	Replicate 1	116	92.3	
	125.85	Replicate 2	120	95.3	Replicate 2	114	91.0	
125 mg/L B	125.85	Replicate 1	122	97.2	Replicate 1	114	90.4	
	125.85	Replicate 2	122	96.8	Replicate 2	110	87.2	
125 mg/L		Average	121	96.0		114	90.2	
			(0 hr + 48 hr frozen)		(24 hr + 72 hr frozen)			
125 mg/L A	125.85	Replicate 1	122	96.9	Replicate 1	116	91.8	
	125.85	Replicate 2	118	93.8	Replicate 2	110	87.6	
125 mg/L B	125.85	Replicate 1	127	101	Replicate 1	107	85.3	
	125.85	Replicate 2	119	94.5	Replicate 2	107	85.0	
125 mg/L		Average	121	96.4		110	87.4	
			(0 hr + 96 hr frozen)			(24hr +144hr frozen)		
125 mg/L A	125.85	Replicate 1	130	103	Replicate 1	107	84.7	
	125.85	Replicate 2	127	101	Replicate 2	108	86.1	
125 mg/L B	125.85	Replicate 1	125	99.2	Replicate 1	102	81.2	
	125.85	Replicate 2	127	101	Replicate 2	103	82.0	
125 mg/L		Average	127	101		105	83.5	
			(0 hr + 168 hr frozen)					
125 mg/L A	125.85	Replicate 1	131	104				
	125.85	Replicate 2	117	92.9				
125 mg/L B	125.85	Replicate 1	123	98.1				
	444 44							

89.5

96.2

113

121

# TABLE 3. METHOD DEVELOPMENT FOR

# IN ISOMEDIA CONTAINING ALGAE

Solution	Nominal	Sample	Measured	% of Nominal	Sample	Measured	% of Nominal
-	Concentration		Concentration	Concentration		Concentration	Concentration
	(mg/L)		(mg/L)			(mg/L)	
			0 hr			24 hr	
30 mg/L	30.0	Replicate 1	25.6	85.3	Replicate 1	18.3	60.9
30 mg/L	30.0	Replicate 2	No sample	No sample	Replicate 2	17.6	58.6
30 mg/L		Average	25.6	85.3	Average	18.0	59.8
300 mg/L	300	Replicate 1	322	107	Replicate 1	260	86.4
300 mg/L	300	Replicate 2	No sample	No sample	Replicate 2	262	87.2
300 mg/L		Average	322	107		261	86.8
			48 hr			72 hr	
30 mg/L	30.0	Replicate 1	11.3	37.5	Replicate 1	5.64	18.8
30 mg/L	30.0	Replicate 2	11.7	39.1	Replicate 2	5.25	17.5
30 mg/L		Average	11.5	38.3		5.44	18.1
300 mg/L	300	Replicate 1	260	86.7	Replicate 1	229	76.3
300 mg/L	300	Replicate 2	264	87.9	Replicate 2	216	71.9
300 mg/L		Average	262	87.3		223	74.1

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SafePharm Laboratories



# DETERMINATION OF PHYSICO-CHEMICAL PROPERTIES

**SPL PROJECT NUMBER: 1736/022** 

**AUTHORS:** 

S M Woolley D M Mullee





1736-022.doc/MSOffice

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Study Reference Number: KY030438

#### QUALITY ASSURANCE REPORT

Inspection of the routine and repetitive procedures that constitute the study is process based (as defined by OECD) and is designed to encompass the major phases at or about the time this study was in progress.

This report has been audited by Safepharm Quality Assurance Unit, and is considered to be an accurate account of the data generated and of the procedures followed.

In each case, the outcome of QA evaluation is reported to the Study Director and Management on the day of evaluation. Audits of study documentation, and process inspections appropriate to the type and schedule of this study were as follows:

§	21 April 2004	Protocol Compliance Audit
	07, 13, 18 May 2004	Melting Temperature
	14 May 2004	Relative Density
	14, 15 June 2004	Vapour Pressure
	05, 07 May 2004	Surface Tension
	10, 13 May 2004	Water Solubility
	12, 13, 26 May 2004	Partition Coefficient
	14 June 2004	Flammability (Solids)
	14, 15 June 2004	Moisture Content
	14, 15 June 2004	Relative Self-Ignition Temperature for Solids
§	08 July 2004	Draft Report Audit
§·	Date of QA Signature	Final Report Audit

§ Evaluation specific to this study

2.M. COJUL DATE: 2 4 SEP 2004

For Safepharm Quality Assurance Unit*

^{*}Authorised QA Signatures: Head of Department: Deputy Head of Department: Senior Audit Staff:

JR Pateman CBiol MIBiol DipRQA FRQA JM Crowther MIScT MRQA JV Johnson BSc MRQA; G Wren ONC MRQA

#### GLP COMPLIANCE STATEMENT

The work described was performed in compliance with UK GLP standards (Schedule 1, Good Laboratory Practice Regulations 1999 (SI 1999/3106)). These Regulations are in accordance with GLP standards published as OECD Principles on Good Laboratory Practice (revised 1997, ENV/MC/CHEM(98)17); and are in accordance with, and implement, the requirements of Directives 2004/9/EC and 2004/10/EC.

These international standards are acceptable to the Regulatory agencies of the following countries: Australia, Austria, Belgium, Canada, the Czech Republic, Denmark, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Israel, Italy, Japan, Republic of Korea, Luxembourg, Mexico, The Netherlands, New Zealand, Norway, Poland, Portugal, Slovenia, South Africa, Spain, Sweden, Switzerland, Turkey, the United Kingdom, and the United States of America.

This report fully and accurately reflects the procedures used and data generated.

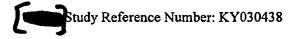
DATE: 2 3 SEP 2004

S M Woolley AMRSC Study Director

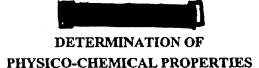
Study Reference Number: KY030438

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#### **SUMMARY**

Melting/Freezing Temperature and Boiling Temperature. Decomposed prior to melting from approximately 481 K at 102.37 kPa. As the test material decomposed, no value for melting or boiling temperature could be determined, by differential scanning calorimetry, using ASTM E537-86, Methods A1 and A2 of Commission Directive 92/69/EEC.

Relative Density. 1.52 at  $20.5 \pm 0.5$  °C, using a gas comparison pycnometer, Method A3 of Commission Directive 92/69/EEC.

Vapour Pressure. 5.3 x 10⁻⁶ Pa at 25°C, Method A4 of Commission Directive 92/69/EEC.

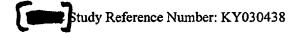
Surface Tension. 70.7 mN/m (1.00 g/l solution) at  $19.5 \pm 0.5^{\circ}$ C, using a ring method based on ISO 304, Method A5 of Commission Directive 92/69/EEC. The test material is considered not to be a surface-active material.

Water Solubility. 77.5 g/l of solution at  $20.0 \pm 0.5$ °C, using the flask method, Method A6 of Commission Directive 92/69/EEC.

Partition Coefficient.  $9.09 \times 10^{-4}$  at  $20.6 \pm 1^{\circ}$ C,  $\log_{10} P_{ow}$  -3.04, using the shake-flask method, Method A8 of Commission Directive 92/69/EEC.

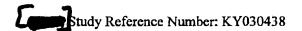
Flammability (Solids). Not highly flammable as the test material did not propagate combustion over the 200 mm of the preliminary screening test in under 4 minutes, Method A10 of Commission Directive 92/69/EEC.

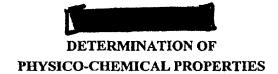
Explosive Properties. Based on the chemical structure of the test material it was considered unnecessary to carry out the explosive properties test according to Method A14 of Commission Directive 92/69/EEC. There are no significant functional groups that infer explosive properties. Therefore, the test result has been predicted as negative.



Relative Self-Ignition Temperature for Solids. 202°C, Method A16 of Commission Directive 92/69/EEC.

Oxidising Properties. Based on the chemical structure of the test material it was considered unnecessary to carry out the oxidising properties according to Method A17 of Commission Directive 92/69/EEC. There are no significant functional groups that infer oxidising properties. Therefore, the test result has been predicted as negative.





#### 1. INTRODUCTION

Physico-chemical properties of the test material have been determined.

Methods employed complied with those specified in Commission Directive 92/69/EEC (which constitutes Annex V of Council Directive 67/548/EEC).

Testing was conducted between 05 May 2004 and 23 June 2004.

#### 2. TEST MATERIAL

2.1 Description, Identification and Storage Conditions

Sponsor's identification

S2539801

Description

: 06 April 2004

Date received

Storage conditions : room temperature, in the dark

The identity, purity and stability of the test material (test item) is being addressed by the Sponsor in a GLP compliant study (Study AC030449).

#### 3. ARCHIVES

Unless instructed otherwise by the Sponsor, all original data and the final report will be retained in the Safepharm archives for five years, after which instructions will be sought as to further retention or disposal. The remaining test material (test item) will be returned to the Sponsor. Archiving of test materials (test items) is the responsibility of the Sponsor.

#### 4. MELTING/FREEZING TEMPERATURE AND BOILING TEMPERATURE

#### 4.1 Method

The determination was carried out by differential scanning calorimetry (DSC) using the procedure specified in ASTM E537-86, Methods A1 and A2 of Commission Directive 92/69/EEC (which constitutes Annex V of Council Directive 67/548/EEC).

#### 4.1.1 Procedure

#### Sample

Aliquots (see following table) of test material were placed in pierced aluminium crucibles.

Table 4.1

Determination	Mass Taken (g)
1	0.0050
2	0.0053

#### Analysis

Calorimeter

The DSC parameters were as follows:

Temperature program: initial: 25°C

rate: 5°C/min

MettlerToledo DSC12E

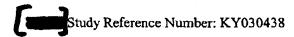
final: 360°C

Atmosphere : air (static)

#### Calibration

The temperature accuracy of the DSC was assessed using an indium reference standard (purity*99.999%). The melting temperature was determined to be  $156.7^{\circ}$ C and within the defined tolerance ( $156.6 \pm 0.5^{\circ}$ C). The DSC was therefore considered acceptable for use.

^{*} Value quoted by supplier



## 4.1.2 Calculation

Measured temperatures were converted from °C to K using Equation 4.1.

Equation 4.1

T = t + 273.15

where:

T = temperature(K)

t = temperature (°C)

#### 4.2 Results

Thermograms and thermographic data for Determinations 1 and 2 are shown in Figure 4.1 and Figure 4.2 and in the following tables respectively.

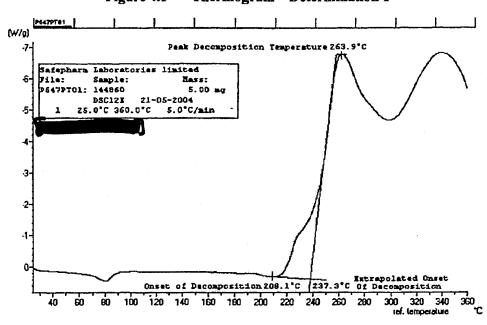
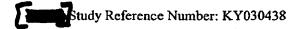


Figure 4.1 Thermogram - Determination 1

Table 4.2 Thermographic Data – Determination 1

Thermal Event	Intomustation	Temp	Temperature  °C K  208.1 481		
Thermal Event	Interpretation	°C K			
Rising baseline	Onset of decomposition	208.1	481		
•	Extrapolated onset of decomposition	237.3	510		
Exotherm	Peak decomposition temperature	263.9	537		

Atmospheric pressure: 102.37 kPa



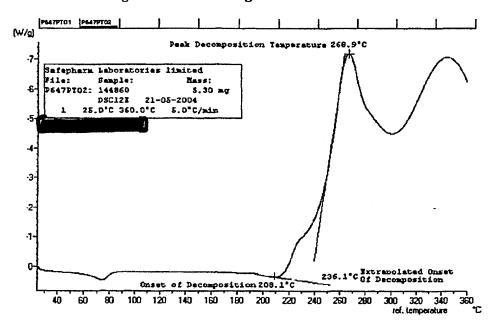


Figure 4.2 Thermogram - Determination 2

Table 4.3 Thermographic Data - Determination 2

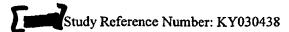
Thermal Event	Internation	Tempe	erature	
Helmai Event	Interpretation °C K			
Rising baseline	Onset of decomposition	208.1	481	
	Extrapolated onset of decomposition	236.1	509	
Exotherm	Peak decomposition temperature	268.9	542	

Atmospheric pressure: 102.37 kPa

#### 4.3 Discussion

As the test material decomposed prior to melting, no values for melting or boiling temperature could be determined. An assessment of boiling temperature is not required if the test material decomposes prior to melting.

As a result of the low rate of enthalpy change during decomposition, the onset temperature can only be approximated.



Similar thermographic profiles were obtained using air and nitrogen atmospheres except with the nitrogen atmosphere the response was reduced. This indicated that the observed decomposition in both determinations was partially thermal and partially oxidative.

Using a metal block technique for additional information, decomposition was evident from approximately 190°C, 463K, supporting the interpretation of the DSC determinations.

#### 4.4 Conclusion

The test material has been determined to decompose prior to melting from approximately 481K at 102.37 kPa. As the test material decomposed, no values for melting or boiling temperature could be determined.

#### 5. RELATIVE DENSITY

#### 5.1 Method

The relative density was determined using a gas comparison pycnometer, Method A3 of Commission Directive 92/69/EEC (which constitutes Annex V of Council Directive 67/548/EEC).

#### 5.1.1 Procedure

Testing was carried out using a Quantachrome MVP-2 gas comparison pycnometer.

#### 5.1.1.1 Calibration

A stainless steel test ball of known volume was used to calibrate the instrument prior to measurement of the test sample.

## 5.1.1.2 Sample

Aliquots (see following table) of test material were weighed into the sample cell of known volume (V_C), and placed into the pycnometer.

Table 5.1

Determination	Mass Taken (g)
Α	28.7749
В	26.9644

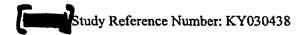
Pressure readings ( $P_1$  and  $P_2$ ) were taken after pressurising the reference cell of known volume ( $V_R$ ) and then switching to the sample cell ( $V_C$ ).

#### 5.1.2 Calculation

The relative density was calculated using Equation 5.1 to Equation 5.3.

Equation 5.1

$$V = V_C - V_R [(P_1/P_2) - 1]$$



Equation 5.2

$$o = \frac{1000 \,\mathrm{m}}{\mathrm{V}}$$

Equation 5.3

Relative density = 
$$\frac{\rho}{\rho_{\text{H}_1\text{O},4^{\circ}\text{C}}}$$

where:

V = volume of test sample (cm³)

 $V_C$  = volume of sample cell (149.225 cm³)

 $V_R$  = volume of reference cell (90.953 cm³)

 $P_i$  = pressure reading after pressurising reference cell  $(V_R)$ 

 $P_2$  = pressure reading after switching to the sample cell  $(V_C)$ 

 $\rho$  = density of test material (kg/m³)

m = mass of test material taken (g)

 $\rho_{\rm H_1O,4^{\circ}C}$  = density of water at 4°C (999.97 kg/m³)

#### 5.2 Results

#### 5.2.1 Calibration

The pressure readings (P₁ and P₂) and the calculated volume for the calibration ball are shown in the following table:

Table 5.2

Determination	P ₁	P ₂	Volume (cm³)	Certified Volume (cm³)	Tolerance (cm³)
Α	17.004	8.418	56.5	56.6	± 0.5
В	17.307	8.568	56.5	56.6	± 0.5-

## 5.2.2 Sample

The pressure readings, calculated volumes and density values obtained for the test material are shown in the following table:

Table 5.3

Determination	Pi	P ₂	Volume (cm³)	Density (kg/m³)
A	16.995	6.985	18.883	1523.9
В	17.098	6.990	17.701	1523.3

Temperature:

 $20.5 \pm 0.5$ °C

Mean density:

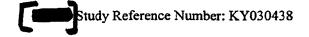
 $1523.6 \text{ kg/m}^3$ 

Relative density:

1.52

### 5.3 Conclusion

The relative density of the test material has been determined to be 1.52 at  $20.5 \pm 0.5$ °C.



#### 6. VAPOUR PRESSURE

#### 6.1 Method

The vapour pressure was determined using a vapour pressure balance system with measurements being made at several temperatures and linear regression analysis used to calculate the vapour pressure at 25 °C. Testing was conducted using Method A4 of Commission Directive 92/69/EEC (which constitutes Annex V of Council Directive 67/548/EEC.

#### 6.1.1 Procedure

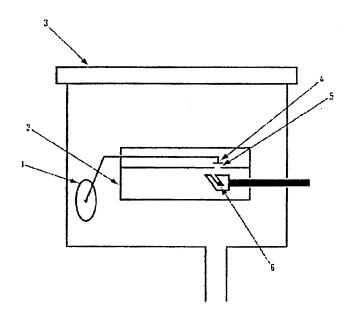
The vapour pressure was determined using a vapour pressure balance. The temperature of the sample was controlled electronically. The mass and temperature readings were recorded automatically into a computer file.

A diagram of the cross-section of the vapour pressure balance is represented in Figure 6.1. After evacuating the system, opening the shutter above the sample oven causes the escaping vapour jet to be directed at the scale pan. The difference in mass readings with the orifice covered and uncovered is proportional to the vapour pressure at the given oven temperature.

A sequence of runs was started after a sample of test material had been under vacuum for 15 minutes. Temperature and pressure readings were taken between 100 and 110°C with a one hour dwell at 110°C between runs.



Figure 6.1 Schematic Diagram of the Apparatus Used



- 1 Microbalance
- 2 Oven
- 3 Glass viewing panel
- 4 Balance pan
- 5 Shutter with orifice
- 5 Test sample

#### 6.1.2 Calculation

The vapour pressure is related to the observed mass difference by Equation 6.1.

Equation 6.1

$$Vp = \frac{\delta m.p}{A}$$

where:

Vp = vapour pressure (Pa)  $\delta m$  = mass difference (kg) g = acceleration due to gravity (9.813 m s⁻²)

A = area of the orifice  $(7.06858 \times 10^{-6} \text{m}^2)$ 

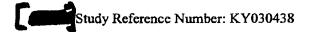
Vapour pressure is related to temperature by Equation 6.2.

Equation 6.2

$$Log_{10} [Vp(Pa)] = \frac{slope}{temperature(K)} + intercept$$

A plot of Log₁₀ Vp (Pa) versus reciprocal temperature (1/T(K)) therefore gives a straight line graph.

The vapour pressure of the sample was measured over a range of temperatures to enable extrapolation to 298.15 K.



#### 6.2 Results

#### Run 1

Temperature (°C)	Temperature (K)	Reciprocal Temperature (K ⁻¹ )	Mass Difference (µg)	Mass Difference (kg)	Vapour Pressure (Pa)	Log _{io} Vp
103	376.15	0.002658514	14.80	1.480E-08	0.020546192	-1.687268663
104	377.15	0.002651465	17.90	1.790E-08	0.024849786	-1.604677348
105	378.15	0.002644453	18.91	1.891E-08	0.026251925	-1.580838850
106	379.15	0.002637479	18.48	1.848E-08	0.025654975	-1.590828412
107	380.15	0.002630541	21.08	2.108E-08	0.029264441	-1.533659772
108	381.15	0.002623639	26.71	2.671E-08	0.037080323	-1.430856491
109	382.15	0.002616774	27.43	2.743E-08	0.038079868	-1.419304571
110	383.15	0.002609944	30.82	3.082E-08	0.042786056	-1.368697744

A plot of Log₁₀ (vapour pressure (Pa)) versus reciprocal temperature (1/T(K)) for Run 1 gives the following statistical data using an unweighted least squares treatment.

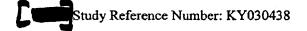
Slope -6284.192 Standard deviation in slope 628.150

Intercept 15.026 Standard deviation in intercept 1.655

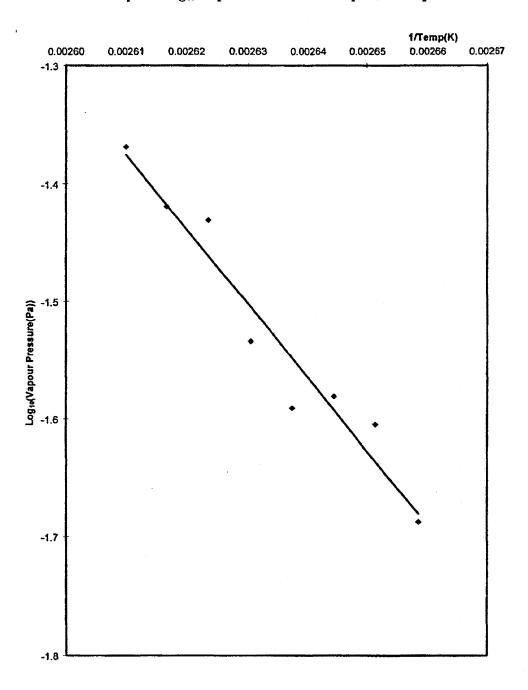
The results obtained indicate the following vapour pressure relationship:

 $Log_{10}$  (Vp (Pa)) = -6284.192/temp(K) + 15.026.

The above yields a vapour pressure (Pa) at 298.15 K with a common logarithm of -6.051.



Run 1 - Graph of Log₁₀ Vapour Pressure vs Reciprocal Temperature



Run 2

Temperature (°C)	Temperature (K)	Reciprocal Temperature (K ⁻¹ )	Mass Difference (µg)	Mass Difference (kg)	Vapour Pressure (Pa)	Log _{io} Vp
104	377.15	0.002651465	16.10	1.610E-08	0.022350925	-1.650704503
105	378.15	0.002644453	18.77	1.877E-08	0.026057569	-1.584066106
106	379.15	0.002637479	18.98	1.898E-08	0.026349103	-1.579234171
107	380.15	0.002630541	19.78	1.978E-08	0.027459708	-1.561304091
108	381.15	0.002623639	21.22	2.122E-08	0.029458797	-1.530784999
109	382.15	0.002616774	27.50	2.750E-08	0.038177045	-1.418197685
110	383.15	0.002609944	28.87	2.887E-08	0.040078956	-1.397083595

A plot of  $Log_{10}$  (vapour pressure (Pa)) versus reciprocal temperature (1/T(K)) for Run 2 gives the following statistical data using an unweighted least squares treatment.

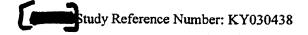
Slope -5884.233 Standard deviation in slope 829.988

Intercept 13.948 Standard deviation in intercept 2.183

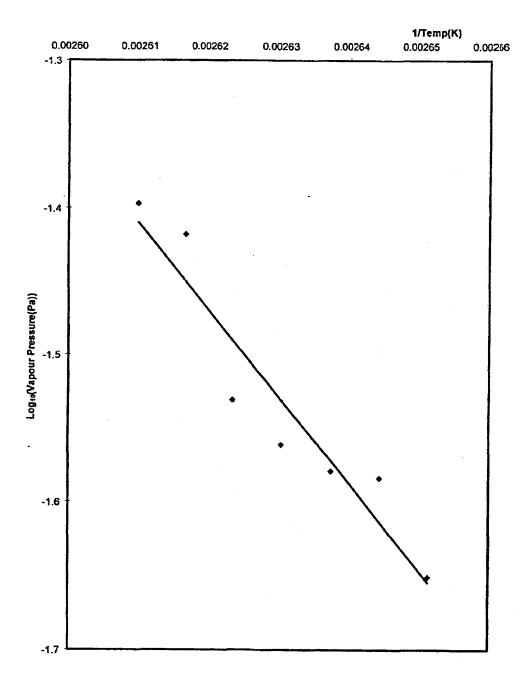
The results obtained indicate the following vapour pressure relationship:

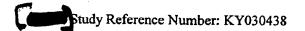
 $Log_{10} (Vp (Pa)) = -5884.233/temp(K) + 13.948.$ 

The above yields a vapour pressure (Pa) at 298.15 K with a common logarithm of -5.788.



Run 2 - Graph of Log₁₀ Vapour Pressure vs Reciprocal Temperature





Run 3

Temperature (°C)	Temperature (K)	Reciprocal Temperature (K ⁻¹ )	Mass Difference (µg)	Mass Difference (kg)	Vapour Pressure (Pa)	Log ₁₀ Vp
102	375.15	0.002665600	15.45	1.545E-08	0.021448558	-1.668601895
103	376.15	0.002658514	16.60	1.660E-08	0.023045053	-1.637422291
104	377.15	0.002651465	18.77	1.877E-08	0.026057569	-1.584066106
105	378.15	0.002644453	19.85	1.985E-08	0.027556886	-1.559769868
106	379.15	0.002637479	20.21	2.021E-08	0.028056658	-1.551964065
107	380.15	0.002630541	23.02	2.302E-08	0.031957658	-1.495425059
108	381.15	0.002623639	24.32	2.432E-08	0.033762391	-1.471566808
109	382.15	0.002616774	25.12	2.512E-08	0.034872996	-1.457510744
110	383.15	0.002609944	28.58	2.858E-08	0.039676362	-1.401468154

A plot of Log₁₀ (vapour pressure (Pa)) versus reciprocal temperature (1/T(K)) for Run 3 gives the following statistical data using an unweighted least squares treatment.

Slope -4546.416

Standard deviation in slope 225.173

Intercept 10.455

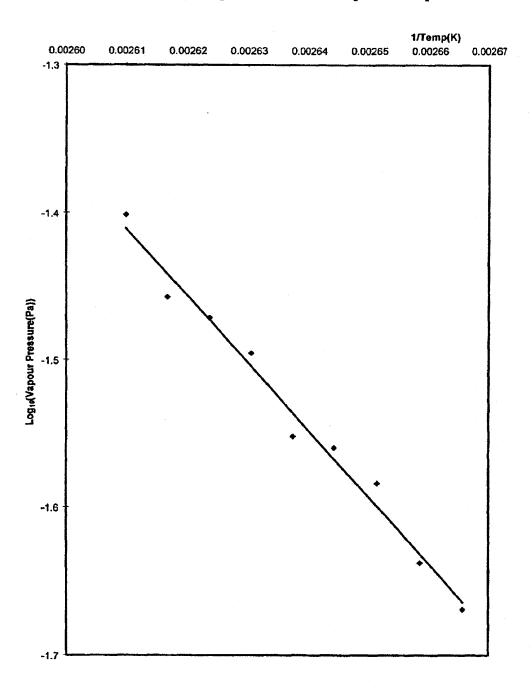
Standard deviation in intercept 0.594

The results obtained indicate the following vapour pressure relationship:

 $Log_{10} (Vp (Pa)) = -4546.416/temp(K) + 10.455.$ 

The above yields a vapour pressure (Pa) at 298.15 K with a common logarithm of -4.794.

 $Run\,3$  - Graph of  $Log_{10}\,Vapour\,Pressure\,vs\,Reciprocal\,Temperature$ 



Run 4

Temperature (°C)	Temperature (K)	Reciprocal Temperature (K ⁻¹ )	Mass Difference (μg)	Mass Difference (kg)	Vapour Pressure (Pa)	Log ₁₀ Vp
102	375.15	0.002665600	18.04	1.804E-08	0.025044142	-1.601293846
103	376.15	0.002658514	18.98	1.898E-08	0.026349103	-1.579234171
104	377.15	0.002651465	20.35	2.035E-08	0.028251014	-1.548965965
105	378.15	0.002644453	23.02	2.302E-08	0.031957658	-1.495425059
106	379.15	0.002637479	24.18	2.418E-08	0.033568035	-1.474074082
107	380.15	0.002630541	25.19	2.519E-08	0.034970174	-1.456302211
108	381.15	0.002623639	29.45	2.945E-08	0.040884145	-1.388445080
109	382.15	0.002616774	31.97	3.197E-08	0.044382551	-1.352787742
110	383.15	0.002609944	34.72	3.472E-08	0.048200255	-1.3 16950662

A plot of Log₁₀ (vapour pressure (Pa)) versus reciprocal temperature (1/T(K)) for Run 4 gives the following statistical data using an unweighted least squares treatment.

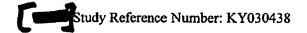
Slope -5213.041 Standard deviation in slope 234.951

Intercept 12.282 Standard deviation in intercept 0.620

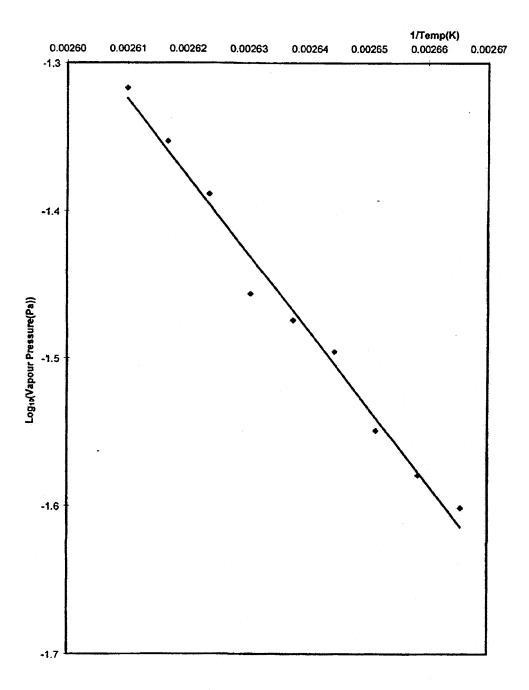
The results obtained indicate the following vapour pressure relationship:

 $Log_{10} (Vp (Pa)) = -5213.041/temp(K) + 12.282.$ 

The above yields a vapour pressure (Pa) at 298.15 K with a common logarithm of -5.203.



Run 4 - Graph of Log₁₀ Vapour Pressure vs Reciprocal Temperature



Run 5

Temperature (°C)	Temperature (K)	Reciprocal Temperature (K ⁻¹ )	Mass Difference (µg)	Mass Difference (kg)	Vapour Pressure (Pa)	Log ₁₀ Vp
101	374.15	0.002672725	18.40	1.840E-08	0.025543914	-1.592712556
102	375.15	0.002665600	18.98	1.898E-08	0.026349103	-1.579234171
103	376.15	0.002658514	17.54	1.754E-08	0.024350014	-1.613500790
104	377.15	0.002651465	23.17	2.317E-08	0.032165896	-1.492604345
105	378.15	0.002644453	23.82	2.382E-08	0.033068263	-1.480588622
106	379.15	0.002637479	25.62	2.562E-08	0.035567124	-1.448951253
107	380.15	0.002630541	23.82	2.382E-08	0.033068263	-1.480588622
108	381.15	0.002623639	32.98	3.298E-08	0.045784689	-1.339279727
109	382.15	0.002616774	31.76	3.176E-08	0.044091017	-1.355649885
110	383.15	0.002609944	33.99	3.399E-08	0.047186828	-1.326179214

A plot of Log₁₀ (vapour pressure (Pa)) versus reciprocal temperature (1/T(K)) for Run 5 gives the following statistical data using an unweighted least squares treatment.

Slope -4692.019 Standard deviation in slope 591.229

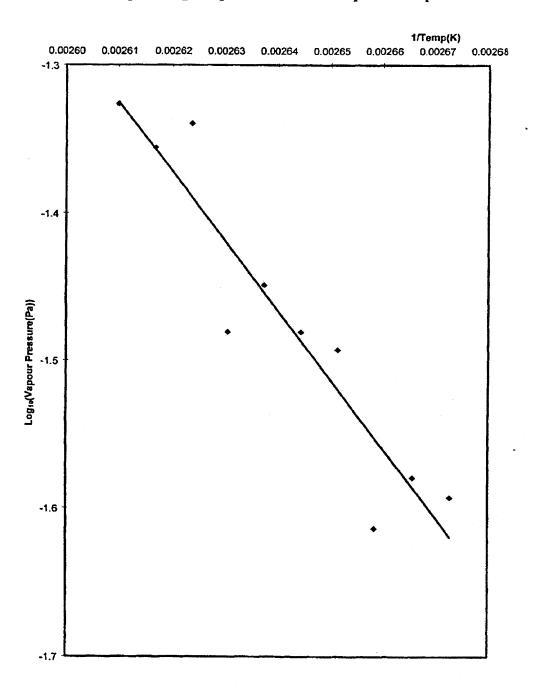
Intercept 10.921 Standard deviation in intercept 1.562

The results obtained indicate the following vapour pressure relationship:

 $Log_{10} (Vp (Pa)) = -4692.019/temp(K) + 10.921.$ 

The above yields a vapour pressure (Pa) at 298.15 K with a common logarithm of -4.816.

Run 5 - Graph of Log₁₀ Vapour Pressure vs Reciprocal Temperature



Run 6

Temperature (°C)	Temperature (K)	Reciprocal Temperature (K ⁻¹ )	Mass Difference (μg)	Mass Difference (kg)	Vapour Pressure (Pa)	Log ₁₀ Vp
102	375.15	0.002665600	19.05	1.905E-08	0.026446281	-1.577635399
103	376.15	0.002658514	19.49	1.949E-08	0.027057113	-1.567718540
104	377.15	0.002651465	19.56	1.956E-08	0.027154291	-1.566161528
105	378.15	0.002644453	23.60	2.360E-08	0.032762846	-1.484618376
106	379.15	0.002637479	22.52	2.252E-08	0.031263530	-1.504961993
107	380.15	0.002630541	26.63	2.663E-08	0.036969263	-1.432159212
108	381.15	0.002623639	29.74	2.974E-08	0.041286739	-1.384189415
109	382.15	0.002616774	32.41	3.241E-08	0.044993383	-1.346851348
110	383.15	0.002609944	33.78	3.378E-08	0.046895294	-1.328870733

A plot of Log₁₀ (vapour pressure (Pa)) versus reciprocal temperature (1/T(K)) for Run 6 gives the following statistical data using an unweighted least squares treatment.

Slope -4965.505 Standard deviation in slope 440.466

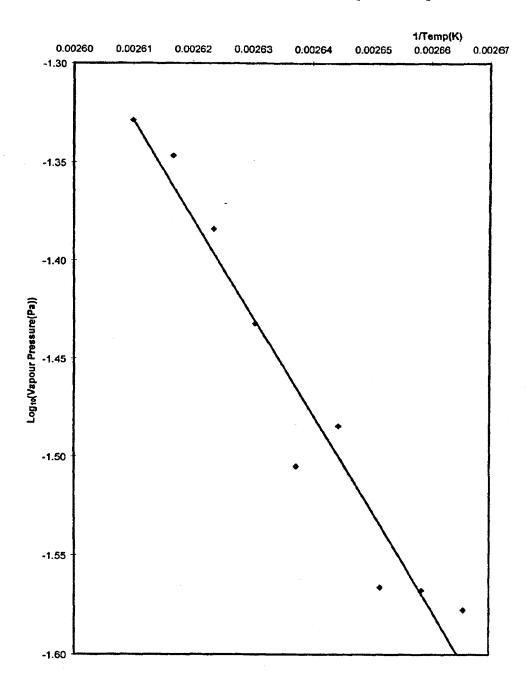
Intercept 11.631 Standard deviation in intercept 1.162

The results obtained indicate the following vapour pressure relationship:

 $Log_{10} (Vp (Pa)) = -4965.505/temp(K) + 11.631.$ 

The above yields a vapour pressure (Pa) at 298.15 K with a common logarithm of -5.023.

Run 6 - Graph of Log₁₀ Vapour Pressure vs Reciprocal Temperature



### **Summary of Results**

Run Log ₁₀ [Vp(25°C)]	
1	-6.051
2	-5.788
3	-4.794
4	-5.203
5	-4.816
6	-5.023
Mean	-5.279
Vapour Pressure	5.3 x 10 ⁻⁶ Pa

#### 6.3 Discussion

It was evident that there was a poor correlation both within each run and between runs. This was due to the low mass difference readings observed, being close to noise level. There is however, within each run, a consistent general increase of vapour pressure with temperature and so it was considered inappropriate to assign a maximum (least negative) slope in order to extrapolate a worst case limit value.

It was considered more appropriate to accumulate data so that the extrapolated values average can tend towards the true value. Due to the low level of the extrapolated values, the correlation between readings was considered sufficient for the purposes of notification.

The test material darkened slightly under the conditions used in the determination.

#### 6.4 Conclusion

The vapour pressure of the test material has been determined to be 5.3 x 10⁻⁶ Pa at 25 °C.

#### 7. SURFACE TENSION

#### 7.1 Method

The determination was carried out using a White Electrical Institute interfacial tension balance and a procedure based on the ISO 304 ring method. With the exception of the following deviation, the experimental procedure used complied with that specified in Method A5 of Commission Directive 92/69/EEC (which constitutes Annex V of Council Directive 67/548/EEC).

1) The surface tension result was not corrected using the Harkins-Jordan correction table as the correction is not applicable to the apparatus used. Once calibrated, the balance and ring assembly used in this test give a direct reading for surface tension that is within the required accuracy (± 0.5 mN/m); this is as a result of the reduced ring dimensions.

This deviation has been considered not to have affected the integrity of the study.

#### 7.1.1 Procedure

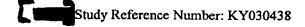
#### 7.1.1.1 Cleaning of apparatus

The platinum ring and all glassware were cleaned with acetone, tap water, chromic acid, tap water and finally glass double-distilled water. The platinum ring was dried over a methanol flame and cleaned between each surface tension measurement.

#### 7.1.1.2 Preparation of sample solution

Table 7.1

Sample Preparation	Time (mins)
An aliquot (0.1003 g) of test material was diluted to 100 ml with glass double-distilled water.	0-3
The sample solution was shaken by hand for 1 minute.	3-4
The sample solution was transferred to the measuring vessel.	4



## 7.1.1.3 Surface tension readings

The surface tension of the sample solution was measured at intervals until a constant reading was obtained. The elapsed time from transferral to the measuring vessel to obtaining each surface tension reading was recorded.

A calibration reading of glass double-distilled water was taken with each sample reading.

Readings were taken of the minimum force required to detach the ring from the surface of the liquid. Temperature readings were taken directly after each sample and calibration reading.

#### 7.1.2 Calculation

## 7.1.2.1 Calibration factor (\$\phi\$)

The calibration factor  $(\phi)$ , by which the measured surface tension of the sample solution is multiplied, was determined using Equation 7.1.

Equation 7.1

$$\phi = \frac{V_L}{V_M}$$

where:

 $\phi$  = calibration factor

 $V_L$  = literature value for surface tension of water at test temperature (mN/m)

 $V_M$  = measured value for surface tension of water at test temperature (mN/m)

#### 7.2 Results

## 7.2.1 Calibration factor $(\phi)$

The readings, temperatures and the corresponding calibration factors for glass double-distilled water are shown in the following table:

Table 7.2

Reading (mN/m)	Temperature (°C)	Literature Value (mN/m)	Calibration Factor
72.5	19.4	72.84	1.005
73.0	19.4	. 72.84	0.998
72.5	19.6	72.81	1.004
72.5	19.6	72.81	1.004
72.5	19.6	72.81	1.004
72.5	19.8	72.78	1.004
72.5	19.8	72.78	1.004

## 7.2.2 Sample solution readings

The readings, times and temperatures for the sample solution are shown in the following table:

Table 7.3

- Time (mins)	Reading (mN/m)	Temperature (°C)	
67	70.0	19.4	
79	70.5	19.6	
86	70.5	19.6	
93	70.5	19.6	
101	70.5	19.8	
113	70.5	19.8	

Mean (of last five readings) =

70.5 mN/m

Surface tension

reading x calibration factor

= 70.5 x 1.003 = 70.7mN/m

Temperature

19.5 ± 0.5℃

pH of sample solution

4.4



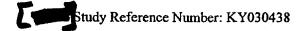
#### 7.3 Discussion

Substances having a surface tension below 60 mN/m are regarded as being surface-active.

Based on the pH of the sample solution and information obtained in the hydrolysis study (SPL Project Number 1736/023) it was considered that negligible hydrolysis of the sample solution occurred during the course of the surface tension test.

## 7.4 Conclusion

The surface tension of a 1.00 g/l solution of test material has been determined to be 70.7 mN/m at  $19.5 \pm 0.5$ °C. The test material is considered not to be a surface-active material.



#### 8. WATER SOLUBILITY

#### 8.1 Method

The determination was carried out using the flask method, Method A6 of Commission Directive 92/69/EEC (which constitutes Annex V of Council Directive 67/548/EEC).

#### 8.1.1 Procedure

#### 8.1.1.1 Preliminary test

An aliquot (3.0450g) of test material was diluted to 15 ml with glass double-distilled water. After shaking at 30°C for 5 hours and standing at 20°C for 17 hours, the solution was centrifuged at 13,500 rpm for approximately 30 minutes, filtered through 0.45 µm filter and analysed.

#### 8.1.1.2 Definitive test

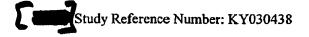
Based on the preliminary result, mixtures (see following table) of test material and glass doubledistilled water were added to three separate flasks.

Table 8.1

Sample Number	Mass of Test Material (g)	Volume of Water (ml)
I	6.0129	15
2	6.0063	15
3	6.0094	15

After addition of glass double-distilled water to the flasks, they were shaken at approximately 30°C and, after standing at 20°C for a period of not less than 24 hours, the contents of the flasks were centrifuged at 13,500 rpm for approximately 30 minutes, sampled using syringes and needles, decanted and filtered through 0.45 filters. After this procedure, the samples were free from undissolved test material.

The pH of each solution was measured.



#### 8.1.1.3 Analysis of sample solution

The concentration of test material in the sample solutions was determined by high performance liquid chromatography (HPLC).

## Samples

Duplicate aliquots (A and B) of the sample solution were diluted by a factor of 1000 using acetonitrile.

#### Blank

Acetonitrile

#### Standards

Duplicate standard solutions of test material were prepared in acetonitrile at a nominal concentration of 75 mg/l.

#### Analysis

The standard and sample solutions were analysed by HPLC using the following conditions:

**HPLC System** 

Agilent Technologies 1100, incorporating autosampler

and workstation

Column

Spherisorb S5 C₆ (250 x 4.6 mm id)

Column temperature

Mobile phase

buffer *: acetonitrile (65:35 v/v)

Flow-rate

1.5 ml/min

UV detector wavelength

260 nm

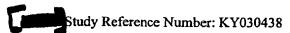
Injection volume

10 µl

Retention time

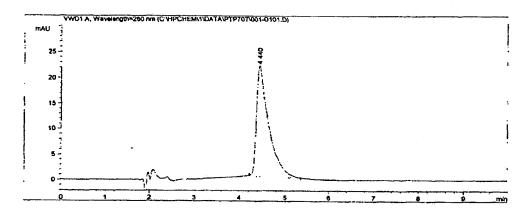
~ 4 mins

^{*} buffer preparation - approximately 2.1628 g of 1-octane sulfonic acid sodium salt dissolved in 650 ml reverse osmosis water. Approximately 1.012 g of triethylamine added and the pH adjusted to 2.5 using orthophosphoric acid, or equivalent volumes/weights.

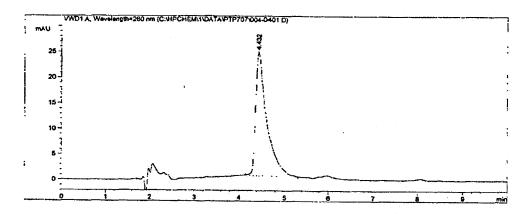


# Typical Chromatography

## Standard 75.5 mg/l



## Sample 1A



#### 8.1.2 Calculation

The mean peak area of each standard was corrected to a nominal concentration of 75 mg/l and the mean value taken.

The sample solution concentration (g/l) was calculated using Equation 8.1

Equation 8.1

$$C_{spl} = \frac{P_{spl}}{P_{std}} \times C_{std} \times D \times \frac{1}{1000}$$

where:

 $C_{spl}$  = sample concentration (g/l)

 $P_{spl}$  = mean peak area of sample solution

P_{std} = mean peak area of standard solution, corrected to nominal standard concentration

 $C_{std}$  = nominal standard concentration (75 mg/l)

D = sample dilution factor (1000)

#### 8.2 Results

#### 8.2.1 Preliminary test

The preliminary estimate of water solubility was 80.0 g/l.

#### 8.2.2 Definitive test

The mean peak areas relating to the standard and sample solutions are shown in the following table:

Table 8.2

Solution	Mean Peak Area
Standard 75.5 mg/l	397.802
Standard 75.1 mg/l	398.099
Blank	ND*
Sample IA	411.841
Sample 1B	405.259
Sample 2A	406.698
Sample 2B	410.012
Sample 3A	411.460
Sample 3B	414.929

The concentration (g/l) of test material in the sample solutions is shown in the following table:

Table 8.3

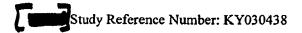
Sample Number	Time Shaken at ~ 30°C (hours)	Time Equilibrated at 20°C (hours)	Concentration (g/l)	Solution pH
ì	24	24	77.3	2.7
2	48	24	77.2	2.7
3	72	24	78.1	2.8

Mean concentration: 77.5 g/l at  $20.0 \pm 0.5 ^{\circ}\text{C}$ 

Range:

77.2 to 78.1 g/l

^{*} ND = None detected.



#### 8.3 Validation

The linearity of the detector response with respect to concentration was assessed over the nominal concentration range of 0 to 160 mg/l. This was satisfactory with a correlation coefficient of 1.00 being obtained.

#### 8.4 Discussion

It is evident from the information obtained in the hydrolysis study (see SPL Project number 1736/023) and data relating to the pH of the test material in water that negligible hydrolysis of the sample solution occurred during the course of the water solubility test.

## 8.5 Conclusion

The water solubility of the test material has been determined to be 77.5 g/l of solution at  $20.0 \pm 0.5$  °C.

#### 9. PARTITION COEFFICIENT

#### 9.1 Method

The determination was carried out using the shake-flask method, Method A8 of Commission Directive 92/69/EEC (which constitutes Annex V of Council Directive 67/548/EEC).

#### 9.1.1 Procedure

#### 9.1.1.1 Reagents

Mutually saturated n-octanol and water was prepared in-house.

## 9.1.1.2 Preliminary estimation

A preliminary assessment of the partition coefficient was made based on the approximate solubilities of the test material in n-octanol and water.

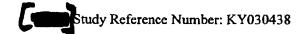
## 9.1.1.3 Definitive test

A stock solution was prepared by diluting test material (0.5078 g) to 250 ml with n-octanol saturated water. The pH was adjusted to 7.5 using 0.1M sodium hydroxide.

Six partitions (see following table) were performed. In each test, the combined volume of both phases occupied not less than 90% of the total volume of the test vessel.

Table 9.1

Test	Volume of Stock Solution (ml)	Solution (ml) Volume of Water Saturated n-octanol (ml)						
1	40	80	19.8					
2	40	80	20.0					
3	25	100	20.0					
4	25	100	20.0					
5	15	120	21.6					
6	15	120	20.2					



The shaking was performed by inversion of the flasks through approximately 180° over a five minute period. After separation, aliquots of both phases were taken for analysis.

#### 9.1.1.4 Analysis of sample solutions

The concentration of test material in the sample solutions was determined by high performance liquid chromatography (HPLC).

#### Organic phase samples

The samples were diluted by a factor of 2 using acetonitrile.

#### Organic phase standards

Duplicate standard solutions of test material were prepared in acetonitrile:water saturated noctanol (50:50 v/v) at a nominal concentration of 10 mg/l.

#### Organic phase blank

Acetonitrile:water saturated n-octanol (50:50 v/v).

#### Aqueous phase samples

The samples were diluted by a factor of 20 using acetonitrile.

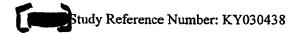
Duplicate aliquots (A and B) of the stock solution were diluted by a factor of 20 using acetonitrile.

#### Aqueous phase standards

Duplicate standard solutions of test material were prepared in acetonitrile:n-octanol saturated water (95:5 v/v) at a nominal concentration of 100 mg/l.

#### Aqueous phase blank

Acetonitrile:n-octanol saturated water (95:5 v/v).



#### **Analysis**

The standard and sample solutions were analysed by HPLC using the following conditions:

**HPLC** System

Agilent Technologies 1100, incorporating

autosampler and workstation

Column

Spherisorb S5 C₆ (250 x 4.6 mm id)

Column temperature

: 30°C

Mobile phase

buffer *:acetonitrile (65:35 v/v)

Flow-rate

1.5 ml/min

UV detector wavelength

260 nm

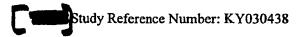
Injection volume

10 µl

Retention time

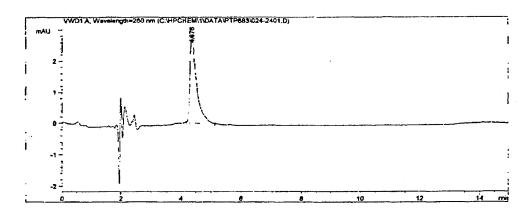
~ 4 mins

^{*} buffer preparation - approximately 2.1628 g of 1-octane sulfonic acid sodium salt dissolved in 650 ml reverse osmosis water. Approximately 1.012 g of triethylamine added and the pH adjusted to 2.5 using orthophosphoric acid, or equivalent volumes/weights.

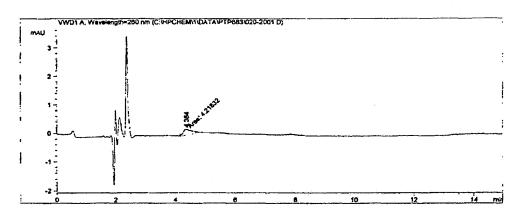


# Typical Chromatography

# Organic Phase - Standard 10.3 mg/l

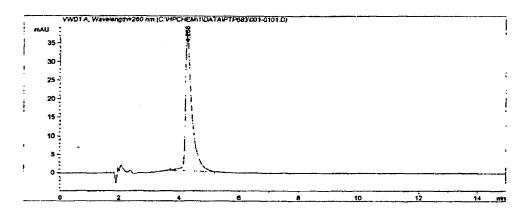


# Organic Phase - Sample 4

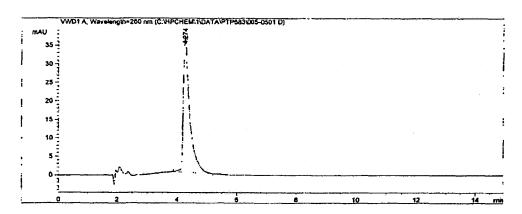


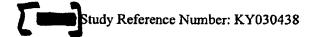
Typical Chromatography

# Aqueous Phase - Standard 102 mg/l



# Aqueous Phase - Sample 1





## 9.1.2 Calculation

#### 9.1.2.1 Preliminary estimate

The preliminary estimate of the partition coefficient was calculated using Equation 9.1.

Equation 9.1

$$P_{ow}$$
 estimate =  $\frac{\text{solubility of the test material in } n - \text{octanol}}{\text{solubility of the test material in water}}$ 

# 9.1.2.2 Definitive test

The mean peak area of each standard was corrected to nominal concentration and the mean value taken.

The analysed concentrations (mg/l) of the organic, aqueous and stock solutions were calculated using Equation 9.2, Equation 9.3 and Equation 9.4 respectively.

Equation 9.2

$$C_{org} = \frac{sple_{org}}{std_{org}} \times C_{std} \times D$$

Equation 9.3

$$C_{aq} = \frac{\text{sple}_{aq}}{\text{std}_{aq}} \times C_{std} \times D$$

Equation 9.4

$$C_{\text{stock}} = \frac{\text{stock}}{\text{std}_{\text{org}}} \times C_{\text{std}} \times D$$

The total weights (mg) of test material in the respective phases were calculated using Equation 9.5, Equation 9.6 and Equation 9.7 respectively.

Equation 9.5

$$W_{\text{org}} = C_{\text{org}} \times \frac{V_{\text{org}}}{1000}$$



Equation 9.6

$$W_{aq} = C_{aq} \times \frac{V_{aq}}{1000}$$

Equation 9.7

$$W_{\text{stock}} = C_{\text{stock}} \times \frac{V_{\text{stock}}}{1000}$$

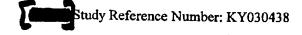
The partition coefficient for each determination was calculated using Equation 9.8.

Equation 9.8

$$P_{\text{ow}} = \frac{C_{\text{org}}}{C_{\text{aq}}}$$

## where:

$C_{\text{stock}}$	=	analysed stock solution concentration (mg/l)
$C_{org}$	==	analysed organic phase concentration (mg/l)
Caq	=	analysed aqueous phase concentration (mg/l)
stock	_	
		mean peak area for stock solution
spleorg	=	mean peak area for organic phase solution
$sple_{aq}$	=	mean peak area for aqueous phase solution
std _{org}	=	mean peak area for organic standard solution, corrected to nominal standard
		concentration
$std_{aq}$	=	mean peak area for aqueous standard solution, corrected to nominal standard
		concentration
$C_{std}$	=	nominal standard concentration (mg/l)
D	=	dilution factor
$W_{\text{stock}}$	=	weight of test material found in the stock solution (mg)
$W_{org}$	=	weight of test material found in the organic phase (mg)
$W_{aq}$	==	weight of test material found in the aqueous phase (mg)
$V_{\text{stock}}$	=	volume of stock solution used in the determination (ml)
$V_{org}$	=	volume of organic phase used in the determination (ml)
$V_{aq}$	=	volume of aqueous phase used in the determination (ml)
$\mathbf{P}_{ow}$	=	partition coefficient



#### 9.2 Results

# 9.2.1 Preliminary estimate

Approximate solubility in n-octanol: <16.0 mg/lApproximate solubility in water:  $1.39 \times 10^5 \text{ mg/l}$ 

Approximate  $P_{ow}$ : <1.15 x 10⁻⁴

Log₁₀ P_{ow}: <-3.94

#### 9.2.2 Definitive test

The mean peak areas obtained for the standard, stock and sample solutions are shown in the following two tables:

Table 9.2 - Aqueous Phase

Solution	Mean Peak Area
Standard 102 mg/l	531.765
Standard 103 mg/l	545.256
Blank	ND*
Sample 1	536.883
Sample 2	533.953
Sample 3	536.938
Sample 4	509.412
Sample 5	519.745
Sample 6	477.246
Stock solution A	507.215
Stock solution B	526.043

^{*} ND = None detected.



Table 9.3 – Organic Phase

Solution	Mean Peak Area
Standard 10.2 mg/l	47,622
Standard 10.3 mg/l	44.908
Blank	ND*
Sample 1	4.004
Sample 2	3.814
Sample 3	4.175
Sample 4	4.873
Sample 5	3.743
Sample 6	3.692

The total weights (mg) and analysed concentration (mg/l) of the respective phases are shown in the following table:

Table 9.4

Sample Number	Volume of Water	Volume of noctanol Saturated	Total	Organic Pl	hase	Aqueo	us Phase	
	Saturated n-octanol (ml)	Water (ml) Stock Solution (ml)	Weight (mg)*	Analysed Concentration (mg/l)	Total Weight (mg)†	Analysed Concentration (mg/l)	Total Weight (mg)†	рН
1	80	40	78.8	1.78	0.142	$2.05 \times 10^3$	81.9	6.5
2	80	40	78.8	1.69	0.135	$2.04 \times 10^3$	81.4	6.5
3	100	25	49.2	1.85	0.185	2.05 x 10 ³	51.2	6.6
4	100	25	49.2	2.16	0.216	1.94 x 10 ³	48.6	6.7
5	120	15	29.5	1.66	0.199	1.98 x 10 ³ .	29.7	6.7
6	120	15	29.5	1.64	0.197	$1.82 \times 10^3$	27.3	6.6

pH of n-octanol saturated water:

5.8

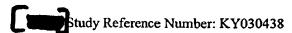
pH of stock solution:

7.5

Temperature:

 $20.6 \pm 1$ °C

[†] From analysis of the respective phase



^{*} From analysis of the stock solution

The partition coefficient determined for each sample is shown in the following table:

Table 9.5

Sample Number	n-Octanol/Water Volume Ratio	Partition Coefficient	Log ₁₀ Pow	Mean Partition Coefficient		
1	2:1	8.68 x 10 ⁻⁴	-3.06	8.50 x 10 ⁻⁴		
2	2:1	8.31 x 10 ⁻⁴	-3.08	8.50 X 10		
3		9.05 x 10 ⁻⁴ -3.04		101 103		
4	4:1	4:1 1.11 x 10 ⁻³		1.01 x 10 ⁻³		
5	0.1	8.38 x 10 ⁻⁴	-3.08	2.52 104		
6	8:1	9.00 x 10 ⁻⁴	-3.05	8.69 x 10 ⁻⁴		

Mean  $P_{ow} : 9.09 \times 10^{-4}$ 

log₁₀ Pow :-3.04

Standard deviation: 1.05 x 10⁻⁴

#### 9.3 Validation

The linearity of the detector response with respect to concentration was assessed over the nominal concentration range of 0 to 200 mg/l for the aqueous sample matrix and 0 to 20 mg/l for the organic sample matrix. Both linearities were satisfactory with correlation coefficients of 0.997 and 0.998 respectively being obtained.

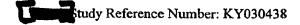
#### 9.4 Discussion

Substances having a  $log_{10}$   $P_{ow}$  of less than 3 are regarded as not having the potential to bioaccumulate in the environment.

The n-octanol saturated water was adjusted to pH 7.5 to ensure the test material was partitioned at an environmentally relevant pH.

#### 9.5 Conclusion

The partition coefficient of the test material has been determined to be  $9.09 \times 10^{-4}$  at  $20.6 \pm 1.0^{\circ}$ C,  $\log_{10} P_{ow}$  -3.04.



## 10. FLAMMABILITY (SOLIDS)

#### 10.1 Method

The flammability (solids) was determined by measuring the burning rate of test material prepared as a pile of set dimensions. Testing was conducted using Method A10 of Commission Directive 92/69/EEC (which constitutes Annex V of Council Directive 67/548/EEC).

#### 10.1.1 Procedures

#### 10.1.1.1 Preliminary screening test

A mould (250 x 20 x 10 mm) was loosely filled with test material (tested as received). A non-combustible, non-porous board was placed onto the mould which was then inverted. The mould was removed and an air-rich Bunsen burner flame applied to one end of the pile until ignition occurred. The time taken to propagate 200 mm was recorded.

#### 10.1.1.2 Moisture content

The moisture content was determined gravimetrically.

An aliquot (approximately 1 g) of test material was weighed (in duplicate; A and B) into loss bottles. The samples were dried to constant weight at approximately 105°C.

#### 10.1.2 Calculation

The moisture content was calculated using Equation 10.1.

Equation 10.1

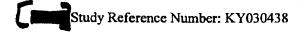
Moisture Content (%) =  $\frac{b-c}{b-a} \times 100$ 

Where:

a = mass of loss bottle (g)

b = mass of loss bottle and test material (g)

c = mass of loss bottle and test material after drying (g)



## 10.2 Results

# 10.2.1 Preliminary screening test

The pile did not ignite, although it smouldered across 200 mm in 1 hour 43 minutes and 29 seconds.

The result of the preliminary screening test obviated the need to perform the main test.

#### 10.2.2 Moisture content

The results of the moisture content are shown in the following table:

	Determination A	Determination B		
a) Mass of loss bottle (g)	18.56070	19.48467		
b) Mass of loss bottle and test material (g)	19.56247	20.50073		
c) Mass of loss bottle and test material after drying (g)	19.53360	20,47072		
Moisture content (% w/w)	2.882	2.954		
Mean moisture content (% w/w)	2.918			

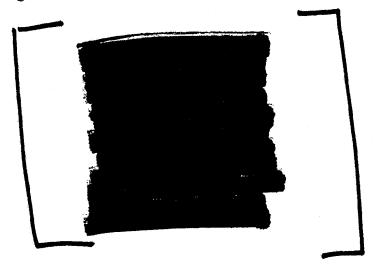
#### 10.3 Conclusion

The test material has been determined to be not highly flammable as it did not propagate combustion over the 200 mm of the preliminary screening test in under 4 minutes.

## 11. EXPLOSIVE PROPERTIES

# 11.1 Summary

Based on the chemical structure of the test material it was considered unnecessary to carry out the explosive properties test according to Method A14 of Commission Directive 92/69/EEC. There are no significant functional groups that infer explosive properties. Therefore, the test result has been predicted as negative.



## 12. RELATIVE SELF-IGNITION TEMPERATURE FOR SOLIDS

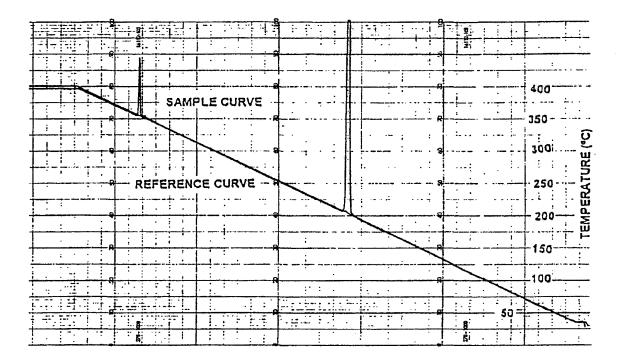
#### 12.1 Method

The test material was heated in an oven and the relative self ignition temperature determined. Testing was conducted using Method A16 of Commission Directive 92/69/EEC (which constitutes Annex V of Council Directive 67/548/EEC).

#### 12.1.1 Procedure

An aliquot of the test material was suspended in a stainless steel mesh cube (approximately 20 x 20 mm) in an oven. A thermocouple was placed in the centre of the sample and another in the oven. The oven temperature was programmed to increase from ambient to 400°C at a rate of 0.5°C/min. The temperature/time curves relating to the condition in the centre of the sample and the oven were recorded on a two channel chart recorder.

#### 12.2 Results



Study Reference Number: KY030438

# Observations after the test

The cube contained that the charred material.

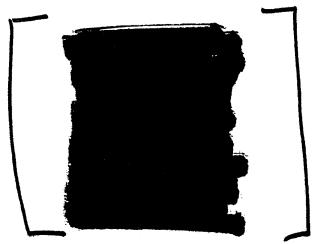
## 12.3 Conclusion

The test material has been determined to have a relative self-ignition temperature of 202°C.

## 13. OXIDISING PROPERTIES

# 13.1 Summary

Based on the chemical structure of the test material it was considered unnecessary to carry out the oxidising properties according to Method A17 of Commission Directive 92/69/EEC. There are no significant functional groups that infer oxidising properties. Therefore, the test result has been predicted as negative.



# Appendix 1 Statement of GLP Compliance in accordance with Directive 88/320/EEC



# THE DEPARTMENT OF HEALTH OF THE GOVERNMENT OF THE UNITED KINGDOM

#### GOOD LABORATORY PRACTICE

STATEMENT OF COMPLIANCE IN ACCORDANCE WITH DIRECTIVE 88/920 EFC

LABORATORY SafePharm Limited Shardlow Business Park, London Road, Shardlow, Derbyshire, DE72 2GD TEST TYPE
Analytical/Clinical
Chemistry
Environmental tox.
Environmental fate
Mutagenicity
Phys./Chem. tests
Toxicology

DATE OF INSPECTION

2nd December 2002

A general inspection for compliance with the Principles of Good Laboratory Practice was carried out at the above laboratory as part of UK GLP Compliance Programme.

At the time of the inspection no deviations were found of sufficient magnitude to affect the validity of non-clinical studies performed at these technics.

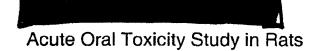
Dr. Ruger G. Alexander

Hend, UK GLP Monitoring Authority

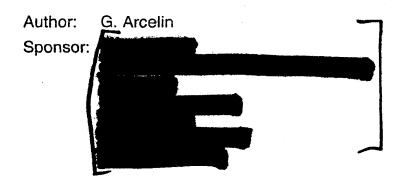
Study Reference Number: KY030438

# **RCC Study Number 851817**





# Report

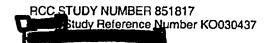


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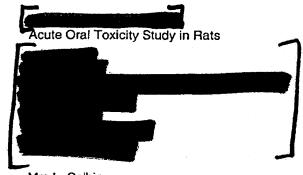
Page 3

## 1 PREFACE

# 1.1 GENERAL

Title

**Sponsor** 



Project Planing Contact Names

Mrs L. Selbie Mrs C. Talbot Mrs T. Gübler Miss J. Evans

Scientific Representative

Miss K. Wilson

**Test Facility** 

RCC Ltd Toxicology

Operational Unit: Safety Assessment 1

Wölferstrasse 4

CH-4414 Füllinsdorf / Switzerland

## 1.2 RESPONSIBILITIES

Study Director

G. Arcelin

**Technical Coordinator** 

P. Reissbrodt

Head of RCC Quality

Assurance

I. Wüthrich

#### 1.3 SCHEDULE

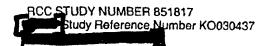
**Experimental Starting Date** 

05-DEC-2003

Experimental Completion Date 05-FEB-2004

**Delivery of Animals** 

05-DEC-2003 (females, 300 mg/kg) 09-DEC-2003 (females, 300 mg/kg) 17-DEC-2003 (females, 50 mg/kg) 19-DEC-2003 (females, 50 mg/kg) 13-JAN-2004 (females, 200 mg/kg) 15-JAN-2004 (females, 200 mg/kg)



Page 4

Acclimatization 05-DEC-2003 to 11-DEC-2003 (females, 300 mg/kg)

09-DEC-2003 to 15-DEC-2003 (females, 300 mg/kg) 17-DEC-2003 to 21-DEC-2003 (females, 50 mg/kg) 19-DEC-2003 to 23-DEC-2003 (females, 50 mg/kg) 13-JAN-2004 to 19-JAN-2004 (females, 200 mg/kg) 15-JAN-2004 to 21-JAN-2004 (females, 200 mg/kg)

Treatment 12-DEC-2003 (females, 300 mg/kg)

16-DEC-2003 (females, 300 mg/kg) 22-DEC-2003 (females, 50 mg/kg) 24-DEC-2003 (females, 50 mg/kg) 20-JAN-2004 (females, 200 mg/kg) 22-JAN-2004 (females, 200 mg/kg)

Observation 05-DEC-2003 to 26-DEC-2003 (females, 300 mg/kg)

09-DEC-2003 to 16-DEC-2003 (females, 300 mg/kg) 17-DEC-2003 to 05-JAN-2004 (females, 50 mg/kg) 19-DEC-2003 to 07-JAN-2004 (females, 50 mg/kg) 13-JAN-2004 to 03-FEB-2004 (females, 200 mg/kg) 15-JAN-2004 to 05-FEB-2004 (females, 200 mg/kg)

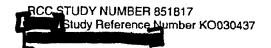
Study Completion Date

17-MAR-2004

#### 1.4 ARCHIVING

RCC Ltd (CH-4452 Itingen / Switzerland) will retain the study plan, amendment, raw data, a sample of test item(s) and the final report of the present study for at least ten years. No data will be discarded without the Sponsor's written consent.

The remaining test item will be returned to the Sponsor. It is the Sponsor's responsibility to archive the test item.



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# 1.5 SIGNATURE PAGE

Study Director:

G. Arcelin

date: 17- hAL-2004

Management:

(fr.) Dr. H. Fankhauser

date: 1f. Mite- 2004

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# 1.6 QUALITY ASSURANCE UNIT

RCC Ltd, Toxicology, CH-4452 Itingen / Switzerland

#### **STATEMENT**

RCC STUDY NUMBER:

TEST ITEM

STUDY DIRECTOR

TITLE

851817

G. Arcelin

Acute Oral Toxicity Study in Rats

The general facilities and activities are inspected periodically and the results are reported to the responsible person and the management.

Study procedures, with the exception of the trial formulation, were periodically inspected. The study plan and this report were audited by the Quality Assurance Unit. The dates are given below.

Dates and Types of QAU Inspections	Dates of Reports to the Study Director and to Management			
04-DEC-2003 Study Plan	04-DEC-2003			
16-DEC-2003 Test System, Raw Data, Necropsy	16-DEC-2003			
08-MAR-2004 Report	08-MAR-2004			

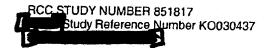
This statement also confirms that this final report reflects the raw data.

Quality Assurance:

M. C. Schlepper

date: 17 Leso - 2004

ATTACHMENT PAGE 174



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#### **GOOD LABORATORY PRACTICE**

# 1.7 STATEMENT OF COMPLIANCE

RCC STUDY NUMBER:

851817

**TEST ITEM** 

STUDY DIRECTOR

G. Arcelin

TITLE

Acute Oral Toxicity Study in Rats

The supporting data for purity (characterisation), stability and homogeneity of the test item were not made available at the time of issuing this report and hence this information has been excluded from the Statement of Compliance. However, the sponsor has addressed this in a GLP compliant study Study Reference Number AC030449. The solubility trials, to determine the choice of vehicle, were performed before the study initiation date and therefore are also excluded from this Statement.

This study has been performed in compliance with the Swiss Ordinance relating to Good Laboratory Practice, adopted February 2nd, 2000 [RS 813.016.5]. This Ordinance is based on the OECD Principles of Good Laboratory Practice, as revised in 1997 and adopted November 26th, 1997 by decision of the OECD Council [C(97)186/Final].

Study Director:

G. Arcelin

**ATTACHMENT PAGE 175** 

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#### 1.8 TEST GUIDELINES

The study procedures described in this report meet or exceed the requirements of the following guidelines:

OECD Guidelines for the Testing of Chemicals, Number 423 "Acute Oral Toxicity - Acute Toxic Class Method", adopted 17th December 2001.

Directive 96/54/EEC, B.1 tris "Acute Oral Toxicity-Acute Toxic Class Method", September 30, 1996.

## 1.9 ANIMAL WELFARE

This study was performed in an AAALAC-approved laboratory in accordance with the Swiss Animal Protection Law under license no. 34.

# 1.10 SUMMARY OF STUDY PLAN AMENDMENT

Study Plan Amendment No. 1:

A further dose level of 200 mg/kg was required by the sponsor in order to adapt the test to EU regulatory classifications, Annex 5.

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## 2 SUMMARY

Six groups, each of three female HanBrl: WIST (SPF) rats, were treated with by oral gavage administration at dosages of 300 mg/kg, 200 mg/kg or 50 mg/kg body weight. The test item was diluted in vehicle (purified water) at concentrations of 0.03 g/mL, 0.02 g/mL or 0.005 g/mL and administered at a volume dosage of 10 mL/kg.

The animals were examined daily during the acclimatization period and mortality, viability and clinical signs were recorded. All animals were examined for clinical signs at approximately 0 (300 and 200 mg/kg dose groups only), 1, 2, 3 and 5 hours after treatment on day 1 and once daily during test days 2-15. Mortality/viability was recorded twice daily during test days 1-15. Body weights were recorded on day 1 (prior to administration) and on days 8 and 15. All animals were necropsied and examined macroscopically.

The following animals were treated and percentage of mortality was observed:

6 females treated at 300 mg/kg

67 %

6 females treated at 200 mg/kg

17 %

6 females treated at 50 mg/kg

0 %

Three 300 mg/kg treated animals were found dead approximately 15 to 20 minutes after test item administration and one animal of this dose group had to be killed in extremis for ethical reasons immediately after the 2-hour reading. One animal treated at 200 mg/kg was found dead at the 1-hour reading. All 50 mg/kg treated animals and the remaining animals of the other two dose groups survived until the end of the study period.

Sedation, ventral recumbency and slight to moderate convulsions were observed in five 300 mg/kg treated animals at the 0-hour reading and, except sedation, persisted in one animal up to the 1-hour reading. Lateral recumbency was noted in one animal and bradypnea in three animals at the 0-hour reading before death occurred. One animal of this dose group was in a moribund state at the 2-hour reading before it was killed.

Slight ataxia was observed in two 200 mg/kg treated animals from the 0- or 1- to the 2-hour reading. The same two animals showed slightly ruffled fur from the 1- to the 5-hour reading and hunched posture from the 2- to the 3-hour reading. Sedation was noted in two animals at the 0-hour reading and also at the 1-hour reading for one of the animals. Ventral recumbency and slight convulsions were also observed at the 0-hour reading in two animals. One animal of this dose group was seen with bradypnea at the 0-hour reading before being found dead at the 1-hour reading.

No clinical signs were observed in three 200 mg/kg in all 50 mg/kg treated animals during the course of the study.

The body weight of the animals was within the range commonly recorded for this strain and age.

One 300 mg/kg treated animal was observed with a heart reduced in size and one animal treated at 200 mg/kg was noted with liquid contents in its stomach. No macroscopic findings were recorded in the remaining animals of these two dose groups and in all 50 mg/kg treated animals at scheduled and unscheduled necropsies.

BCC-STUDY NUMBER 851817 Study Reference Number KO030437

Report

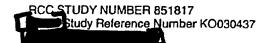
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It should be noted that, contrary to the method outlined in the protocol, the three animals in the second 300 mg/kg dose group were not fasted prior to treatment. This error does not appear to have influenced the sensitivity of the study because all three of these animals died, or were killed in extremis, soon after treatment, therefore the conclusion (median lethal dose of is not affected.

# 3 CONCLUSION

The median lethal dose of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the s

Oral LD₅₀ (rat) > 200 mg/kg body weight < 300 mg/kg body weight



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# 4 PURPOSE

The purpose of this study was to assess the acute toxicity of the study was to assess the acute toxicity of the study was to assess the acute toxicity of the study was to assess the acute toxicity of the study was to assess the acute toxicity of the study was to assess the acute toxicity of the study was to assess the acute toxicity of the study was to assess the acute toxicity of the study was to assess the acute toxicity of the study was to assess the acute toxicity of the study was to assess the acute toxicity of the study was to assess the acute toxicity of the study was to assess the acute toxicity of the study was to assess the acute toxicity of the study was to assess the acute toxicity of the study was to assess the acute toxicity of the study was to assess the acute toxicity of the study was to assess the acute toxicity of the study was to assess the acute toxicity of the study was to assess the acute toxicity of the study was to assess the acute toxicity of the study was to assess the acute toxicity of the study was toxicity acute to acute toxicity of the study was toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity acute toxicity

This study provides information for both hazard assessment and hazard classification purposes.

# 5 MATERIALS AND METHODS

#### 5.1 TEST SYSTEM

Test system

Rat, HanBrl: Wist (SPF)

Rationale

Recognized by the international guidelines as a recom-

mended test system.

Source

RCC Ltd, Laboratory Animal Services CH-4414 Füllinsdorf / Switzerland

Number of animals per group

3 females

Total number of animals

18 females

Age when treated

8 - 10 weeks

Identification

Unique cage number and corresponding color-coded spots on the tail. The animals were marked at acclimatization start.

Randomization

Selected by hand at time of delivery.

No computer generated randomization program.

Acclimatization

Under laboratory conditions, after health examination. Only

animals without any visible signs of illness were used for the

study.

#### 5.2 HUSBANDRY

Room no.

104 / RCC Ltd, Füllinsdorf

Conditions

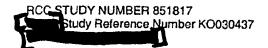
Standard Laboratory Conditions. Air-conditioned with 10-15 air changes per hour, and continuously monitored environment with target ranges for room temperature  $22\pm3$  °C and for relative humidity between 30-70 % (values above 70 % during cleaning process possible), automatically controlled light cycle of 12 hours light and 12 hours dark, music during

the daytime light period.

Accommodation

In groups of three in Makrolon type-4 cages with wire mesh tops and standard softwood bedding ('Lignocel' Schill AG,

CH-4132 Muttenz/Switzerland).



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Diet

Pelleted standard Provimi Kliba 3433 rat/mouse maintenance diet, batch nos. 54/03 and 78/03 (Provimi Kliba AG. CH-4303 Kaiseraugst/Switzerland) ad libitum. Results of analyses for contaminants are archived at RCC Ltd, Itingen.

Water

Community tap water from Füllinsdorf ad libitum. Results of bacteriological, chemical and contaminant analyses are archived at RCC Ltd, Itingen.

#### 5.3 **TEST ITEM**

The following information was provided by the sponsor:

Identification

Description

ample number

S2539801

Purity

The supporting data for purity of the test item was not made available at the time of issuing this report and hence this information has been excluded from the statement of compliance. However, the sponsor has addressed this in a GLP compliant study, Study Reference Number

AC030449.

Expiry date 01-JAN-2005

Stability of test item dilution Stability of the dosing solutions was addressed by ensuring

> fresh solutions were made immediately prior to dosing. At room temperature (range of 20 ± 3 °C), protected from

light.

Safety precautions Routine hygienic procedures were used to ensure the health

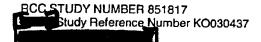
and safety of the personnel.

The supporting data for stability and homogeneity of the test item were not made available at the time of issuing this report and hence this information has been excluded from the statement of compliance. However, the sponsor has addressed this in a GLP compliant study ( Study Reference Number AC030449.

#### 5.4 VEHICLE

Storage conditions

A solubility trial was carried out to determine the choice of vehicle. This was a non-GLP trial, performed before the study initiation date, and therefore is excluded from the statement of compliance.



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The following information was provided by RCC Ltd:

Purified water prepared at RCC Ltd (deionised water which was processed and treated by the PURELAB Option-R unit. This latter links four purification technologies: reverse osmosis, adsorption, ion-exchange and photo oxidation).

Purified water was found to be a suitable vehicle.

#### 5.5 DOSE FORMULATION

Dose levels are in terms of the test Item as supplied by the sponsor.

The dose formulations were made shortly before each dosing occasion using a magnetic stirrer and spatula as homogenizers.

The test item was weighed into a tared glass beaker on a suitable precision balance and the vehicle added (weight:volume).

Homogeneity of the test item in the vehicle was maintained during administration using a magnetic stirrer.

#### 5.6 TREATMENT

The animals received a single dose of the test item by oral gavage administration at 300 mg/kg, 200 mg/kg or 50 mg/kg body weight after being fasted for approximately 17 to 18 hours (access to water was permitted). Food was provided again approximately 3 hours after dosing. According to the raw data, no fasting period was respected in the three animals of the second 300 mg/kg treated group. The implications of this are discussed in the Summary (Section 2).

The application volume was 10 mL/kg body weight.

Rationale: Oral administration was considered to be an appropriate application method as it is a possible route of human exposure during manufacture, handling and use of the test item.

#### 5.7 OBSERVATIONS

Mortality / Viability

Daily during acclimatization and twice daily during days 1-15.

Body weights

On test days 1 (prior to administration), 8 and 15.

Clinical signs

Daily during acclimatization and at approximately 1, 2, 3 and 5 hours after administration on test day 1. Once daily during

days 2-15. All abnormalities were recorded.

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#### 6 PATHOLOGY

#### 6.1 NECROPSY

All animals which died spontaneously or were killed in extremis for ethical reasons during the observation period were necropsied as soon as they were found dead or killed.

All surviving animals were killed at the end of the observation period by an intraperitoneal injection of Vetanarcol at a dose of at least 2.0 mL/kg body weight (equivalent to at least 324 mg sodium pentobarbitone/kg body weight) and discarded after macroscopic examinations were performed. No organs or tissues were retained.

# 7 STATISTICAL ANALYSIS

No statistical analysis was used.

#### 8 DATA COMPILATION

Body weights were recorded on-line.

Clinical signs were recorded on data sheets.

Mortality/viability were compiled into the RCC Tox Computer System during recording and/or recorded on data sheets.

Macroscopic findings were compiled into the RCC Tox Computer System during recording or recorded on data sheets.

The RCC Tox Computer System (RCC-Tox-Lims) had been validated with respect to data collection, storage and retrievability.

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# 9 RESULTS

#### 9.1 MORTALITY

Three 300 mg/kg treated animals were found dead approximately 15 to 20 minutes after test item administration and one animal of this dose group had to be killed in extremis for ethical reasons immediately after the 2-hour reading. One animal treated at 200 mg/kg was found dead at the 1-hour reading. All 50 mg/kg treated animals and the remaining animals of the other two dose groups survived until the end of the study period.

#### 9.2 CLINICAL SIGNS

Sedation, ventral recumbency and slight to moderate convulsions were observed in five 300 mg/kg treated animals at the 0-hour reading and, except sedation, persisted in one animal up to the 1-hour reading. Lateral recumbency was noted in one animal and bradypnea in three animals at the 0-hour reading before death occurred. One animal of this dose group was in a moribund state at the 2-hour reading before it was killed.

Slight ataxia was observed in two 200 mg/kg treated animals from the 0- or 1- to the 2-hour reading. The same two animals showed slightly ruffled fur from the 1- to the 5-hour reading and hunched posture from the 2- to the 3-hour reading. Sedation was noted in two animals at the 0-hour reading and also at the 1-hour reading for one of the animals. Ventral recumbency and slight convulsions were also observed at the 0-hour reading in two animals. One animal of this dose group was seen with bradypnea at the 0-hour reading before being found dead at the 1-hour reading.

No clinical signs were observed in three 200 mg/kg in all 50 mg/kg treated animals during the course of the study.

# 9.3 BODY WEIGHTS

The body weight of the animals was within the range commonly recorded for this strain and age.

#### 9.4 MACROSCOPIC FINDINGS

One 300 mg/kg treated animal was observed with a heart reduced in size and one animal treated at 200 mg/kg was noted with liquid contents in its stomach. No macroscopic findings were recorded in the remaining animals of these two dose groups and in all 50 mg/kg treated animals at scheduled and unscheduled necropsies.

#### 9.5 MEDIAN LETHAL DOSE

The median lethal dose of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the s

Oral LD₅₀ (rat) > 200 mg/kg body weight < 300 mg/kg body weight

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# 10 INDIVIDUAL FINDINGS

# 10.1 MORTALITY / CLINICAL SIGNS

<u></u>	Ani-			Te	st d	ays			Т													
Dose	mal	Sex	Signs	1					2	3	4	5	6	7	8	9	10	11	12	13	14	15
mg/kg	No.			0.	1*	2.	3.	5*		_	<del> </del>	<u> </u>	<del> </del>		<u> </u>	<u> </u>						_
300	1	F	Lateral recumbency	N																		
	1		Convulsions	2		T			T	T												
	ļ	•	Bradypnea	V						1				Г								
			Sedation	1+								Π		Τ	Π							
<b>_</b>	2	F	No clinical signs		V	1	V	7	V	1	V	1	V	1	V	1	1	1	7	7	7	4
			Ventral recumbency	v																		
			Sedation	1					Π													
·	3	F	No clinical signs		V	V	v	V	V	V	V	V	V	1	4	V	1	7	4.	4	1	۸.
			Ventral recumbency	V																		
			Convulsions	1																		
			Sedation	1																		
300	4	F	Ventral recumbency	V																		
			Convulsions	1																		
			Bradypnea	V						П												
			Sedation	1+																		
	5	F	Ventral recumbency	1																		
		ł	Convulsions	1																		
			Bradypnea	1																		
			Sedation	1+																		
	6	F	Ventral recumbency	1	٧																	
ĺ		1	Convulsions	1	1																	
			Moribund			√K																

Key: 1 slight, 2 moderate, 3 marked, + found dead, Killed in extremis, √ noted Examinations were performed approximately 0, 1, 2, 3 and 5 hours after treatment.

Note: The animals nos. 4-5 were not fasted unlike the other groups.

#### MORTALITY / CLINICAL SIGNS (CONTINUED) 10.1

	Ani-			Te	st d	ays																
Dose	mal No.	Sex	Signs	100	11.	2*	3*	E+	2	3	4	5	6	7	8	9	10	11	12	13	14	15
mg/kg 50	7	F	No clinical signs	-	V	\ <u>√</u>	V.	5*	V	V	V	1	V	V	V	V	v	V	N.	V	V	V
	8	F	No clinical signs	-	V	V.	V	V.	V	1	l.	V	V	V	V	V	V	V	N	v	V	V
	9	F	No clinical signs	-	V.	i v	v.	V	V	V	V	1	V	V	V	V	V	V	1	V	v	V
50	10	F	No clinical signs	-	V	V	V	V	V	V	V	V	V	1	V	V	V	V	V	v	v	V
	11	F	No clinical signs	-	N	V	V	V	V	1	1	V	V	V	V	v	1	V	1	V	7	1
	12	F	No clinical signs	-	V	N.	v	V	v.	V	1	,	1	1	V	V	1	V	V	1	1	V
200	13	F	No clinical signs	-	v	N	V	1	V	V	V	V	1	1	V	V	V	V	V	1	4	1
	14	F	No clinical signs	-	1	\	V	V	v	1	V	V	v	V	1	V	1	1	V	1	1	V
	15	F	No clinical signs	-	V	V	v	V	v	V	V	V	V	V	1	1	<b>V</b>	1	1	V	1	V
200	16	F	No clinical signs						v	v	V	v	V	V	V	1	V	V	V	1	1	1
			Ataxia	1	1	1							<del> </del>									
			Ruffled fur		1	1	1	1														
			Hunched posture			1	ν			İ												
	17	F	No clinical signs						V	1	V	1	1	1	V	1	1	1	1	1	1	1
			Sedation	1	1																	
			Ventral recumbency	V																		
			Convulsions	1								 			<u> </u>							
			Ataxia		1	1																
			Ruffled fur		1	1	1	1														
			Hunched posture			V	V															
	18	F	Sedation	1																		
			Ventral recumbency	v																		
			Convulsions	1																		
			Bradypnea	1	+							<u> </u>										

No clinical signs were evident in any animal during the acclimatization period.

<sup>Key: 1 slight, + found dead, √ noted, - no observation performed
Examinations were performed approximately 0 (200 mg/kg dose groups only), 1, 2, 3 and 5 hours after treatment.</sup> 

# 10.2 BODY WEIGHTS

Dose mg/kg	Animal No.	Sex	Day 1 (treatment)	Day 8	Day 15
300	1	F	157.3	•	•
<u> </u>	2	F	157.7	176.1	179.5
	3	F	161.9	182.6	191.9
300	4	F	159.3	•	•
	5	F.	169.8	-	-
	6	F	170.1	-	•
	7	F	166.5	195.0	207.8
50	8	F	,157.6	177.5	189.1
	9	F	177.0	202.4	213.5
	10	F	161.9	188.7	199.3
50	31	щ	160.3	188.1	195.7
	12	L.	161.3	182.7	191.9
200	13	ዞ	165.8	184.2	193.1
	14	F	160.9	179.4	188.2
	15	F	159.1	182.4	190.1
200	16	F	168.4	196.9	213.3
	17	F	161.5	183.0	196.7
	18	F	176.8	-	•

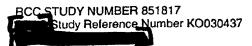
Body weights are presented in grams.

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# 10.3 MACROSCOPIC FINDINGS

Dose mg/kg	Animal No.	Sex	Mode of death	Findings
300	1	F	D	Heart reduced in size
}	2	F	S	No macroscopic findings
	3	F	S	No macroscopic findings
300	4	F	D	No macroscopic findings
	5	F	D	No macroscopic findings
	6	F	К	No macroscopic findings
50	7	F	S	No macroscopic findings
	8	F	S	No macroscopic findings
	9	F	S	No macroscopic findings
50	10	F	S	No macroscopic findings
	11	F	S	No macroscopic findings
	12	F	S	No macroscopic findings
200	13	F	S	No macroscopic findings
	14	F	S	No macroscopic findings
	15	F	S	No macroscopic findings
200	16	F	S	No macroscopic findings
	17	F	S	No macroscopic findings
	18	F	D	Liquid contents in the stomach

S: scheduled necropsy, D: found dead, K: killed in extremis



# GLP - CERTIFICATION

The Swiss GLP Monitoring Authorities



Swiss Federal Office of Public Health



Swas Agency for the Environment, Forest and Landscape

swissmedic

**Пероп** 

Swissmedic Swiss Agency for Therapeutic Products

# **Statement of GLP Compliance**

It is hereby confirmed that

during the period of

November 18 - 22, 2002

the following Test Facilities of

RCC Ltd 4452 Itingen Switzerland

were inspected by the Federal Office of Public Health, the Swiss Agency for Therapeutic Products and the Swiss Agency for the Environment, Forests and Landscape with respect to the compliance with the Swiss legislation on Good Laboratory Practice.

Test Facilities

Areas of expertise *

- Toxicology

TOX, ACC, MUT, OTH (Safety Pharmacology)

- Environmental Chemistry and Pharmanalytics

ACC, ECT, ENF, EMN, PCT, RES, OTH (Animal metabolism)

The inspections were performed in agreement with the OECD Guidelines for National GLP inspections and Audits. It was found that the aforementioned test facilities were operating in compliance with the Swiss Ordinance relating to Good Laboratory Practice [RS 813.016.5] at the time they were inspected.

Federal Office of Public Health
The Director

Zem

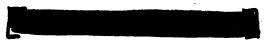
Bern, March 2003

Prof. Th. Zeltner

^{*}TOX = Toxicology; ACC = Analytical and Clinical Chemistry; ECT = Environmental loxicity on equatic and lamestrial organisms; EMF = Behaviour in water, soit and air. Bloscoumulation; EMN = Studies on effects on mesocoams and natural ecosystems; MUT = Mutagenicity; PCT = Physical-chemical testing; RES = Residue studies; OTH = Other, to be specified.

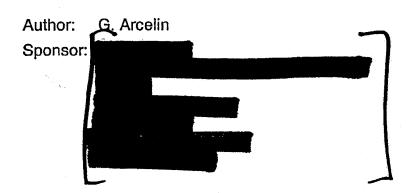
# **RCC Study Number 851818**





Acute Dermal Toxicity Study in Rats

# Report



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RCC STUDY NUMBER 851818
Study Reference Number KK030436

Report

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BCC STUDY NUMBER 851818
Study Reference Number KK030436

Report

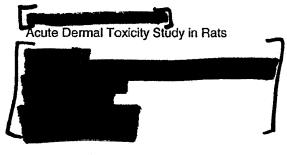
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# 1 PREFACE

# 1.1 GENERAL

Title

**Sponsor** 



Project Planing Contact Names

Mrs L. Selbie Mrs C. Talbot Mrs T. Gübler Miss J. Evans

Scientific Representative

Miss K. Wilson

**Test Facility** 

RCC Ltd Toxicology

Operational Unit: Safety Assessment I

Wölferstrasse 4

CH-4414 Füllinsdorf / Switzerland

# 1.2 RESPONSIBILITIES

Study Director

G. Arcelin

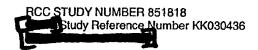
**Technical Coordinator** 

P. Reissbrodt

Head of RCC Quality

Assurance

I. Wüthrich



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# 1.3 SCHEDULE

Experimental Starting Date 09-DEC-2003 (5 males and 5 females, 800 mg/kg)

03-MAR-2004 (1 female, 2000 mg/kg) 05-MAR-2004 (4 females, 2000 mg/kg) 09-MAR-2004 (5 males, 2000 mg/kg)

Experimental Completion Date 30-DEC-2003 (800 mg/kg)

24-MAR-2004 (1 female, 2000 mg/kg) 26-MAR-2004 (4 females, 2000 mg/kg) 30-MAR-2004 (5 males, 2000 mg/kg)

Delivery of Animals 09-DEC-2003 (800 mg/kg)

03-MAR-2004 (1 female, 2000 mg/kg) 05-MAR-2004 (4 females, 2000 mg/kg) 09-MAR-2004 (5 males, 2000 mg/kg)

Acclimatization 09-DEC-2003 to 15-DEC-2003 (800 mg/kg)

03-MAR-2004 to 09-MAR-2004 (1 female, 2000 mg/kg) 05-MAR-2004 to 11-MAR-2004 (4 females, 2000 mg/kg) 09-MAR-2004 to 15-MAR-2004 (5 males, 2000 mg/kg)

Treatment 16-DEC-2003 (800 mg/kg)

10-MAR-2004 (1 female, 2000 mg/kg) 12-MAR-2004 (4 females, 2000 mg/kg) 16-MAR-2004 (5 males, 2000 mg/kg)

Observation 09-DEC-2003 to 30-DEC-2003 (800 mg/kg)

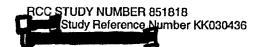
03-MAR-2004 to 24-MAR-2004 (1 female, 2000 mg/kg) 05-MAR-2004 to 26-MAR-2004 (4 females, 2000 mg/kg) 09-MAR-2004 to 30-MAR-2004 (5 males, 2000 mg/kg)

Study Completion Date 07-JUN-2004

### 1.4 ARCHIVING

RCC Ltd (CH-4452 Itingen / Switzerland) will retain the study plan, raw data, a sample of test item(s) and the final report of the present study for at least ten years. No data will be discarded without the Sponsor's written consent.

The remaining test item will be returned to the Sponsor. It is the Sponsor's responsibility to archive the test item.



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# 1.5 SIGNATURE PAGE

Study Director:

G. Argelin

date: 07 - TIN - 200 4

Management:

for

Dr. H. Fankhauser

date 07 - 1111 - 2304

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# 1.6 QUALITY ASSURANCE UNIT

RCC Ltd, Toxicology, CH-4452 Itingen / Switzerland

### **STATEMENT**

RCC STUDY NUMBER:

851818

TEST ITEM

STUDY DIRECTOR

G. Arcelin

TITLE

Acute Dermal Toxicity Study in Rats

The general facilities and activities are inspected periodically and the results are reported to the responsible person and the management.

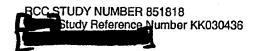
Study procedures, with the exception of the trial formulation, were periodically inspected. The study plan and this report were audited by the Quality Assurance Unit. The dates are given below.

Dates and Types of QAU Inspections	Dates of Reports to the Study Director and to Management
08-DEC-2003 Study Plan	08-DEC-2003
13-NOV-2003 Process Based (Test System, Test Item, Treatment, Raw Data)	13-NOV-2003
16-MAR-2004 Test System, Test Item, Treatment, Raw Data, Dose Preparation	16-MAR-2004
20-FEB-2004 Report 1	20-FEB-2004
04-JUN-2004 Report 2	04-JUN-2004

This statement also confirms that this final report reflects the raw data.

Quality Assurance:

M. C. Schlepper



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### **GOOD LABORATORY PRACTICE**

# 1.7 STATEMENT OF COMPLIANCE

RCC STUDY NUMBER:

851818

**TEST ITEM** 

STUDY DIRECTOR

G. Arcelin

TITLE

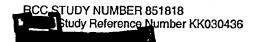
Acute Dermal Toxicity Study in Rats

The supporting data for purity (characterisation), stability and homogeneity of the test item were not made available at the time of issuing this report and hence this information has been excluded from the Statement of Compliance. However, the sponsor has addressed this in a GLP compliant study to the Study Reference Number AC030449. The solubility trials, to determine the choice of vehicle, were performed before the study initiation date and therefore are also excluded from this Statement.

This study has been performed in compliance with the Swiss Ordinance relating to Good Laboratory Practice, adopted February 2nd, 2000 [RS 813.016.5]. This Ordinance is based on the OECD Principles of Good Laboratory Practice, as revised in 1997 and adopted November 26th, 1997 by decision of the OECD Council [C(97)186/Final].

Study Director:

G. Aroelir



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# 1.8 TEST GUIDELINES

The study procedures described in this report meet or exceed the requirements of the following guidelines:

OECD Guidelines for Testing of Chemicals, Section 4, Number 402 "Acute Dermal Toxicity", adopted February 24, 1987.

Directive 92/69/EEC, B.3. "Acute Toxicity-Dermal", July 31, 1992.

# 1.9 ANIMAL WELFARE

This study was performed in an AAALAC-approved laboratory in accordance with the Swiss Animal Protection Law under license no. 32.

# 1.10 SUMMARY OF STUDY PLAN AMENDMENT

-Study plan Amendment No.1:

The test item was prepared incorrectly and therefore a dose of 800 mg/kg was dosed instead of a dose of 2000 mg/kg, as stated in the study plan. Based on the results from the 800 mg/kg dose group (see Section 9) it was decided that the study procedure should continue with a 2000 mg/kg dose, as originally planned, to enable an  $\text{LD}_{50}$  value to be determined.

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# 2 SUMMARY OF RESULTS

was dermally tested at 800 and 2000 mg/kg by using five male and five female HanBrl: WIST (SPF) rats per dose. The test item was diluted in vehicle (purified water) at a concentration of 0.2 or 0.5 g/mL, respectively and administered at a volume dosage of 4 mL/kg. The application period was 24 hours.

The animals were examined daily during the acclimatization period and mortality, viability and clinical signs were recorded, except in the five 2000 mg/kg treated males in which the mortality/viability and clinical signs were not recorded on the acclimatization day 4. All animals were examined for clinical signs at approximately 1, 2, 3 and 5 hours after treatment on day 1 and once daily during test days 2-15. Mortality/viability was recorded twice daily during test days 1-15, except in four 2000 mg/kg treated females in which the mortality/viability was recorded once on test day 1. Body weights were recorded on day 1 (prior to administration) and on days 8 and 15. All animals were necropsied and examined macroscopically.

No deaths occurred during the study.

When treated at 800 mg/kg, slight scaling was observed in one female at the 9- and 10-day reading and in one female between day 7 and the end of the study period (day 15). Two females were seen with focal erythema on the test site from the 7-day reading up to the end of the study period. No clinical signs were noted in the females. No clinical signs and no local signs were recorded in the males during the course of the study.

When treated at 2000 mg/kg, slight general erythema was observed in four of the females on test day 2 after removal of the dressing. One female still showed a slight erythema on test day 3. Slight focal erythema was seen in two females from test day 7 to the end of the study. No clinical signs were noted in the females. No clinical signs and no local signs were recorded in any of the males during the course of the study, however, a slight yellow discoloration was observed on the skin of all the males on day 2 which persisted in four of the animals for at least 3 days.

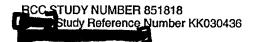
Loss of body weight (0.6 % to 4.9 %) was observed in one 800 mg/kg treated female and in three 2000 mg/kg females at the 8-day reading. By the end of the study, the animals had regained some of weight that was lost. In spite of this body weight loss, the body weight of all animals during the course of the study was within the range commonly recorded for this strain and age.

The caecum was distended with gas in one 800 mg/kg treated male at scheduled necropsy. There were no macroscopic findings in any of the other animals at scheduled necropsy.

# 3 CONCLUSION

The median lethal dose of the state of both sexes, observed over a period of 14 days is:

LD₅₀ (rat): greater than 2000 mg/kg body weight



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# 4 PURPOSE

The purpose of this study was to assess the acute dermal toxicity of when administered to rats by a single semi-occlusive dermal application, followed by an observation period of 14 days.

This study should provide a rational basis for risk assessment.

# 5 MATERIALS AND METHODS

# 5.1 TEST SYSTEM

Test system

Rat, HanBrl: WIST (SPF)

Rationale

Recognized by the international guidelines as a

recommended test system.

Source

RCC Ltd, Laboratory Animal Services CH-4414 Füllinsdorf / Switzerland

Number of animals per group

5 males and 5 females

Total number of animals

10 males and 10 females

Age when treated

Males: 9 weeks

Females: 12 weeks

Identification

By unique cage number and corresponding color-coded spots on the tail. The animals were marked at acclimatiza-

tion start.

Acclimatization

Under laboratory conditions, after health examination. Only animals without any visible signs of illness were used for the

study.

# 5.2 HUSBANDRY

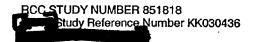
Room no.

104 / RCC Ltd, Füllinsdorf

Conditions

Standard Laboratory Conditions. Air-conditioned with 10-15 air changes per hour, and continuously monitored environment with target ranges for room temperature 22  $\pm$  3 °C and for relative humidity between 30-70 % (values above 70 % during cleaning process possible), automatically controlled light cycle of 12 hours light and 12 hours dark, music during

the daytime light period.



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Accommodation

During acclimatization in groups of four or five per sex or individually in Makrolon type-4 cages with standard softwood bedding. Individually in Makrolon type-3 cages with standard softwood bedding ("Lignocel", Schill AG, CH-4132 Muttenz)

during treatment and observation.

Diet

Pelleted standard Provimi Kliba 3433 rat/mouse maintenance diet, batch no. 54/03 (Provimi Kliba AG, CH-4303 Kaiseraugst/ Switzerland) ad libitum. Results of analyses for

contaminants are archived at RCC Ltd, Itingen.

Water

Community tap water from Füllinsdorf ad libitum. Results of bacteriological, chemical and contaminant analyses are ar-

chived at RCC Ltd, Itingen.

#### 5.3 **TEST ITEM**

The following information was provided by the sponsor:

Identity

Description

sample number

S2539801

Purity

The supporting data for purity of the test item was not made available at the time of issuing this report and hence this information has been excluded from the statement of compliance. However, the sponsor has addressed this in a GLP compliant study Study Reference Number

AC030449.

Expiry date

01-JAN-2005

Stability of test item dilution

Stability of the dosing solutions was addressed by ensuring fresh solutions were made immediately prior to dosing.

Storage conditions

At room temperature (range of  $20 \pm 3$  °C), protected from

light.

Safety precautions

Routine hygienic procedures were used to ensure the health

and safety of the personnel.

The supporting data for stability and homogeneity of the test item were not made available at the time of issuing this report and hence this information has been excluded from the statement of compliance. However, the sponsor has addressed this in a GLP compliant study, Study Reference Number AC030449.

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## 5.4 VEHICLE

The following information was provided by RCC Ltd:

Purified water prepared at RCC Ltd (deionised water which was processed and treated by the PURELAB Option-R unit. This latter links four purification technologies: reverse osmosis, adsorption, ion-exchange and photo oxidation).

A solubility trial was carried out to determine the choice of vehicle. This was a non-GLP trial, performed before the study initiation date, and therefore is excluded from the statement of compliance.

## 5.5 DOSE FORMULATION

The dose levels are in terms of the test item as supplied by the sponsor.

The test item was weighed into a tared glass beaker on a suitable precision balance and the vehicle added (weight:volume). The formulation was prepared shortly before the application using a magnetic stirrer and a spatula.

Homogeneity of the test item in the vehicle was maintained during administration using a magnetic stirrer.

### 5.6 TREATMENT

One day before treatment, the backs of the animals were clipped with an electric clipper, exposing an area of approximately 10 % of the total body surface.

Only those animals without injury or irritation on the skin were used in the test.

On test day 1, the test item was applied at a dose of 800 or 2000 mg/kg body weight evenly on the intact skin with a syringe and covered with a semi-occlusive dressing. The dressing was wrapped around the abdomen and fixed with an elastic adhesive bandage.

Application volume/kg body weight: 4 mL

Twenty-four hours after the application the dressing was removed and the skin was flushed with lukewarm tap water and dried with disposable paper towels. Thereafter, the reaction sites were assessed.

The fur of the 800 mg/kg treated males was shaved on test day 7 (no. 1 to 4), test day 9 (no. 1 to 5), test day 11 (no. 1 to 5) and of the 800 mg/kg treated females on test day 9 (no. 6, 7, 10) just prior to the assessment of the reaction to facilitate the skin reading.

The fur of the 2000 mg/kg treated females was shaved on test day 3 and 8 (no. 11), test day 6 (no. 12 to 15), test day 8 and 14 (no. 13) and of the 2000 mg/kg treated males on test day 4 (no. 17, 18 and 20), test day 10 and 14 (no. 16 to 20).

Rationale: Dermal administration was used as this is one possible route of human exposure during manufacture, handling and use of the test item.

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### 5.7 OBSERVATIONS

Mortality / Viability Daily during the acclimatization period except in the five

2000 mg/kg treated males (nos. 16-20) in which the mortality/viability was not recorded on the acclimatization day 4 and twice daily during days 1-15 except in four 2000 mg/kg treated females (nos. 12-15) in which the mortality/viability

was recorded once on test day 1.

Body weights On test days 1 (prior to administration), 8 and 15.

Clinical signs Daily during acclimatization, except in the five 2000 mg/kg

treated males (nos. 16-20) in which the clinical signs were not recorded on the acclimatization day 4, and at approximately 1, 2, 3 and 5 hours after administration on test day 1. Once daily during days 2-15. All abnormalities were re-

corded.

# 6 PATHOLOGY

### 6.1 NECROPSY

All animals were killed at the end of the observation period by an intraperitoneal injection of Vetanarcol at a dose of at least 2.0 mL/kg body weight (equivalent to at least 324 mg sodium pentobarbitone/kg body weight) and discarded after macroscopic examinations were performed. No organs or tissues were retained.

# 7 STATISTICAL ANALYSIS

No statistical analysis was used.

# 8 DATA COMPILATION

Body weights were recorded on-line.

Clinical signs were recorded on data sheets.

Mortality/viability were compiled into the RCC Tox Computer System during recording and/or recorded on data sheets.

Macroscopic findings were compiled into the RCC Tox Computer System during recording.

The RCC Tox Computer System (RCC-Tox-Lims) has been validated with respect to data collection, storage and retrievability.

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# 9 RESULTS

### 9.1 MORTALITY

No deaths occurred during the study.

# 9.2 CLINICAL / LOCAL SIGNS

Dose of 800 ma/ka:

Slight scaling was observed in one female at the 9- and 10-day reading and in one female between day 7 and the end of the study period (day 15). Two females were seen with focal erythema on the test site from the 7-day reading up to the end of the study period. No clinical signs were noted in the females. No clinical signs and no local signs were recorded in the males during the course of the study.

Dose of 2000 mg/kg:

Slight general erythema was observed in four of the females on test day 2 after removal of the dressing. One female still showed a slight erythema on test day 3. Slight focal erythema was seen in two females from test day 7 to the end of the study. No clinical signs were noted in the females. No clinical signs and no local signs were recorded in any of the males during the course of the study, however, a slight yellow discoloration was observed on the skin of all males on day 2 which persisted in four of the animals for at least 3 days.

### 9.3 BODY WEIGHTS

Loss of body weight (0.6 % to 4.9 %) was observed in one 800 mg/kg treated female (no. 9) and in three 2000 mg/kg females (nos. 11, 13 and 15) at the 8-day reading. By the end of the study, the animal had regained some of weight that was lost. In spite of this body weight loss, the body weight of all animals during the course of the study was within the range commonly recorded for this strain and age.

### 9.4 MACROSCOPIC FINDINGS

The caecum was distended with gas in one 800 mg/kg treated male at scheduled necropsy. There were no macroscopic findings in any of the other animals at scheduled necropsy.

### 9.5 MEDIAN LETHAL DOSE

The median lethal dose of a factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the factor of the fa

LD₅₀ (rat): greater than 2000 mg/kg body weight

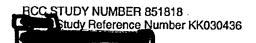
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#### **INDIVIDUAL FINDINGS** 10

#### 10.1 **CLINICAL / LOCAL SIGNS**

	[			Te	st da	ays							•••••								
Dose	An. Sex No.		Signs	1			1 =	2	3	4	5	6	7	8	9	10	11	12	13	14	15
mg/kg	No.			1*	2*	3*	5*					<u> </u>						_			
800	1	М	No clinical signs	1	1	1	1	√	1	\	1	1	1	1	1	1	1	1	√	1	1
	2	М	No clinical signs	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
	3	М	No clinical signs	٧	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
	4	М	No clinical signs	1	7	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
	5	М	No clinical signs	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
800	6	F	No clinical signs	1	<b>√</b>	1	1	1	1	1	1	1	1	1	4	1	1	1	1	1	1
	7	F	Scaling												1	1					
			No clinical signs	1	7	1	1	1	1	1	1	1	1	1	1	1	7	1	1	1	1
	8	F	Scaling										1	1	1	1	1	1	1	1,	1
			No clinical signs	1	1	7	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
	9	F	Focal erythema										1	1	1	1	1	1	1	1	1
			No clinical signs	7	1	7	1	1	7	4	1	1	1	7	1	1	1	1	1	4	1
	10	F	Focal erythema										1	1	1	1	1	1	1	1	1
			No clinical signs	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	4	1

Key: 1 slight,  $\sqrt{}$  noted * Examinations were performed approximately 1, 2, 3 and 5 hours after treatment. No clinical signs were evident in any animal during the acclimatization period.



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		Π		Te	Test days																
Dose	An.	Sex	Signs	1				2	3	4	5	6	7	8	9	10	11	12	13	14	15
mg/kg		<u> </u>		1*	2*	3*	5*	<u> </u>	_	<u> </u>	<u> </u>	<u> </u>	<u> </u>	1_	ļ	<u> </u>					$\vdash$
2000	11	F	General erythema	<u> </u>	<u> </u>	<u> </u>	$ldsymbol{f eta}$	1		<u> </u>			L								
Ì			No clinical signs	1	1	1	1	1	1	V	1	√	1	1	1	1	1	1	1	1	1
	12	F	General erythema					1													
			No clinical signs	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
	13	F	General erythema					1	1												
			No clinical signs	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
	14	F	General erythema					1													
			Focal erythema										1	1	1	1	1	1	1	1	1
			No clinical signs	1	1	1	1	1	1	1	1	7	1	1	1	1	1	1	1	1	1
	15	F	Focal erythema										1	1	1	1	1	1	1	1	1
			No clinical signs	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
2000	16	М	Yellow discoloration					1	1	1	1									,	$\neg$
			No clinical signs	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
	17	М	Yellow discoloration					1	1	1											$\exists$
			No clinical signs	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
	18	М	Yellow discoloration					1	1	1											
			No clinical signs	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
	19	М	Yellow discoloration					1	1	1	1	1	1	1	1						$\exists$
			No clinical signs	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
	20	М	Yellow discoloration					1				$\neg$						-			一
			No clinical signs	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1

No clinical signs were evident in any animal during the acclimatization period.

Key: 1 slight,  $\sqrt{}$  noted  *  Examinations were performed approximately 1, 2, 3 and 5 hours after treatment.

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# 10.2 BODY WEIGHTS

Body weight in grams:				
Sex / Dose	Animal No.	Day of Treatment	Day 8	Day 15
Male / 800 mg/kg	1	245.8	262.9	287.6
	2	255.9	278.0	304.4
	3	263.0	284.8	312.7
	4	272.9	299.5	329.4
	5	261.2	284.5	308.8
Female / 800 mg/kg	6	250.9	247.8	256.8
	7	244.0	247.8	250.3
	8	252.6	252.6	262.7
	9	245.5	233.5	240.1
	10	240.2	240.4	256.3
Female / 2000 mg/kg	11	234.5	233.1	243.5
	12	252.1	255.5	265.8
•	13	247.1	244.6	266.8
	14	252.4	259.7	269.4
	15	229.7	226.8	240.3
Male / 2000 mg/kg	16	260.7	287.3	313.3
	17	279.4	297.9	334.6
	18	268.1	281.7	303.5
	19	261.9	278.2	293.5
	20	258.2	281.0	303.2

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# 10.3 MACROSCOPIC FINDINGS

Sex / Dose	Animal No.	Mode of Death	Findings
Male / 800 mg/kg	1	Scheduled necropsy	No macroscopic findings.
	2	Scheduled necropsy	No macroscopic findings.
	3	Scheduled necropsy	Caecum distended with gas.
	4	Scheduled necropsy	No macroscopic findings.
	5	Scheduled necropsy	No macroscopic findings.
Female / 800 mg/kg	6	Scheduled necropsy	No macroscopic findings.
	7	Scheduled necropsy	No macroscopic findings.
	8	Scheduled necropsy	No macroscopic findings.
	9	Scheduled necropsy	No macroscopic findings.
•	10	Scheduled necropsy	No macroscopic findings.
Female / 2000 mg/kg	11	Scheduled necropsy	No macroscopic findings.
	12	Scheduled necropsy	No macroscopic findings.
	13	Scheduled necropsy	No macroscopic findings.
	14	Scheduled necropsy	No macroscopic findings.
	15	Scheduled necropsy	No macroscopic findings.
Male / 2000 mg/kg	16	Scheduled necropsy	No macroscopic findings.
	. 17	Scheduled necropsy	No macroscopic findings.
	18	Scheduled necropsy	No macroscopic findings.
	19	Scheduled necropsy	No macroscopic findings.
	20	Scheduled necropsy	No macroscopic findings.

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#### **GLP - CERTIFICATION** 11

The Swiss GLP Monitoring Authorities





SWISSmedic Swiss Apen Therapeus

# Statement of GLP Compliance

It is hereby confirmed that

during the period of

November 18 - 22, 2002

the following Test Facilities of

**RCC Ltd** 4452 Itingen Switzerland

were inspected by the Federal Office of Public Health, the Swiss Agency for Therapeutic Products and the Swiss Agency for the Environment, Forests and Landscape with respect to the compliance with the Swiss legislation on Good Laboratory Practice.

**Test Facilities** 

Areas of expertise *

- Toxicology

TOX, ACC, MUT, OTH (Safety Pharmacology)

- Environmental Chemistry and **Pharmanalytics** 

ACC, ECT, ENF, EMN, PCT, RES, OTH (Animal metabolism)

The inspections were performed in agreement with the OECD Guidelines for National GLP Inspections and Audits. It was found that the aforementioned test facilities were operating in compilance with the Swiss Ordinance relating to Good Laboratory Practice [RS 813.016.5] at the time they were inspected.

> Federal Office of Public Health The Director

Bern, March 2003

Prof. Th. Zeitner

^{*}TOX = Toxicology; ACC = Analytical and Clinical Chemistry; ECT = Environmental fooldity on aquatic and terrestrial organisms; ENF = Behaviour in water, soil and air. Bloacoumulation; ENNI = Studies on effects on mesocoams and natural ecosystems; MUT = Mutagericity; PCT = Physical-chemical testing; RES = Residue studies; OTH = Other, to be specified.

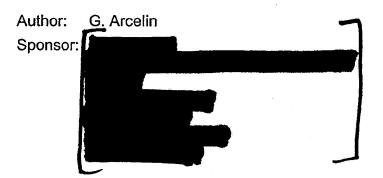
# **RCC Study Number 851879**





Primary Skin Irritation Study in Rabbits (4-Hour Semi-Occlusive Application)

# Report



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RCC_STUDY NUMBER 851879 Study Reference Number KS1030435 Report

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# PREFACE

1.1 **GENERAL** 

Title

Sponsor

Primary Skin Irritation Study in Rabbits (4-Hour Semi-Occlusive Application)

Project Planing Contact Names

Mrs L. Selbie Mrs C. Talbot Miss J. Evans Miss K. Wilson

Scientific Representative

**Test Facility** 

**RCC Ltd** 

Toxicology

Operational Unit: Safety Assessment I

Wölferstrasse 4

CH-4414 Füllinsdorf / Switzerland

#### 1.2 RESPONSIBILITIES

Study Director

G. Arcelin

**Deputy Study Director** 

M. Ott

**Technical Coordinator** 

P. Reissbrodt

Head of RCC Quality

Assurance

I. Wüthrich

#### 1.3 SCHEDULE

**Experimental Starting Date** 

06-JAN-2004

Experimental Completion Date 15-JAN-2004

Acclimatization

06-JAN-2004 to 11-JAN-2004

Treatment

12-JAN-2004

Observation of local findings

Throughout 72 hours after treatment.

**Termination** 

15-JAN-2004

**Study Completion Date** 

05-APR-2004

RCC STUDY NUMBER 851879
Study Reference Number KSI030435

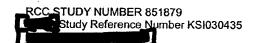
Report

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# 1.4 ARCHIVING

RCC Ltd (CH-4452 Itingen / Switzerland) will retain the study plan, raw data and the final report of the present study for at least ten years. No data will be discarded without the Sponsor's written consent.

The remaining test item will be returned to the Sponsor. Archiving of the test item is the responsibility of the Sponsor.



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# 1.5 SIGNATURES

Study Director:

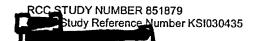
3. Arcelin

date: 05-APR - 2004

Management:

(Asr) Dr. H. Fankhauser

date: 01-1111-2004



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# **QUALITY ASSURANCE UNIT**

RCC Ltd, Toxicology, CH-4452 Illingen / Switzerland

### STATEMENT

**RCC STUDY NUMBER:** 

851879

TEST ITEM

STUDY DIRECTOR

G. Arcelin

TITLE

Primary Skin Irritation Study in Rabbits (4-Hour Semi-Occlusive Application)

The general facilities and activities are inspected periodically and the results are reported to the responsible person and the management.

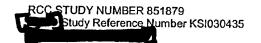
Study procedures, with the exception of the pH measurement of the test item, were periodically inspected. The study plan and this report were audited by the Quality Assurance Unit. The dates are given below.

Dates and Types of QAU Inspections	Dates of Reports to the Study Director and to Management
23-DEC-2003 Study Plan	23-DEC-2003
26-JAN-2004 Process Based (Test System, Test Item, Treatment, Raw Data)	26-JAN-2004
17-MAR-2004 Report	17-MAR-2004

This statement also confirms that this final report reflects the raw data.

Quality Assurance:

(for) G. Hohi



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### GOOD LABORATORY PRACTICE

#### 1.7 STATEMENT OF COMPLIANCE

RCC STUDY NUMBER:

851879

TEST ITEM

STUDY DIRECTOR

G. Arcelin

TITLE

rimary Skin Irritation Study in Rabbits (4-Hour Semi-Occlusive Application)

The supporting data for purity (characterisation) of the test item were not made available at the time of issuing this report and hence this information has been excluded from the Statement of Compliance. However, the sponsor has addressed this in a GLP compliant study. Study Reference Number AC030449.

The pH measurement of the test item was performed before the study initiation date. This procedure is, therefore, excluded from this statement.

This study has been performed in compliance with the Swiss Ordinance relating to Good Laboratory Practice, adopted February 2nd, 2000 [RS 813.016.5]. This Ordinance is based on the OECD Principles of Good Laboratory Practice, as revised in 1997 and adopted November 26th, 1997 by decision of the OECD Council [C(97)186/Final].

Study Director:

G. Arcelin

RCC STUDY NUMBER 851879 Study Reference Number KSi030435

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# 1.8 TEST GUIDELINES

The study procedures described in this report meet or exceed the requirements of the following guidelines:

Directive 92/69 EEC, B.4. "Acute Toxicity - Skin Irritation", July 31, 1992.

OECD Guidelines for Testing of Chemicals, Section 4, number 404 "Acute Dermal Irritation / Corrosion", adopted April 24, 2002.

# 1.9 ANIMAL WELFARE

This study was performed in an AAALAC-approved laboratory in accordance with the Swiss Animal Protection Law under license no. 55.

# 1.10 CLASSIFICATION GUIDELINES

Commission Directive 2001/59/EC adapting to technical progress for the 28th time Council Directive 67/548/EEC on the approximation of the laws, regulations and administrative provisions relating to the classification, packaging and labelling of dangerous substances, August 06, 2001 (Official Journal of the European Communities Nr. L 225/1, August 21, 2001).

RCC STUDY NUMBER 851879
Study Reference Number KSI030435

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# 2. SUMMARY

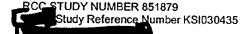
The primary skin irritation potential of was applied by topical semi-occlusive application of 0.5 g to the intact left flank of each of three young adult New Zealand White rabbits. The duration of treatment was four hours. The scoring of skin reactions was performed 1, 24, 48 and 72 hours after removal of the dressing.

The mean score was calculated across 3 scoring times (24, 48 and 72 hours after patch removal) for each animal for erythema/eschar grades and for oedema grades, separately. The mean erythema/eschar score and the mean oedema score were 0.00 for all three animals.

The application of the skin resulted in mild signs of irritation (very slight erythema), in two animals. This effect was reversible and was no longer evident 24 hours after treatment. The test item caused no staining of the treated skin. No corrosive effects were noted on the treated skin of any animal at any of the measuring intervals and no other clinical signs of test item related effects were observed.

Thus, the test item did not induce significant or irreversible damage to the skin.

Based upon the referred classification criteria (Commission Directive 2001/59/EC of August 2001). See a considered to be "not irritating" to rabbit skin.



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# 3. PURPOSE

The purpose of this primary skin irritation study was to assess the possible irritation potential when a single dose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of this primary skin irritation study was to assess the possible irritation potential when a single dose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the purpose of the pu

Report

This study should provide a rational basis for risk assessment in man as skin contact is one of the possible routes of human exposure.

The test item was administered at 0.5 g/animal, the dose specified in the test guidelines for a solid test item.

# 4. MATERIALS AND METHODS

# 4.1 TEST SYSTEM

Test system

New Zealand White Rabbit, SPF

Rationale

Recognized by the international guidelines as the

recommended test system.

Source

Elevage Scientifique des Dombes

F-01400 Chatillon sur Chalaronne / France

Number of animals per test

3 (Animals of both sexes were used)

Age at treatment

11 - 12 weeks (male) 11 - 12 weeks (females)

Identification

By unique cage number and corresponding ear number.

Acclimatization

Under laboratory conditions after health examination. Only animals without any visual signs of illness were used for the

study.

Affocation

Male No. 21

Female Nos. 22 and 23

RCC STUDY NUMBER 851879 Study Reference Number KSI030435

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# 4.2 HUSBANDRY

Room number

106 / RCC Ltd, Füllinsdorf

Conditions

**Standard Laboratory Conditions** 

Air-conditioned with target ranges for room temperature 17-23 °C, relative humidity 30-70 % and approximately 10-15 air changes per hour. Room temperature and humidity were monitored continuously and values outside of these ranges may have occasionally occurred, usually following room cleaning. These transient variations are considered not to have any influence on the study and, therefore, these data are not reported but are retained at RCC. The animals were provided with an automatically controlled light cycle of 12 hours light and 12 hours dark. Music was played during

the daytime light period.

Accommodation

Individually in stainless steel cages equipped with feed hoppers and drinking water bowls. Wood blocks (RCC Ltd, Füllinsdorf) and haysticks 4646 (batch no. 0303 Provimi

Kliba AG) were provided for gnawing.

Diet

Pelleted standard Provimi Kliba 3418 rabbit maintenance diet *ad libitum* (batch no. 63/03) provided by Provimi Kliba AG, CH-4303 Kaiseraugst. Results of analysis for contami-

nants are archived at RCC Ltd, Itingen.

Water

Community tap water from Füllinsdorf, ad libitum. Results of bacteriological, chemical and contaminant analyses are ar-

chived at RCC Ltd, Itingen.

# 4.3 TEST ITEM

The following information was provided by the Sponsor:

Identification

Description

sample number

S2539801

Purity

The supporting data for purity of the test item was not made available at the time of issuing this report and hence this information has been excluded from the statement of compliance. However, the sponsor has addressed this in a GLP compliant study, SEAC Study Reference Number

AC030449.

**Expiry date** 

01-JAN-2005

Storage conditions

At room temperature (range of 20 ± 3 °C), protected from

light.

RCC STUDY NUMBER 851879 Study Reference Number KSI030435

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Safety precautions

Routine hygienic procedures were used to ensure the health and safety of the personnel.

# 4.4 TEST ITEM PREPARATION

0.5 g (per animal) of the second of the was weighed as delivered by the Sponsor and then moistened with approximately 0.1 mL of purified water before application.

The pH of the test item was measured before the study initiation date. A formulation of 1 % in water was prepared. The pH was found to be 5.

According to Directive 92/69 EEC, B.4. and OECD Guidelines 404, a test item needs not to be tested if the pH-value is less than 2 or greater than 11.5, owing to its predictable corrosive properties.

# 4.5 TREATMENT

Four days before treatment, the left flank was clipped with an electric clipper, exposing an area of approximately 100 cm² (10 cm x 10 cm). The skin of the animals was examined one day before treatment, and regrown fur of all animals was clipped again.

Animals with overt signs of skin injury or marked irritation which may have interfered with the interpretation of the results were not used in the test.

On the day of treatment, 0.5 g of the patch was placed on a surgical gauze patch (ca. 4 cm x 4 cm). This gauze patch was applied to the intact skin of the clipped area. The patch was covered with a semi-occlusive dressing. The dressing was wrapped around the abdomen and anchored with tape.

The duration of treatment was 4 hours. Then the dressing was removed and the skin was flushed with lukewarm tap water to clean the application site so that any reactions (erythema) were clearly visible at that time.

### 4.6 OBSERVATIONS

Viability/Mortality

Daily from acclimatization of the animals to the termination

of test.

Clinical signs

Daily from acclimatization of the animals to the termination

of test.

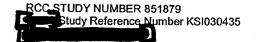
**Body weights** 

At start of acclimatization, on the day of application and at

termination of observation.

# 4.7 IRRITATION SCORES

The skin reaction was assessed according to the numerical scoring system listed in the EEC Commission Directive 92/69/EEC, July 31, 1992 approximately 1, 24, 48 and 72 hours after the removal of the dressing, gauze patch and test item.



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#### 4.8 TREATMENT OF RESULTS

Data are summarized in tabular form, showing the irritation scores for erythema and oedema for each individual animal at all observation intervals after patch removal, any lesions, a description of the degree and nature of irritation, corrosion or reversibility, and any other toxic effects observed.

To evaluate the irritation of the test item the mean score was calculated across 3 scoring times (24, 48 and 72 hours after patch removal) for each animal for erythema/eschar grades and for oedema grades, separately. An animal is positive when the mean score is 2 or greater. The test is positive for irritation when at least 2 animals are positive for the same endpoint (erythema/eschar or oedema).

#### 5. PATHOLOGY

#### 5.1 NECROPSY

No necropsy was performed on the animals sacrificed at termination of observation.

All rabbits were sacrificed by an intravenous injection of Vetanarcol into the ear vein at a dose of at least 1 mL/kg body weight (equivalent to 162 mg sodium pentobarbitone/kg body weight) and discarded.

### 6. DATA COMPILATION AND STATISTICAL ANALYSIS

Viability/mortality, clinical signs and dermal findings were recorded on data sheets and transcribed for compilation and analysis.

Body weights were recorded on-line.

No statistical analysis was performed.

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#### 7. RESULTS

#### 7.1 VIABILITY/MORTALITY/CLINICAL SIGNS

No clinical signs of systemic toxicity were observed in the animals during the study and no mortality occurred.

#### 7.2 IRRITATION

The mean score was calculated across 3 scoring times (24, 48 and 72 hours after patch removal) for each animal for erythema/eschar grades and for oedema grades, separately. The mean erythema/eschar score and the mean oedema score were 0.00 for all three animals.

Very slight erythema was observed in two animals at the 1-hour reading.

No abnormal findings were observed on the treated skin of any animal 24 hours after treatment.

#### 7.3 COLORATION

No staining produced by the test item of the treated skin was observed.

#### 7.4 CORROSION

Neither alterations of the treated skin were observed nor were corrosive effects evident on the skin.

#### 7.5 BODY WEIGHTS

The body weights of all rabbits were considered to be within the normal range of variability.

<b>Body weigl</b>	ht in grams			
Animal No.	Sex	First Day of Acclimatization	Day of Treatment	Last Day of Observation
21	male	1994	2195	2219
22	female	2063	2046	2156
23	female	2029	2244	2315

#### 7.6 CONCLUSION

Based upon the referred classification criteria (Commission Directive 2001/59/EC of August 2001) s considered to be "not irritating" to rabbit skin.

RCC STUDY NUMBER 851879 Study Reference Number KSI030435

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### 8. APPENDICES

### 8.1 SKIN IRRITATION SCORES

### Key to Symbols:

M Male

F Female

Note: EEC Commission Directive 92/69/EEC, July 31, 1992, Grading of Skin Reactions is presented on page 21.

Report

RCC STUDY NUMBER 851879 Study Reference Number KSI030435

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# TABLE 1: SKIN IRRITATION SCORES - INDIVIDUAL VALUES

Animal		Evaluation		
Number	Sex	Interval*	Erythema	Oedema
21	M		1	0
22	F	1 hour	1	0
23	F		0	0
21	М		0	0
22	F	24 hours	0	0
23	F		0	0
21	M		0	0
22	F	48 hours	0	0
23	F		0	0
21	M		0	0
22	F	72 hours	0	0
23	F		0	0

^{*} Examinations were performed at the specified times after removal of the dressing.

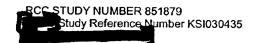
TABLE 2: SKIN IRRITATION SCORES – INDIVIDUAL MEAN VALUES AFTER 24, 48 AND 72 HOURS

Animal Number	Sex	Erythema	N	Oedema	N
21	M	0.00	3	0.00	3
22	F	0.00	3	0.00	3
23	F	0.00	3	0.00	3

N=number of available data points.

TABLE 3: SKIN IRRITATION SCORES – ASSESSMENT ACCORDING TO EEC GUIDELINES

Evaluated intervals	Erythema	Oedema
24 hours		
48 hours	Not Irritating	Not Irritating
72 hours		



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#### INDIVIDUAL FINDINGS

#### ANIMAL NO. 21, MALE

After 1 hour:

Erythema:

very slight erythema

Oedema:

NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED

Flaking: Staining:

NO ABNORMAL FINDINGS NOTED

After 24 hours:

Erythema:

NO ABNORMAL FINDINGS NOTED

Oedema:

NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED

Flaking: Staining:

NO ABNORMAL FINDINGS NOTED

After 48 hours:

Erythema:

NO ABNORMAL FINDINGS NOTED

Oedema:

NO ABNORMAL FINDINGS NOTED

Flaking: Staining:

NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED

After 72 hours:

Erythema:

NO ABNORMAL FINDINGS NOTED

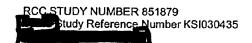
Oedema: Flaking:

NO ABNORMAL FINDINGS NOTED

NO ABNORMAL FINDINGS NOTED

Staining:

NO ABNORMAL FINDINGS NOTED



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### **INDIVIDUAL FINDINGS**

#### ANIMAL NO. 22, FEMALE

After 1 hour:

Erythema:

very slight erythema

Oedema:

NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED

Flaking: Staining:

NO ABNORMAL FINDINGS NOTED

After 24 hours:

Erythema:

NO ABNORMAL FINDINGS NOTED

Oedema: Flaking: NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED

Staining:

NO ABNORMAL FINDINGS NOTED

After 48 hours:

Erythema:

NO ABNORMAL FINDINGS NOTED

Oedema:

NO ABNORMAL FINDINGS NOTED

Flaking: Staining: NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED

After 72 hours:

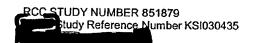
Erythema:

NO ABNORMAL FINDINGS NOTED

Oedema: Flaking: NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED

Staining:

NO ABNORMAL FINDINGS NOTED



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### INDIVIDUAL FINDINGS

#### ANIMAL NO. 23, FEMALE

After 1 hour:

Erythema: Oedema:

NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED

Flaking: Staining: NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED

After 24 hours:

Erythema:

NO ABNORMAL FINDINGS NOTED

Oedema: Flaking:

NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED

Staining:

NO ABNORMAL FINDINGS NOTED

After 48 hours:

Erythema:

NO ABNORMAL FINDINGS NOTED

Oedema: Flaking: Staining:

NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED

After 72 hours:

Erythema:

NO ABNORMAL FINDINGS NOTED

Oedema: Flaking:

NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED

Staining:

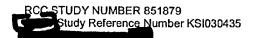
NO ABNORMAL FINDINGS NOTED

RCC STUDY NUMBER 851879 Study Reference Number KSi030435 Report

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# 8.3 SUMMARY OF EVALUATION CRITERIA

- EEC Commission Directive 92/69/EEC, July 31, 1992
- Commission Directive 2001/59/EC, August 2001



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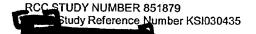
### EEC COMMISSION DIRECTIVE 92/69/EEC, JULY 31, 1992

#### **Grading of Skin Reactions**

### **ERYTHEMA AND ESCHAR FORMATION**

No erythema	0
Very slight erythema	
Well-defined erythema	
Moderate to severe erythema	3
Severe erythema (beet redness) or eschar formation (injuries in depth preventing erythema) reading	
OEDEMA FORMATION	
No oedema	0
Very slight oedema (barely perceptible)	
Slight oedema (edges of area well-defined by definite raising)	
Moderate oedema (edges raised approximately 1 mm)	
Severe gedema (raised more than 1 mm and extending beyond the area of exposure)	

Report



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#### Commission Directive 2001/59/EC, August 2001

The following risk phrase shall be assigned in accordance with the criteria given:

#### "R38 Irritating to skin"

Substances and preparations which cause significant inflammation of the skin which persists for at least 24 hours after an exposure period of up to four hours determined on the rabbit according to the cutaneous irritation test method cited in Annex V.

Inflammation of the skin is significant if:

- (a) the mean value of the scores for either erythema and eschar formation or oedema formation, calculated over all the animals tested, is 2 or more, or
- (b) in the case where the Annex V test has been completed using three animals, either erythema and eschar formation or oedema formation equivalent to a mean value of 2 or more calculated for each animal separately has been observed in two or more animals.
- In both cases all scores at each of the reading times (24, 48 and 72 hours) for an effect should be used in calculating the respective mean values.

Inflammation of the skin is also significant if it persists in at least two animals at the end of the observation time. Particular effects e.g. hyperplasia, scaling, discoloration, fissures, scabs and alopecia should be taken into account.

Substances and preparations which cause significant inflammation of the skin, based on practical observation in humans on immediate, prolonged or repeated contact.

Organic peroxides, except where evidence to the contrary is available.

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#### **GLP - CERTIFICATION**

The Swiss GLP Monitoring Authorities





SWISSMedic Therapeutic Products

# **Statement of GLP Compliance**

It is hereby confirmed that

during the period of

November 18 - 22, 2002

the following Test Facilities of

**RCC Ltd** 4452 Itingen **Switzerland** 

were inspected by the Federal Office of Public Health, the Swiss Agency for Therapeutic Products and the Swiss Agency for the Environment, Forests and Landscape with respect to the compliance with the Swiss legislation on Good Laboratory Practice.

**Test Facilities** 

Areas of expertise *

- Toxicology

TOX, ACC, MUT, OTH (Safety Pharmacology)

- Environmental Chemistry and **Pharmanalytics** 

ACC, ECT, ENF, EMN, PCT, RES, OTH (Animal metabolism)

The inspections were performed in agreement with the OECD Guidelines for National GLP Inspections and Audits. It was found that the aforementioned test facilities were operating in compliance with the Swiss Ordinance relating to Good Laboratory Practice [RS 813.016.5] at the time they were inspected.

> Federal Office of Public Health The Director

Bern, March 2003

Prof. Th. Zeltner

^{*} TOX = Toxicology; ACC = Analytical and Clinical Chemistry; ECT = Environmental toxicity on aquatic and terrestrial organisms; ENF = Behaviour in water, soil and air. Bioaccumulation; EMN = Studies on effects on mesoccosms and natural ecosystems; MUT = Mutagenicity; PCT = Physical-chemical testing; RES = Residue studies; OTH = Other, to be specified.

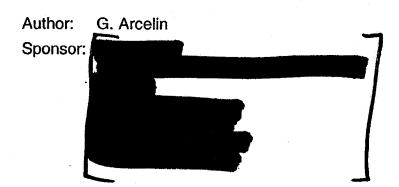
# **RCC Study Number 851880**





Primary Eye Irritation Study in Rabbits

### Report

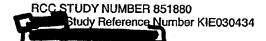


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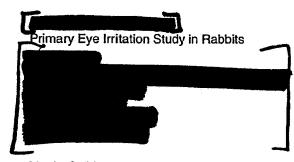
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#### **PREFACE**

#### 1.1 **GENERAL**

Title

Sponsor



Report

Project Planing Contact Names

Mrs L. Selbie Mrs C. Talbot Mrs T. Gübler Miss J. Evans Miss K. Wilson

Scientific Representative

**Test Facility** 

**RCC Ltd** 

Toxicology

Operational Unit: Safety Assessment I

Wölferstrasse 4

CH-4414 Füllinsdorf / Switzerland

#### 1.2 RESPONSIBILITIES

**Study Director** 

G. Arcelin

**Deputy for Study Director** 

M. Ott

**Technical Coordinator** 

P. Reissbrodt

Head of RCC Quality

Assurance

I. Wüthrich

#### 1.3 **SCHEDULE**

**Experimental Starting Date** 

27-JAN-2004

Experimental Completion Date 02-MAR-2004

Acclimatization

27-JAN-2004 to 01-FEB-2004 (one female)

27-JAN-2004 to 02-FEB-2004 (one male and one female)

24-FEB-2004 to 01-MAR-2004 (one female)

**Treatment** 

02-FEB-2004 (one female)

03-FEB-2004 (one male and one female)

02-MAR-2004 (one female)

RCC STUDY NUMBER 851880 Study Reference Number KIE030434

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Observation of local findings

Throughout 72 hours after treatment.

Termination

02-MAR-2004

Study Completion Date

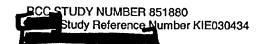
26-MAY-2004

#### 1.4 ARCHIVING

RCC Ltd (CH-4452 Itingen / Switzerland) will retain the study plan, amendments, raw data, a sample of test item(s) and the final report of the present study for at least ten years. No data will be discarded without the Sponsor's written consent.

Report

The remaining test items will be returned to the Sponsor. Archiving of the test items is the responsibility of the Sponsor.



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### 1.5 SIGNATURE PAGE

Study Director:

G. Arcelin

date: 26-4AY-2004

Management:

(far) Dr. H. Fankhauser

date: 15 MAY 2004

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#### 1.6 **QUALITY ASSURANCE UNIT**

RCC Ltd, Toxicology, CH-4452 Itingen / Switzerland

#### **STATEMENT**

RCC STUDY NUMBER:

851880

**TEST ITEM** 

STUDY DIRECTOR

G. Arcelin

TITLE

Primary Eye Irritation Study in Rabbits

The general facilities and activities are inspected periodically and the results are reported to the responsible person and the management.

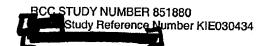
Study procedures were periodically inspected. The study plan and this report were audited by the Quality Assurance Unit. The dates are given below.

Dates and Types of QAU Inspections	Dates of Reports to the Study Director and to Management
23-JAN-2004 Study Plan 26-JAN-2004 Process Based (Test System, Test Item, Treatment, Raw Data, Dose Preparation) 14-MAY-2004 Report	23-JAN-2004 26-JAN-2004 14-MAY-2004

This statement also confirms that this final report reflects the raw data.

Quality Assurance:

(for) S. van Dongen



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#### **GOOD LABORATORY PRACTICE**

#### 1.7 STATEMENT OF COMPLIANCE

RCC STUDY NUMBER:

**TEST ITEM** 

STUDY DIRECTOR

TITLE

851880 G. Arcelin

Primary Eye Irritation Study in Rabbits

The supporting data for purity (characterisation), stability and homogeneity of the test item were not made available at the time of issuing this report and hence this information has been excluded from the Statement of Compliance. However, the sponsor has addressed this in a GLP compliant study and Study Reference Number AC030449.

This study has been performed in compliance with the Swiss Ordinance relating to Good Laboratory Practice, adopted February 2nd, 2000 [RS 813.016.5]. This Ordinance is based on the OECD Principles of Good Laboratory Practice, as revised in 1997 and adopted November 26th, 1997 by decision of the OECD Council [C(97)186/Final].

Study Director:

G. Arcelin

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#### 1.8 TEST GUIDELINES

The study procedures described in this report meet or exceed the requirements of the following guidelines:

Report

Directive 92/69 EEC, B.5. "Acute Toxicity - Eye Irritation", July 31, 1992.

OECD Guidelines for Testing of Chemicals, Section 4, number 405 "Acute Eye Irritation / Corrosion", adopted April 24, 2002.

#### 1.9 ANIMAL WELFARE

This study was performed in an AAALAC-approved laboratory in accordance with the Swiss Animal Protection Law under license no. 54.

#### 1.10 CLASSIFICATION GUIDELINES

Commission Directive 2001/59/EC adapting to technical progress for the 28th time Council Directive 67/548/EEC on the approximation of the laws, regulations and administrative provisions relating to the classification, packaging and labelling of dangerous substances, August 06, 2001 (Official Journal of the European Communities Nr. L 225/1, August 21, 2001).

#### 1.11 SUMMARY OF STUDY PLAN AMENDMENTS

Study Plan Amendment No. 1:

Re-definition of the necropsy procedure.

Study Plan Amendment No. 2:

Completion of the study with an additional animal, due to the spontaneous death of one of the three animals.

RCC STUDY NUMBER 851880 Study Reference Number KIE030434

Report

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### 2 SUMMARY

The primary eye irritation potential of OECD test guideline no. 405. The test item was applied by instillation of 0.1 mL of (corresponding to 0.03 g of test item) into the left eye of three young adult New Zealand White rabbits. Scoring of irritation effects was performed approximately 1, 24, 48 and 72 hours after test item instillation. As one female rabbit was found dead at the 1-hour reading, a further female was treated under the same conditions to complete the study to three animals. Unfortunately, the same phenomenon occurred, the additional animal was found dead approximately 40 minutes after the test item instillation. No conclusion was reached concerning the cause of spontaneous death in these two animals.

The instillation of the second into the eyes of the two surviving rabbits resulted in mild, early-onset and transient ocular changes in one animal only (female). These changes were reversible and were no longer evident 48 hours after treatment. In the second animal (male) no abnormal findings were noted, at any of the assessment times. No corrosion or staining of the treated eyes was observed in either of these animals.

Thus, the test item did not induce significant or irreversible damage to the rabbit eye.

The study was closed after the 72-hour reading.

Based upon the referred classification criteria (Commission Directive 2001/59/EC of August 06, 2001), a second considered to be "not irritating" to the rabbit eye.

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#### 3 PURPOSE

The purpose of this primary eye irritation study was to assess the possible irritation potential when a single dose of the study was placed in the conjunctival sac of rabbit eyes.

This study should provide a rational basis for risk assessment in man as ocular contact is one of the possible routes of human exposure.

The test item was applied as a volume of 0.1 mL (equivalent to approximately 0.03 g, according to the Sponsor information).

#### 4 MATERIALS AND METHODS

#### 4.1 TEST SYSTEM

Test system

New Zealand White Rabbit, SPF

Rationale

Recognized by the international guidelines as the

recommended test system.

Source

Elevage Scientifique des Dombes

F-01400 Chatillon sur Chalaronne / France

Number of animals per test

4' (Animals of both sexes were used)

Age at treatment

12 - 13 weeks (male)

Identification

11 - 13 weeks (females)

.......

By unique cage number and corresponding ear number.

Acclimatization

Under laboratory conditions after health examination. Only

animals without any visual signs of illness were used for the

study.

Allocation

Male No. 24

Female Nos. 25 to 27

^{*} Three is the number of animals required for this study type. As death occurred in one female (no. 26), a further animal (no. 27) was treated.

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#### 4.2 HUSBANDRY

Room number

106 / RCC Ltd, Füllinsdorf

Conditions

Standard Laboratory Conditions

Air-conditioned with target ranges for room temperature 17-23 °C, relative humidity 30-70 % and approximately 10-15 air changes per hour. Room temperature and humidity were monitored continuously and values outside of these ranges may have occasionally occurred, usually following room cleaning. These transient variations are considered not to have any influence on the study and, therefore, these data are not reported but are retained at RCC. The animals were provided with an automatically controlled light cycle of 12 hours light and 12 hours dark. Music was played during

the daytime light period,

Accommodation

Individually in stainless steel cages equipped with feed hoppers and drinking water bowls. Wood blocks (RCC Ltd, Füllinsdorf) and haysticks 4646 (batch no. 0403, Provimi Kliba AG) were provided for gnawing.

Diet

Pelleted standard Provimi Kliba 3418 rabbit maintenance diet ad libitum (batch no. 86/03) provided by Provimi Kliba AG, CH-4303 Kaiseraugst. Results of analysis for contami-

nants are archived at RCC Ltd, Itingen.

Water

Community tap water from Füllinsdorf, ad libitum. Results of bacteriological, chemical and contaminant analyses are ar-

chived at RCC Ltd, Itingen.

#### 4.3 **TEST ITEM**

The following information was provided by the Sponsor:

Identification

Description

sample number

**Purity** 

S2539801

The supporting data for purity of the test item was not made available at the time of issuing this report and hence this information has been excluded from the statement of compliance. However, the sponsor has addressed this in a GLP compliant study Study Reference Number

AC030449.

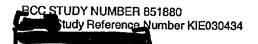
01-JAN-2005

Storage conditions

Expiry date

At room temperature (range of 20 ± 3 °C), protected from

light.



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Safety precautions

Routine hygienic procedures were used to ensure the health and safety of the personnel.

The supporting data for stability and homogeneity of the test item were not made available at the time of issuing this report and hence this information has been excluded from the statement of compliance. However, the sponsor has addressed this in a GLP compliant study Study Reference Number AC030449.

#### 4.4 TEST ITEM PREPARATION

0.03 g (equivalent to 0.1 mL) of was weighed (per animal) and applied undiluted as it was delivered by the Sponsor.

The pH of a 1% (w/w) solution of the test item was measured for a previous study (RCC Study number 851879, skin irritation with n rabbits) and was found to be 5.

According to Directive 92/69 EEC, B.5. and OECD Guidelines 405, a test item needs not to be tested if the pH-value is less than 2 or greater than 11.5, owing to its predictable corrosive properties.

#### 4.5 TREATMENT

The eyes of the animals were examined one day prior to test item administration.

Animals with overt signs of ocular injury or irritation which may have interfered with the interpretation of the results were not used in the test.

The test item was flocculated (loosely packed) and therefore the volume of 0.1 g of the test item was too large to be administered into the conjunctival sac of the animals. Accordingly a dose volume of 0.1 mL was chosen. This had a weight of approximately 0.03 g.

On the day of treatment 0.1 mL (equivalent to 0.03 g) of was placed in the conjunctival sac of the left eye of each animal after gently pulling the lower lid away from the eyeball. The lids were then gently held together for about one second to prevent loss of test item. The right eye remained untreated and served as the reference control. The treated eyes were not rinsed after instillation.

A single animal (one female) was treated first. As neither a corrosive effect nor a severe irritant effect was observed after the 1- and 24-hour examinations, the test was completed using the two remaining animals (one male and one female). As death occurred in the second female, a further female was treated in the same conditions. A total of four animals were used for this study.

#### 4.6 **OBSERVATIONS**

Viability/Mortality

Daily from acclimatization of the animals to the termination

Clinical signs

Daily from acclimatization of the animals to the termination

of test.

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**Body weights** 

At start of acclimatization, on the day of application and at termination of observation.

#### 4.7 IRRITATION SCORES

The ocular reaction was assessed according to the numerical scoring system listed in the EEC Commission Directive 92/69/EEC, July 31, 1992 at approximately 1, 24, 48 and 72 hours after instillation.

When present, corrosion and/or staining of conjunctivae, sclerae and cornea by the test item were recorded and reported.

Eye examinations were made with a Varta Cliptrix diagnostic-lamp (Roth AG, CH-4153 Reinach/Switzerland).

#### 4.8 TREATMENT OF RESULTS

Data are summarized in tabular form, showing the irritation scores of each following parameters: corneal opacity (including the area affected, where applicable), iridic effects, chemosis, conjunctival and scleral reddening for each individual animal at all observation intervals. In addition, any lesions including the degree and nature of irritation, corrosion or reversibility, and any other toxic effects are presented. As death occurred and the value of only two animals were available, mean values were not calculated.

For EU Classification of ocular irritants (Commission Directive 2001/59/EC), the criteria from the Official Journal of the European Communities (O.J. L 225/1) was employed (see page 23).

#### 5 PATHOLOGY

#### 5.1 NECROPSY

Both females which died after the test item instillation were necropsied as soon as they were found dead and any abnormalities were recorded.

At termination of observation the surviving animals were killed by intravenous injection of Vetanarcol into the ear vein at a dose of at least 1 mL/kg body weight (equivalent to 162 mg sodium pentobarbitone/kg body weight) and necropsy was performed.

#### 6 DATA COMPILATION AND STATISTICAL ANALYSIS

Viability/mortality and ocular findings were recorded on data sheets and transcribed for compilation and analysis. The macroscopic findings were recorded on data sheets or compiled into the RCC Tox Computer System. Body weights were recorded on-lie.

No statistical analysis was performed. The RCC Tox Computer System (RCC-Tox-Lims) has been validated with respect to data collection, storage and retrievability.

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#### 7 RESULTS

### 7.1 VIABILITY/MORTALITY AND CLINICAL SIGNS

Two females treated in this study died after test item instillation. The first animal was found dead one hour after treatment and the second animal was found dead 40 minutes after treatment. Both females which died after the test item instillation were necropsied as soon as they were found dead and any abnormalities were recorded. No clinical signs were observed immediately after the treatment. The animals were not continuously observed before the 1-hour reading as no death and no clinical signs were expected. No clinical signs were observed in the two surviving animals.

#### 7.2 MACROSCOPIC FINDINGS AT NECROPSY

No macroscopic findings were noted in the two surviving animals at the end of the study.

Several pale foci were seen in the heart of the first female (no. 26) which was found dead one hour after the test item instillation.

The lungs of the additional female which was found dead approximately 40 minutes after the test item instillation were dark-red discolored.

No conclusion was reached concerning the cause of spontaneous death in these two animals.

#### 7.3 IRRITATION

In the two surviving rabbits (one male and one female), only the female showed ocular changes such as a slight opacity in the whole corneal area, a moderate redness of the conjunctivae and sclera and a moderate chemosis at the 1-hour reading. A slight redness of the conjunctivae and sclera was still observed at the 24-hour reading. These effects were reversible and were no longer evident 48 hours after treatment. In the second animal (male) no abnormal findings were noted, at any of the assessment times.

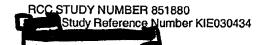
The study was closed after the 72-hour reading.

#### 7.4 COLORATION

No staining of the treated eyes produced by the test item was observed.

#### 7.5 CORROSION

No corrosion of the cornea was observed at any of the reading times.



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#### 7.6 BODY WEIGHTS

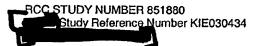
The body weights of all rabbits were considered to be within the normal range of variability.

Animai	Sex	First Day of	Day of	Last Day of
No.		Acclimatization	Treatment	Observation
24	male	2121	2409	2497
25	female	2032	2244	2389
26*	female	2121	2318	-
27*	female	2143	2270	-

^{*} one female rabbit (no. 26) was found dead 1 hour after the test item instillation. A further female (no. 27) was treated in the same conditions to complete the study to three animals. Unfortunately, the same phenomenon occurred, the additional animal was found dead approximately 40 minutes after the test item instillation.

#### 7.7 CONCLUSION

Based upon the referred classification criteria (Commission Directive 2001/59/EC of August 06, 2001). See the considered to be "not irritating" to the rabbit eye.



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## 8 APPENDICES

#### 8.1 EYE IRRITATION SCORES

#### Key to Symbols:

M Male

F Female

Note: EEC Commission Directive 92/69/EEC, July 31, 1992, Grading of Ocular Lesions is presented on page 22 and used for classification under the Commission Directive 2001/59/EC, August 06, 2001 on page 23.

RCC STUDY NUMBER 851880
Study Reference Number KIE030434

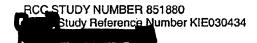
Report

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## **TABLE 1: EYE IRRITATION SCORES - INDIVIDUAL VALUES**

Animal		Evaluation	Corneal	Area of		Conjunctivae		
Number	Sex	Interval*	Opacity	Corneal Opacity	Iris	Redness	Chemosis	Sclera
24	М	1 hour	0	0	0	0	0	0
25	F		. 1	4	0	2	2	2
24	M	24 hours	0	0	0	0	0	0
25	F	24 nours	0	0	0	1	0	1
24	M	48 hours	0	0	0	0	0	0
25	F	40 nours	0	0	0	0	0	0
24	M	72 hours	0	0	0	0	0	0
25	F	72 hours	0	0	0	0	0	0

^{*} Examinations were performed at the specified times after instillation of the test item.



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#### 8.2 INDIVIDUAL FINDINGS

#### ANIMAL NO. 24, MALE

After 1 hour:

Cornea:

NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED

Iris: Conjunctivae: Discharge: Scierae: Test item:

NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED

NO REMNANTS EVIDENT

After 24 hours:

Cornea: Iris:

NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED

Conjunctivae: Discharge: Sclerae: Test item:

NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED

NO REMNANTS EVIDENT

After 48 hours:

Cornea: Iris:

NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED

Conjunctivae: Discharge: Scierae: Test item:

NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED

NO REMNANTS EVIDENT

After 72 hours:

Cornea:

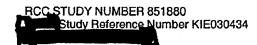
Iris: Conjunctivae: Discharge:

NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED

Sclerae: Test item:

NO REMNANTS EVIDENT

Observations included: cornea, conjunctivae (including nictitating membrane), sclera and iris. The presence (or absence, as appropriate) of opacity, vascularization, reddening, oedema, discharge, staining and test item remnants were assessed.



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#### INDIVIDUAL FINDINGS

#### ANIMAL NO. 25, FEMALE

After 1 hour:

Cornea:

slight opacity, whole corneal area

Iris: Conjunctivae: NO ABNORMAL FINDINGS NOTED moderately reddened; moderate swelling

Discharge: Sclerae:

NO ABNORMAL FINDINGS NOTED moderately reddened

Test item:

NO REMNANTS EVIDENT

After 24 hours:

Cornea: Iris:

NO ABNORMAL FINDINGS NOTED

NO ABNORMAL FINDINGS NOTED

Conjunctivae:

slightly reddened

Discharge: Sclerae:

NO ABNORMAL FINDINGS NOTED

slightly reddened

Test item:

NO REMNANTS EVIDENT

After 48 hours:

Cornea:

NO ABNORMAL FINDINGS NOTED

Iris:

NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED

Discharge: Sclerae:

Conjunctivae:

NO ABNORMAL FINDINGS NOTED

Test item:

NO REMNANTS EVIDENT

After 72 hours:

Cornea:

NO ABNORMAL FINDINGS NOTED

Iris:

NO ABNORMAL FINDINGS NOTED NO ABNORMAL FINDINGS NOTED

Conjunctivae: Discharge:

NO ABNORMAL FINDINGS NOTED

Sclerae: Test item: NO ABNORMAL FINDINGS NOTED NO REMNANTS EVIDENT

Observations included: cornea, conjunctivae (including nictitating membrane), sclera and iris. The presence (or absence, as appropriate) of opacity, vascularization, reddening, oedema, discharge, staining and test item remnants were assessed.

RCC STUDY NUMBER 851880 Study Reference Number KIE030434

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# 8.3 SUMMARY OF EVALUATION CRITERIA

- EEC Commission Directive 92/69/EEC, July 31, 1992
- Commission Directive 2001/59/EC, August 06, 2001

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#### EEC COMMISSION DIRECTIVE 92/69/EEC, JULY 31, 1992

Report

#### **Grading of Ocular Lesions**

#### **CORNEA**

Opacity: degree of density (area most dense taken for reading)	
No ulceration or opacity	0
Scattered or diffuse areas of opacity (other than slight dulling of normal luster),	
details of iris clearly visible	]
Nacreous area, no details of iris visible, size of pupil barely discemible	2
Opaque cornea, iris not discernible through the opacity	4
Opaque 0011100, 110 101 0101 110 010 110 010 0	
Area of cornea involved	
Zero	0
One quarter (or less) but not zero	ו מ
Greater than half, but less than three quarters	
Greater than three quarters, up to whole area	4
IRIS Normal	n
Markedly deepened rugae, congestion, swelling, moderate circumcorneal hyperemia,	0
or injection, any of these or combination of any thereof, iris still reacting to light	
(sluggish reaction is positive)	1
No reaction to light, hemorrhage, gross destruction (any or all of these)	2
CONJUNCTIVAE	
Redness (refers to most severe reading of palpebral and bulbar conjunctivae when compared with control eye)	
Blood vessels normal	0
Some blood vessels definitely hyperemic (injected)	
Diffuse, crimson color, individual vessels not easily discernible	2
	0
Chemosis: Iids and/or nictitating membranes	•
No swelling	
Obvious swelling with partial eversion of lids	2
Swelling with lids about half-closed	
Swelling with lids more than half-closed	4
Discharge:	
No discharge	0
Any amount different to normal (does not include small amount observed	
in inner canthus of normal animal)	
Discharge with moistening of the lids and hairs just adjacent to the lids	2
Discharge with moistening of the lids and hairs, and a considerable area around the eye (running)	θ ,

Note: Reddening of the sclerae will be assessed using the same scoring grades as conjunctivae.

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#### COMMISSION DIRECTIVE 2001/59/EC, AUGUST 06, 2001

The following risk phrases shall also be assigned in accordance with the criteria given:

#### "R36 - Irritating to eyes"

Substances and preparations which, when applied to the eye of the animal, cause significant ocular lesions which occurred within 72 hours after exposure and which persist for at least 24 hours.

Ocular lesions are significant if the mean scores of the eye irritation test cited in Annex V have any of the following values:

- comea opacity equal to or greater than 2 but less than 3;
- iris lesion equal to or greater than 1 but not greater than 1,5;
- · redness of the conjunctivae equal to or greater than 2,5;
- · oedema of the conjunctivae (chemosis) equal to or greater than 2;

or, in the case where the Annex V test has been completed using three animals if the lesions, on two or more animals, are equivalent to any of the above values except that for iris lesion the value should be equal to or greater than 1 but less than 2 and for redness of the conjunctivae the value should be equal to or greater than 2,5.

In both cases all scores at each of the reading times (24, 48 and 72 hours) for an effect should be used in calculating the respective mean values.

Substances or preparations which cause significant ocular lesions, based on practical experience in humans.

Organic peroxides except where evidence to the contrary is available.

#### "R41 - Risk of serious damage to eyes"

Substances and preparations which, when applied to the eye of the animal, cause severe ocular lesions which occur within 72 hours after exposure and which persist for at least 24 hours.

Ocular lesions are severe if the mean scores of the eye irritation test in Annex V have any of the following values:

- · cornea opacity equal to or greater than 3;
- iris lesion greater than 1,5.

The same shall be the case where the test has been completed using three animals if the lesion, on two or more animals, have any of the values:

- cornea opacity equal to or greater than 3;
- iris lesion equal to 2.

In both cases all scores at each of the reading times (24, 48 and 72 hours) for an effect should be used in calculating the respective mean values.

Ocular lesions are also severe when they are still present at the end of the observation time.

Ocular lesions are also severe if the substance or preparation causes irreversible colouration of the eyes.

Substances and preparations which cause severe ocular lesions, based on practical experience in

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#### 8.4 GLP - CERTIFICATION

The Swiss GLP Monitoring Authorities



Swiss Federal Office of Public Health



Swiss Agency for the Environment, Forests and Landscape

swissmedic

Swissmedic Swiss Agency for Thereneutic Products

# **Statement of GLP Compliance**

It is hereby confirmed that

during the period of

November 18 - 22, 2002

the following Test Facilities of

RCC Ltd 4452 Itingen Switzerland

were inspected by the Federal Office of Public Health, the Swiss Agency for Therapeutic Products and the Swiss Agency for the Environment, Forests and Landscape with respect to the compliance with the Swiss legislation on Good Laboratory Practice.

Test Facilities

Areas of expertise *

- Toxicology

TOX, ACC, MUT, OTH (Safety Pharmacology)

- Environmental Chemistry and

**Pharmanalytics** 

ACC, ECT, ENF, EMN, PCT, RES, OTH (Animal metabolism)

The Inspections were performed in agreement with the OECD Guidelines for National GLP inspections and Audits. It was found that the aforementioned test facilities were operating in compliance with the Swiss Ordinance relating to Good Laboratory Practice [RS 813.016.5] at the time they were inspected.

Federal Office of Public Health The Director

- Hen

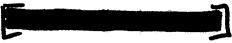
Bern, March 2003

Prof. Th. Zeltner

[•] TOX = Toxicology; ACC = Analytical and Clinical Chemistry; ECT = Environmental toxicity on equatic and terrestrial organisms; ENF = Behaviour in water, soil and air. Bloaccumulation; EMN = Studies on effects on mesoccams and natural ecosystems; MUT = Mutagenicity; PCT = Physical-chemical testing; RES = Residue studies; OTH = Other, to be specified.

# **RCC Study Number 851904**

Sponsor's Reference Number KSL030433

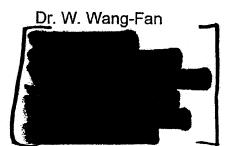


Local Lymph Node Assay (LLNA) in Mice (Identification of Contact Allergens)

Report

Author:

Sponsor:



Study Completion Date: 02 April 2004

Total Number of Pages: 45

#### REPORT

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#### REPORT

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# 1 PREFACE

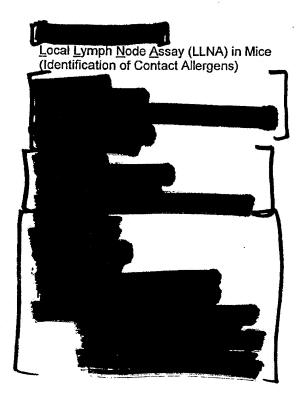
### 1.1 GENERAL

Title

Sponsor

Scientific Representative





#### **REPORT**

**Test Facility** 

a) RCC Ltd

Toxicology

Operational Unit: Safety Assessment I CH - 4452 Itingen / Switzerland

**Test Site** 

b) RCC Ltd

**Environmental Chemistry & Pharmanalytics** 

CH - 4452 Itingen / Switzerland

Lead QA

**RCC Ltd** 

**Quality Assurance GLP** 

Toxicology

CH - 4452 Itingen / Switzerland

Test Site QA

RCC Ltd

Quality Assurance GLP

**Environmental Chemistry & Pharmanalytics** 

CH - 4452 Itingen / Switzerland (Responsible for test site)

### 1.2 RESPONSIBILITIES

**Study Director** 

Dr. W. Wang-Fan (a)

**Deputy Study Director** 

L.G. Ullmann (a)

Technical Coordinator / Necropsy

N. Schäfer (a)

Head of Lead Quality Assurance

I. Wüthrich

#### **Principal Investigator**

Study Phase: ³HTdR Determination Dr. R. Burri (b)

#### 1.3 SCHEDULE

**Experimental Starting Date** 

21-JAN-2004

**Experimental Completion Date** 

04-FEB-2004

**Delivery of Animals** 

21-JAN-2004

Acclimatization

21-JAN-2004 to 27-JAN-2004

Treatment (epicutaneous)

28-JAN-2004 to 30-JAN-2004

Treatment (intravenous)

02-FEB-2004

Observation

21-JAN-2004 to 02-FEB-2004

³HTdR Determination

03-FEB-2004

Termination

04-FEB-2004

REPORT

#### 1.4 ARCHIVING

RCC Ltd (CH-4452 Itingen / Switzerland) will retain the study plan, raw data, sample of test items and the final report of the present study for at least ten years. No data will be discarded without the Sponsor's consent. The remaining test item will be returned to the sponsor. Archiving of the test article is the responsibility of the Sponsor.

. .

REPORT

### 1.5 SIGNATURES

Study Director:

Dr. W. Wang-Fan

W. Wang - Fan date: 02 April 2004

**Test Facility Management:** 

Dr. H. Fankhauser

date: 02 April 2014

### 1.6 QUALITY ASSURANCE UNIT

RCC Ltd, Toxicology, CH-4452 Itingen / Switzerland

#### STATEMENT

**RCC Study Number** 

Test Item

Study Director

Title

851904

Dr. W. Wang-Fan

<u>L</u>ocal <u>Lymph Node Assay</u> (LLNA) in Mice (Identification of Contact Allergens)

The general facilities and activities are inspected periodically and the results are reported to the responsible person and the management.

Study procedures were periodically inspected. The study plan and this report were audited by the RCC Quality Assurance Unit. The dates are given below:

Dates and Type	es of QAU Inspections	Dates of Reports to the Study Director and to Management
12-DEC-2003	Study Plan	12-DEC-2003
15-JAN-2004	Process Based (Raw Data, Test System, Test Item, Administration,)	15-JAN-2004
25-FEB-2004	Report	25-FEB-2004

This statement also confirms that this final report reflects the raw data.

In addition this final report includes a QAU-Statement issued by the Test Site Quality Assurance Unit.

Lead Quality Assurance:

S. van Dongen

S. ven Dongen date: 2-17,78-2004

REPORT

### **GOOD LABORATORY PRACTICE**

#### 1.7 STATEMENT OF COMPLIANCE

**RCC Study Number** 

851904

Test Item

**Study Director** 

Title

Dr. W. Wang-Fan

ocal Lymph Node Assay (LLNA) in Mice (Identification of Contact Allergens)

The supporting data for purity (characterisation) of the test item was not made available at the time of issuing this report and hence this information has been excluded from the Statement of Compliance. However, the sponsor has addressed this in a GLP compliant Study Reference Number AC030449.

This study has been performed in compliance with the Swiss Ordinance relating to Good Laboratory Practice, adopted February 2nd, 2000 [RS 813.016.5]. This Ordinance is based on the OECD Principles of Good Laboratory Practice, as revised in 1997 and adopted November 26th, 1997 by decision of the OECD Council [C(97) 186/Final].

Study Director:

Dr. W. Wang-Fan

W. Wang - Fan date: 02 April 2004

#### 1.8 TEST GUIDELINE

The study procedures described in this report meet or exceed the requirements of the following guideline:

OECD Guideline for the Testing of Chemicals, Guideline 429: Skin Sensitization: Local Lymph Node Assay (adopted 24 April 2002).

The study procedures were optimised to conform with the American regulatory preferences for the local lymph node assay.

#### 1.9 ANIMAL WELFARE

This study was performed in an AAALAC-approved laboratory in accordance with the Swiss Animal Protection Law under license no. 114.

#### 1.10 REFERENCES

Kimber I., Hilton J. and Weisenberger C. (1989). The murine local lymph node assay for identification of contact allergens: a preliminary evaluation of in situ measurement of lymphocyte proliferation. Contact Dermatitis, <u>21</u>, 215-220.

Kimber I. and Basketter D.A. (1992). The murine local lymph node assay. A commentary on collaborative studies and new directions. Food and Chemical Toxicology, <u>30</u>, 165-169.

Basketter D.A., Gerbrick G.F., Kimber I. and Loveless S.E. (1996). The local lymph node assay: a viable alternative to currently accepted skin sensitization tests. Food and Chemical Toxicology, <u>34</u>, 985-997.

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Basketter D.A., Lea L.J., Cooper K., Stocks J., Dickens A., Pate I., Dearman R.J. and Kimber I. (1999). Threshold for Classification as a Skin Sensitiser in the Local Lymph Node Assay: a Statistical Evaluation. Food and Chemical Toxicology, <u>37</u>, 1167-1174.

REPORT

#### 2 SUMMARY

In order to study a possible contact allergenic potential of groups each of five female mice were treated daily with the test item at concentrations of 5 %, 10 % and 25 % (w/v) in N,N-dimethylformamide (DMF) by topical application to the dorsum of each ear lobe (left and right). A positive control group of five mice was treated with 25 % (w/v) ALPHA-HEXYLCINNAMALDEHYDE in acetone:olive oil, 4:1 (v/v). Two different vehicles were used in this study, therefore, two control groups, each of five mice, were treated with one or other of the two vehicle materials only. It was intended that each of the test and control items would be applied for three consecutive days, however, due to the death of a number of the animals this was not the case for all treatment groups (as detailed below). Five days after the first topical application the surviving mice were injected intravenously into a tail vein with radio-labelled thymidine (3H-methyl thymidine). Approximately five hours after intravenous injection, the mice were sacrificed, the draining auricular lymph nodes excised and pooled per mouse. Single cell suspensions of lymph node cells were prepared from pooled lymph nodes which were washed subsequently and incubated with trichloroacetic acid overnight. The proliferative capacity of pooled lymph node cells was determined by the incorporation of 3H-methyl thymidine measured in a Bscintillation counter.

The animal No. 29 (Group 6, 25 %) died one day after the first topical application. The mice Nos. 18 (Group 4, 5 %), 26, 27, 28 and 30 (Group 6, 25 %) died one hour after the second application. The mice Nos. 21 and 23 (Group 5, 10 %) died two hours after the second application. The mice Nos. 22, 24 and 25 (Group 5, 10 %) were euthanized due to severe sedation. The animal No. 20 (Group 4, 5 %) died 20 minutes after the third application. None of the mice in the positive or negative control groups died. All animals which died before the end of the study were dissected by the pathology department and no unusual findings were observed.

No clinical signs were observed in any animals of the two vehicle control groups. On the second application day, a slight ear swelling was observed at both dosing sites in all mice of the positive control Group 3 (25 % HCA), persisting for the remainder of the in-life phase of the study. In addition, a slight ear erythema was observed at both dosing sites in all mice of this group on the third application day, persisting for a total of three days. Approximately two hours after the third application, the three remaining mice of Group 4 (5 %) showed somnolence and decreased spontaneous activity.

The results obtained (STIMULATION INDEX (S.I.)) are reported in the following table.

#### REPORT

Group	% (w/v)	DPM/mouse M ± SD	S.I. (SD)	(G = 2,	etical Analysis  a) t-test N = 10, t = 2.31) b) t-test N = 8, t = 2.45)
				t value	Conclusion
NCG 1	-	875 ± 308	_,	-	
NCG 2	_	1077 ± 173	_	-	
PCG 3	25 (HCA)	7239 ± 3829	6.7 (3.6)	3.59 a)	**
TG 4	5	2617 ± 1623	3.0 (1.9)	2.46 b)	**

** significant difference at p ≤ 0.05 (two sides)

NCG 1 Vehicle group = N,N-dimethylformamide (DMF)

NCG 2 Vehicle group = acetone:olive oil, 4:1 (v/v)

PCG 3 25 % (w/v) ALPHA-HEXYLCINNAMALDEHYDE in acetone:clive oil, 4:1 (v/v)

TG 4 Test item in N.N-dimethylformamide (DMF)

#### 3 CONCLUSION

A test item is regarded as a sensitizer in the LLNA if the exposure to at least one concentration of the test item resulted in an incorporation of ³HTdR at least 3-fold or greater than that recorded in control mice, as indicated by the STIMULATION INDEX (S.I.).

In this study a STIMULATION INDEX of 6.7 was obtained with the positive control item ALPHA-HEXYLCINNAMALDEHYDE (HCA) at a concentration of 25% (w/v) in acetone:olive oil, 4:1 (v/v). This S.I. confirms that HCA is a skin sensitizer and is consistent with historical values for HCA at this test concentration. In the t-test a significant difference in the DPM/mouse values was obtained between the positive control (25% ALPHA-HEXYLCINNAMALDEHYDE) group and the vehicle control group at p  $\leq$  0.05 (two sides) which also confirms that HCA is a skin sensitiser. The positive control data therefore shows that the assay is consistent and reliable, and produces responses within the expected parameters.

Unfortunately only three of the mice treated with the test item (at the lowest dose level of 5% (w/v)) survived to the end of the study. In the light of this, the variable effects seen in these surviving mice cannot be attributed with confidence either to sensitisation or any other toxicity-related effect. It is therefore impossible to derive a firm conclusion about the sensitisation potential of the test item.

REPORT

# 4 PURPOSE

The purpose of this Local Lymph Node Assay was to identify the contact allergenic potential of when administered to the dorsum of both ear lobes of mice.

This study should provide a rational basis for risk assessment to the sensitizing potential of the test item in man.

#### 5 MATERIALS AND METHODS

#### 5.1 TEST SYSTEM

Test system Mice, CBA/CaOlaHsd

Rationale Recognized as the recommended test system.

Source Harlan Netherlands B.V. Postbus 6174

NL - 5960 AD Horst / The Netherlands

Number of animals for

the main study

30 females

Number of animals per group 5 females (nulliparous and non-pregnant)

Number of test groups 3
Number of vehicle control groups 2
Number of positive control group 1

Age 8 - 12 weeks (beginning of acclimatization)

Body weight 16 g - 24 g (ordered)

Identification Each cage by unique cage card.

Randomization Randomly selected by computer algorithm at time of

delivery.

Acclimatization Under test conditions after health examination. Only

animals without any visible signs of illness were used

for the study.

#### 5.2 ALLOCATION

The animals were distributed as follows:

GROUP	CONCENTRATION % (w/v)	NUMBER OF ANIMALS PER GROUP	CAGE NUMBER (Individually housed)
1 Vehicle Control Group a)	•	5	1 - 5
2 Vehicle Control Group b)	-	5	6 - 10
3 Positive Control Group c)	25	5	11 - 15
4 Test Item Group d)	5	5	16 - 20
5	10	5	21 - 25
6	25	5	26 - 30

a) Vehicle group = N,N-dimethylformamide (DMF)

### 5.3 HUSBANDRY

Room no.	E21 / RCC Itingen
Conditions	Standard Laboratory Conditions. Air-conditioned with target ranges for room temperature 22 ± 3 °C, relative humidity 30 - 70 % and 10 - 15 air changes per hour. Room temperature and humidity were monitored continuously and values outside of these ranges occasionally occurred, usually following room cleaning. These transient variations are considered not to have any influence on the study and, therefore, these data are not reported but are retained at RCC. There was a 12 hour fluorescent light / 12 hour dark cycle with at least 8 hours music during the light period.
Accommodation	Individual in Makrolon type-2 cages with standard softwood bedding ("Lignocel", Schill AG, CH-4132 Muttenz).
Diet	Pelleted standard Kliba 3433, batch no. 78/03 mouse maintenance diet (Provimi Kliba AG, CH-4303 Kaiseraugst) available ad libitum. Results of analyses for contaminants are archived at RCC.
Water	Community tap water from Itingen, available ad libitum. Results of representative bacteriological, chemical and contaminant analyses are archived at RCC.

b) Vehicle group = acetone:olive oil, 4:1 (v/v)

c) 25 % (w/v) ALPHA-HEXYLCINNAMALDEHYDE in acetone:olive oil, 4:1 (v/v)

d) Test item in N,N-dimethylformamide (DMF)

#### 5.4 CHEMICALS

³H-methyl Thymidine

Amersham TRA 310, batch no. 313 aqueous solution, sterilized 74 GBq/mmol (2 Ci/mmol), 37 MBq/ml (1 mCi/ml) quantities: 9.25 MBq (250 µCi), 37 MBq (1

mCi)

Trichloroacetic acid

Fluka no. 91230 (min. 99.5 %)

Phosphate buffered saline

Fluka no. 79382 (1 tablet solved in 200 ml bi-distilled

water)

#### 5.5 VEHICLES

N,N-Dimethylformamide (DMF)

Supplier

Merck KGaA (Frankfurter Str. 250, D-64293

Darmstadt, Germany)

Batch number

1.02937.0500

Expiry date

31-MAY-2006

Storage conditions

In the original container at room temperature

(20 °C  $\pm$  3 °C), away from direct sunlight

Acetone:olive oil, 4:1 (v/v)

1) Acetone

Supplier

Baker, P. H. Stehelin & Cie AG (Spalentorweg 62, CH-

4003 Basel, Switzerland)

Batch number

0310810002

Expiry date

AUG-2004

Storage conditions

In the original container at room temperature

(20 °C ± 3 °C), away from direct sunlight.

2) Olive oil

Supplier

Roth AG (Chr. Merian-Ring 7, CH-4153 Reinach BL,

Switzerland)

Batch number

22357895

Expiry date

09-SEP-2004

Storage conditions

In the original container at room temperature

(20 °C ± 3 °C), away from direct sunlight.

REPORT

#### 5.6 **TEST ITEM**

Identity

Description

Sample number

Stability of test item

Expiry date

Storage conditions

Safety precautions

S2539801

Stable under storage conditions

01-JAN-2005

In the original container at room temperature

(20 °C ± 3 °C). Keep in dark.

Routine hygienic procedures (gloves, goggles, face

mask).

The supporting data for purity (characterisation) of the test item was not made available at the time of issuing this report and hence this information has been excluded from the statement of compliance. However the sponsor has addressed this in a GLP compliant study, Study Reference No. AC030449.

The test item information was supplied by the Sponsor.

#### 5.6.1 POSITIVE CONTROL ITEM

Identity

ALPHA-HEXYLCINNAMALDEHYDE

Description

liquid

Batch number

13102MO

**Purity** 

tech., 85 %

Stability of test item

Stable under storage conditions

Expiry date

08-DEC-2005

Storage conditions

In the original container at room temperature

(20 °C ± 3 °C), away from direct sunlight.

Safety precautions

Routine hygienic procedures (gloves, goggles, face

mask).

This information was supplied by the supplier.

REPORT

#### 5.7 TEST ITEM FORMULATIONS PREPARATION

The test item and the positive control item ALPHA-HEXYLCINNAMALDEHYDE were placed into a volumetric flask on a tared Mettler balance, and vehicles N,N-dimethylformamide (DMF) or acetone:olive oil, 4:1 (v/v), respectively, were quantitatively added separately. The weight/volume dilutions were prepared individually.

Test item and positive control item formulations were made freshly before each dosing occasion and no more than 4 hours prior to application to the ears.

Homogeneity of the test item and positive control item in vehicles was maintained during treatment by use of a magnetic stirrer.

The test item was assayed at three consecutive concentrations selected by the Sponsor.

Concentrations were in terms of material as supplied.

#### 5.8 RATIONALE

The study procedure was used to detect a possible contact allergenic potential of the test item applied.

#### 6 STUDY CONDUCT

#### 6.1 TREATMENT PROCEDURES

#### 6.1.1 TOPICAL APPLICATION

Each test group of mice was treated by (epidermal) topical application to the dorsal surface of each ear lobe (left and right) with the test item at different concentrations. A further three groups of mice were treated with an equal volume of either, the positive control item dilution, the positive control vehicle (AOO) or the negative control material (DMF). The application volume, 25ul, was spread over the entire dorsal surface ( $\varnothing \sim 8$  mm) of each ear lobe. A hair dryer was used to dry the ear's surface as quickly as possible to avoid loss of test item applied. It was intended that each of the test and control items would be applied once daily for 3 consecutive days, however, due to the death of a number of the animals this was not the case for all treatment groups (as detailed in section 7.2).

### 6.1.2 ADMINISTRATION OF 3H-METHYL THYMIDINE*

³H-methyl thymidine (³HTdR) was purchased from Amersham International (Amersham product code no. TRA 310; specific activity, 2 Ci/mmol; concentration, 1 mCi/ml).

Five days after the first topical application, all surviving mice were administered with 250 µl of 86.5 µCi/ml 3HTdR (equal to 21.6 µCi 3HTdR) by intravenous injection via a tail vein.

#### 6.1.3 DETERMINATION OF INCORPORATED 3HTDR*

Approximately five hours after treatment with ³HTdR all surviving mice were euthanized by intraperitoneal injection of VETANARCOL (Veterinaria AG, Zürich).

The draining lymph nodes were rapidly excised and pooled for each individual animal (2 nodes per mouse). Single cell suspensions (phosphate buffered saline) of pooled lymph node cells were prepared by gentle mechanical disaggregation through stainless steel gauze (200 µm mesh size). After washing two times with phosphate buffered saline (approx. 10 ml) the lymph node cells were resuspended in 5 % trichloroacetic acid (approx. 3 ml) and incubated at approximately +4 °C for at least 18 hours for precipitation of macromolecules. The precipitates were then resuspended in 5 % trichloroacetic acid (1 ml) and transferred to glass scintillation vials with 10 ml of 'Ultima Gold' scintillation liquid and thoroughly mixed.

The level of ³HTdR incorporation was then measured on a β-scintillation counter. Similarly, background ³HTdR levels were also measured in two 1ml-aliquots of 5 % trichloroacetic acid. The β-scintillation counter expresses ³HTdR incorporation as the number of radioactive disintegrations per minute (DPM).

No phase report of the results of the ³HTdR level analysis was provided by the Principal Investigator.

Preparation of ³HTdR solutions and ³HTdR measurements at RCC Ltd, Environmental Chemistry & Pharmanalytics

REPORT

#### 6.1.4 INTERPRETATION OF RAW DATA

The proliferative responses of lymph node cells are expressed as the number of radioactive disintegrations per minute per animal (DPM/mouse). The mean DPM/mouse value was calculated for each of the test and control groups that survived until the end of the study. The ratio of 3HTdR incorporated into lymph node cells of test lymph nodes relative to that recorded for the relevant vehicle control lymph nodes (STIMULATION INDEX) was calculated by dividing the mean DPM/mouse for each test group by the mean DPM/mouse of the relevant vehicle control group. Before DPM/mouse values are determined, mean scintillation-background DPM will be subtracted from test and control raw data.

A test item is regarded as a sensitizer in the LLNA if the following criteria are fulfilled:

- First, that exposure to at least one concentration of the test item resulted in an incorporation of ³HTdR at least 3-fold or greater than that recorded in control mice, as indicated by the STIMULATION INDEX (S.I.).
- Second, that the data are compatible with a conventional dose response, although allowance must be made (especially at high topical concentrations) for either local toxicity or immunological suppression.

#### 6.2 OBSERVATIONS

In addition to the sensitizing reactions the following observations and data were recorded during the test and observation period:

Mortality / Viability

Twice daily from acclimatization start to the termination

of in-life phase.

Body weights

Prior to the 1st application and prior to necropsy.

Clinical signs (local / systemic)

Daily from acclimatization start to the termination of in-life phase. Particular attention was paid to the

treatment sites.

### 6.3 STATISTICAL ANALYSIS

The mean body weights and mean DPM/mouse values for each test and control group (that survived until the end of the study) were calculated. Standard deviations of the data used to determine these mean values were calculated.

The t-test was conducted for the assessment of significant differences between the positive control item group and its vehicle control group, and between the test item group and its vehicle control group, separately. Study plan amendment 2 stated that a dunnett-test would be used to assess the significant differences between the test item groups and the vehicle control group, however because only one test item group survived until the end of the study a t-test was sufficient and a dunnett-test was not conducted.

### 6.4 DATA COMPILATION

Body weights will be recorded on-line in RCC-TOX LIMS.

Clinical signs were compiled directly into the RCC computer system.

Mortality/viability were compiled on data sheets.

#### 7 RESULTS

#### 7.1 CALCULATION AND RESULTS OF INDIVIDUAL DATA

The proliferative capacity of pooled lymph node cells was determined by the incorporation of  3 H-methyl thymidine measured on a  $\beta$ -scintillation counter. The values measured are given in Appendix A.

Group	% (w/v)	DPM/mouse M ± SD	S.I. (SD)	(G = 2	stical Analysis a) t-test , N = 10, t = 2.31) b) t-test t, N = 8, t = 2.45)
				t value	Conclusion
NCG 1	-	875 ± 308	-	-	
NCG 2	-	1077 ± 173	-	-	
PCG 3	25 (HCA)	7239 ± 3829	6.7 (3.6)	3.59 ^{a)}	**
TG 4	5	2617 ± 1623	3.0 (1.9)	2.46 b)	**

^{**} significant difference at p ≤ 0.05 (two sides)

The radioactive disintegration values for the individual treatment animals are included in Appendix A.

#### 7.2 VIABILITY / MORTALITY

The animal No. 29 (Group 6, 25 %) died one day after the first topical application. The mice Nos. 18 (Group 4, 5 %), 26, 27, 28 and 30 (Group 6, 25 %) died one hour after the second application. The mice Nos. 21 and 23 (Group 5, 10 %) died two hours after the second application. The mice Nos. 22, 24 and 25 (Group 5, 10 %) were euthanized due to severe sedation. The animal No. 20 (Group 4, 5 %) died 20 minutes after the third application. None of the mice in the positive or negative control groups died. All animals which died before the end of the study were dissected by the pathology department and no unusual findings were observed.

#### 7.3 CLINICAL SIGNS

No clinical signs were observed in any animals of the two vehicle control groups. On the second application day, a slight ear swelling was observed at both dosing sites in all mice of the positive control Group 2 (25 % HCA), persisting for the remainder of the in-life phase of the study. In addition, a slight ear erythema was observed at both dosing sites in all mice of

NCG 1 Vehicle group = N,N-dimethylformamide (DMF)

NCG 2 Vehicle group = acetone:olive oil, 4:1 (v/v)

PCG 3 25 % (w/v) ALPHA-HEXYLCINNAMALDEHYDE in acetone:olive oil, 4:1 (v/v)

TG 4 Test item in N,N-dimethylformamide (DMF)

REPORT

this group on the third application day, persisting for a total of three days. Approximately two hours after the third application, the three remaining mice of Group 4 (5 %) showed somnolence and decreased spontaneous activity.

The individual clinical signs are included in Appendix B.

(In Appendix B the numbers in brackets, e.g. (4) show that the severity of the symptoms may be classified into four grades: slight (1), moderate (2), severe (3) and very severe (4); the points indicate the application days; the numbers indicate the severity of the symptoms.)

#### 7.4 BODY WEIGHTS

The body weights of the animals, recorded prior to the 1st application (for all animals) and prior to necropsy (for all surviving mice), was within the range commonly recorded for animals of this strain and age.

Animals which did not survive until the end of the study were not weighed again because carcasses dry out and loose weight, meaning that the final weight would not have been accurate.

The individual as well as groupwise summarised body weight values are included in Appendix C.

REPORT

# **APPENDIX A**

CALCULATION AND RESULTS OF INDIVIDUAL DATA

RCC Study Number 851904

# Sponsor's Reference Number KSL030433

# Local Lymph Node Assay (LLNA) in Mice (Identification of Contact Allergens)

The following results were obtained:

Vehic	es:	(1) N,1	N-dimethylfo	rmamide (	DMF)	(2)	acetone:olive	oil, 4:1 (v/v)					
No.	Test Item	% w/v		Group	dpm - BG	Ln N	dpm/Mouse	Dpm/Mouse M (SD)	Statistical Analyses	Statistical Significance	S.I.	S.I. M	S.I. SD
-			BGI	3									
			BG II	6	_	-					••		-
1		-	NCG1	861	856	2	856	875					
2			NCG1	936	931	2	931	(308)	•			_	-
3		-	NCG1	647	642	2	642	(,			-		
4			NCG1	589	584	2	584						
5			NCG1	1366	1361	2	1361		•	-			
.6		·	NCG2	1357	1352	2	1352	1077					
7			NCG2	1143	1138	2	1138	(173)					•••
8			NCG2	990	985	2	985	` •/					
9	-	-	NCG2	924	919	2	919						
10			NCG2	995	990	2	990						
11	HCA	25	PCG3	3723	3718	2	3718	7239	t-test		3.5		
12	HCA	25	PCG3	6245	6240	2	6240	(3829)	(G = 2, N = 10, t = 2.31)		5.8		
13	HCA	25	PCG3	6235	6230	2	6230	(====)	t = 3.59	*	5.8		
14	HCA	25	PCG3	6205	6200	2	6200		1 – 0.00		5.8	6.7	3.6
15	HCA	25	PCG3	13813	13808	2	13808				3.6 12.8		
16	TI	5	TG4	1058	1053	2	1053	2617	t-test		1.2		
17	TI	5	TG4	2509	2504	2	2504	(1623)	(G = 2, N = 8, t = 2.45)	*			
19	Tŧ	5	TG4	4298	4293	2	4293	(1020).	t = 2.46		2.9 4.9	3.0	1.9

^{*} significant difference at p ≤ 0.05 (two sides)

NCG1 = N,N-dimethylformamide (DMF)
NCG2 = acetone:olive oil, 4:1 (v/v)
PCG3 = 25 % (w/v) ALPHA-HEXYLCINNAMALDEHYDE (HCA) in acetone:olive oil, 4:1 (v/v)
TG4 = Test item in N,N-dimethylformamide (DMF)
BG = Background (1 ml 5 % trichloroacetic acid) in duplicate

М = Mean SD

= Standard Deviation

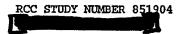
= Stimulation Index

= The mean value was taken from the figures BG I and BG II

REPORT

# **APPENDIX B**

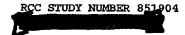
INDIVIDUAL / SUMMARY CLINICAL SIGNS



SYM-IND - 1 04-FEB-04

# CLINICAL SIGNS, DAILY FEMALES GROUP 1 (NEG. CONTROL GROUP)

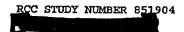
SIGN (MAX.GRADE) (LOCATION)	weeks:	ACCLIMATISATION	TREATMENT
ANIMAL 1			
NO CLINICAL SIGNS NOTED			
ANIMAL 2			
NO CLINICAL SIGNS NOTED			
ANIMAL 3			
NO CLINICAL SIGNS NOTED			
ANIMAL 4			•
NO CLINICAL SIGNS NOTED			
ANIMAL 5			
NO CLINICAL SIGNS NOTED			



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# CLINICAL SIGNS, DAILY FEMALES GROUP 2 (NEG. CONTROL GROUP)

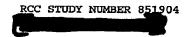
SIGN (MAX.GRADE) (LOCATION)	WEEKS:	ACCLIMATISATION	TREATME:
ANIMAL 6			· · · · · · · · · · · · · · · · · · ·
NO CLINICAL SIGNS NOTE	D		
ANIMAL 7			
NO CLINICAL SIGNS NOTE			
ANIMAL 8			
NO CLINICAL SIGNS NOTES			
ANIMAL 9		· ·	
NO CLINICAL SIGNS NOTE			
ANIMAL 10			
NO OF THYOUT DECREE NORTH			



SYM-IND - 3 04-FEB-04

# CLINICAL SIGNS, DAILY FEMALES GROUP 3 (POS. CONTROL GROUP 25%)

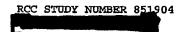
SIGN (MAX.GRADE) (LOCATION)	werks;	ACCLINATISATION	TREATMENT
ANIMAL 11			
SKIN / FUR			
SWELLING (3)	Gı	******	.11111
(EAR LEFT)			
SWELLING (3) (EAR RIGHT)	G:	•••••	.11111
GENERAL ERYTHEMA (4) (KAR LEFT)	G:	*****	111.
GENERAL ERYTHEMA (4) (EAR RIGHT)	G:	*****	111.
ANIMAL 12			
SKIN / YUR			
SWELLING (3)	c.	*****	11111
(EAR LEFT)	Gi	*****	.11111
SWELLING (3) (EAR RIGHT)	G:	•••••	.11111
GENERAL ERYTHEMA (4) (EAR LEFT)	Gi	*****	111.
GENERAL ERYTHEMA (4) (EAR RIGHT)	G:	• • • • • • •	111.
ANIMAL 13			
SKIN / FUR			
SWELLING (3)	G:		.11111
(EAR LEFT) SWELLING (3)	c.		.11111
(EAR RIGHT) GENERAL ERYTHEMA (4)			
(EAR LEFT)		•••••	111.
GENERAL ERYTHEMA (4) (EAR RIGHT)	G₁ .		111.
ANIMAL 14			
SKIN / FUR			
SWELLING (3)	G: .	*****	.11111
(EAR LEFT)			-,
SWELLING (3) (EAR RIGHT)	G: .		.11111
GENERAL ERYTHEMA (4) (EAR LEFT)	Gı.		111.
GENERAL ERYTHEMA (4) (EAR RIGHT)	G: .	•••••	111.
NIMAL 15			
SKIN / FUR			
SWELLING (3)	G: .	•••••	.11111
(EAR LEFT) SWELLING (3) (EAR RIGHT)	G: .	•••••	.11111
(EAR RIGHT) GENERAL ERYTHEMA (4)	G.	•••••	
(EAR LEFT)			111.
GENERAL ERYTHEMA (4) (EAR RIGHT)	Gı.	*****	111.



SYM-IND - 4 04-FEB-04

# CLINICAL SIGNS, DAILY FEMALES GROUP 4 (TEST GROUP 5%)

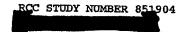
SIGN (MAX.GRADE) (LOCATION)	werks:	ACCLIMATISATION	TREATMENT
ANIMAL 16	71.1		
BEHAVIOR SOMMOLENT (1) DECREAS. SPONT. ACTIVITY	G:		1
ANIMAL 17			
BEHAVIOR SOMNOLENT (1)			_
DECREAS. SPONT. ACTIVITY			1
ANIMAL 18 NO CLINICAL SIGNS NOTED			
ANIMAL 19			
BEHAVIOR			
SOMNOLENT (1) DECREAS. SPONT. ACTIVITY			1
ANIMAL 20 NO CLINICAL SIGNS NOTED	·		



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### CLINICAL SIGNS, DAILY FEMALES GROUP 5 (TEST GROUP 10%)

SIGN (MAX.GRADE) (LOCATION)	weeks:	ACCLIMATISATION	TREATMENT
ANIMAL 21			
NO CLINICAL SIGNS NOTED			
ANIMAL 22			
NO CLINICAL SIGNS NOTED			
ANIMAL 23			
NO CLINICAL SIGNS NOTED			
ANIMAL 24			
NO CLINICAL SIGNS NOTED			
ANIMAL 25			
NO CLINICAL SIGNS NOTED			



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# CLINICAL SIGNS, DAILY FEMALES GROUP 6 (TEST GROUP 25%)

SIGN (MAX.GRADE) (LOCATION)	werks:	ACCLIMATISATION	TREATMENT
ANIMAL 26			<del></del>
NO CLINICAL SIGNS NOTED			
ANIMAL 27			
NO CLINICAL SIGNS NOTED			
ANIMAL 28			
NO CLINICAL SIGNS NOTED			
ANIMAL 29			•
NO CLINICAL SIGNS NOTED			
ANIMAL 30			
NO CLINICAL SIGNS NOTED			

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CLINICAL SIGNS, DAILY (SUMMARY) FEMALES GROUP 1 (NEG. CONTROL GROUP)

SIGN (MAX.GRADE) LOCATION

ACCLIMATISATION WEEKS: 1.....

TRRATMENT

NO CLINICAL SIGNS NOTED

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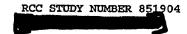
SYM-SUM - 2 04-FEB-04

CLINICAL SIGNS, DAILY (SUMMARY) FEMALES GROUP 2 (NEG. CONTROL GROUP)

SIGN (MAX.GRADE) LOCATION ACCLIMATISATION WEEKS: 1.....

TREATMENT

NO CLINICAL SIGNS NOTED

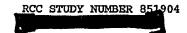


SYM-SUM - 3 04-FEB-04

# CLINICAL SIGNS, DAILY (SUMMARY) FEMALES GROUP 3 (POS. CONTROL GROUP 25%)

SIGN (MAX.GRADE) LOCATION	weeks:	ACCLIMATISATION 1	TREATMENT
SKIN / FUR		, , , , , , , , , , , , , , , , , , , ,	· · · · · · · · · · · · · · · · · · ·
SWELLING (3)	G:		.11111
(RAR LEFT)	81		AAAAA.
SWELLING (3)	G:	• • • • • •	.11111
(KAR RIGHT)			.AAAA
GENERAL ERYTHEMA (4)	G.		111.
(EAR LEFT)			AAA.
GENERAL ERYTHEMA (4)	g.	******	111.
(EAR RIGHT)			111.

G: Median value of the highest individual daily grades %: Percent of affected animals (0 = less than 5%, 1 = between 5% and 15%,..., A = more than 95% 4



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# CLINICAL SIGNS, DAILY (SUMMARY) FEMALES GROUP 4 (TEST GROUP 5%)

SIGN (MAX.GRADE) LOCATION	WEEKS:	ACCLIMATISATION	TREATMENT
BEHAVIOR	<del></del>		
SOMNOLENT (1)	Gı	*****	1
	*:	•••••	8
DECREAS. SPONT. ACTIVITY (	(3) G:		1
	*:		8

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CLINICAL SIGNS, DAILY (SUMMARY) FEMALES GROUP 5 (TEST GROUP 10%)

SIGN (MAX.GRADE) LOCATION

ACCLIMATISATION WEEKS: 1.....

TREATMENT

NO CLINICAL SIGNS NOTED

RCC STUDY NUMBER 851904

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SYM-SUM - 6 04-FEB-04

CLINICAL SIGNS, DAILY (SUMMARY) FEMALES GROUP 6 (TEST GROUP 25%)

SIGN (MAX.GRADE) LOCATION ACCLIMATISATION WEEKS: 1.....

TREATMENT 1....

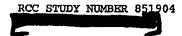
NO CLINICAL SIGNS NOTED

**REPORT** 

# **APPENDIX C**

**INDIVIDUAL / SUMMARY BODY WEIGHTS** 

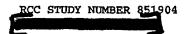
## Report



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# BODY WEIGHTS (GRAM) FEMALES

	TREATMENT						
DAYS	1	6					
WEEKS	ī	ĩ					
ANIMAL	_	-					
	•	···					
GROUP 1	(NEG. CONTRO	L GROUP)					
1 2	19.5	20.0 21.9					
3	20.9 21.7						
4	18.6	22.1 18.9					
5	19.7	20.1					
GROUP 2	(NEG. CONTRO	L GROUP)					
6	22.3	23.1					
7	20.9	20.8					
8	20.9	20.8					
9	21.6	21.6					
10	22.2	21.6					
GROUP 3	(POS. CONTRO	GROUP 25%)					
11	21.3	22.5					
12	23.3	24.7					
13	18.3	19.1					
14	20.7	21.9					
1.5	21.0	23.0					
GROUP 4	(TEST GROUP 5	5%)					
16	21.2	23.3					
17	20.2	20.0					
18	21.2						
19	18.4	19.2					
20	21.1						
ROUP 5	(TEST GROUP 1	.0%)					
21	21.1						
22	21.5						
23	20.4						
24	22.0						
25	20.2						
ROUP 6	(TEST GROUP 2	5%)					
26	21.7						
27	19.8						
28	21.9						
29	21.3						
30	21.0						



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# BODY WEIGHTS (GRAM) SUMMARY FEMALES

TREAT	MENT		GROUP 1 NEG. CONTROL GROUP	GROUP 2 NEG. CONTROL GROUP	GROUP 3 POS. CONTROL GROUP 25%
DAY 1 WEEK 1		MEAN ST.DEV. N	20.1 1.2 5	21.6 0.7 5	20.9 1.8 5
			GROUP 4 TEST GROUP 5%	GROUP 5 TEST GROUP 10%	GROUP 6 TEST GROUP 25%
		MEAN ST.DEV. N	20.4 1.2 5	21.0 0.8 5	21.2 0.8 5
**			GROUP 1 NEG. CONTROL GROUP	GROUP 2 NEG. CONTROL GROUP	GROUP 3 POS. CONTROL GROUP 25%
DAY WEEK	6	MEAN ST.DEV. N	20.6 1.4 5	21.6 0.9 5	22.2 2.0 5
			GROUP 4 TEST GROUP 5%	GROUP 5 TEST GROUP 10%	GROUP 6 TEST GROUP 25%
		MEAN ST.DEV. N	20.8 2.2 3		

REPORT

# **APPENDIX D**

#### **GOOD LABORATORY PRACTICE**

- STATEMENT OF COMPLIANCE (PRINCIPAL INVESTIGATOR)
- QUALITY ASSURANCE UNIT (PRINCIPAL INVESTIGATOR)

#### GOOD LABORATORY PRACTICE

### STATEMENT OF COMPLIANCE

**RCC Study Number:** 

851904

Study Director:

Dr. W. Wang-Fan, Toxicology

Test Item:

Principal Investigator ³HTdR Determination:

Dr. R. Burri, Environmental Chemistry &

Pharmanalytics

Phase to:

Local Lymph Node Assay (LLNA) in Mice

(Identification of Contact Allergens)

The preparation of the [methyl-3H]Thymidine solution and determination of radioactivity content were conducted in compliance with the Swiss Ordinance relating to Good Laboratory Practice, adopted February 2nd, 2000 [RS 813.016.5]. This Ordinance is based on the OECD Principles of Good Laboratory Practice, as revised in 1997 and adopted November 26th, 1997 by decision of the OECD Council [C(97)186/Final].

Principal Investigator ³HTdR Determination:

Dr. R. Burri

Date: February 12, 2004

## **QUALITY ASSURANCE UNIT**

RCC Ltd, Environmental Chemistry & Pharmanalytics, CH-4452 Itingen / Switzerland

#### STATEMENT

**RCC Study Number:** 

851904

Study Director:

Dr. W. Wang-Fan, Toxicology

Test Item:

Principal Investigator ³HTdR Determination:

Dr. R. Burri, Environmental Chemistry &

**Pharmanalytics** 

Phase to:

Local Lymph Node Assay (LLNA)
(Identification of Contact Allergens)

(LLNA) in Mice

The general facilities and activities are inspected periodically and the results are reported to the responsible person and the management.

Study procedures were periodically inspected by the quality assurance. The date is given below.

Dates and Types	of QA Inspections	Dates of Reports to the Principal Investigator and to the Management
January 16, 2004	Process based (preparation of application solution)	January 16, 2004

Sections of the draft study plan relating to the phase were rewiewed and reported to the study director, lead QA and test facility management on December 11, 2003

Summary report(s) of study related inspection(s) (if applicable) were issued to the study director, lead QA and test facility management.

Quality Assurance:

Mr. Jürgen Lütte

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REPORT

**APPENDIX E** 

**GLP - CERTIFICATION** 

## The Swiss GLP Monitoring Authorities



Swiss Federal Office of Public Health





# Statement of GLP Compliance

It is hereby confirmed that

during the period of

August 15 – 17, 2000 August 28 - 29 , 2001 and April 15 , 2002

the following Test Facilities of

RCC Ltd 4452 Itingen Switzerland

were inspected by the Federal Office of Public Health, the Swiss Agency for the Environment, Forests and Landscape and the Intercantonal Office for the Control of Medicines with respect to the compliance with the Swiss legislation on Good Laboratory Practice.

**Test Facilities** 

areas of expertise*

- Toxicology Division

TOX, ACC, MUT

- Environmental Chemistry and Pharmanalytics Division

ACC, ECT, ENF, EMN, PCT, RES, OTH (Animal metabolism)

- Microbiological Diagnostics by Biotechnology & Animal Breeding Division

OTH (Microbiology)

The Inspection was performed in agreement with the OECD Guidelines for National GLP Inspections and Audits. It was found that the aforementioned test facilities were operating in compliance with the Swiss Ordinance relating to Good Laboratory Practice [RS 813.016.5] at the time they were inspected.

Federal Office of Public Health The Director

Prof. Th. Zeitner

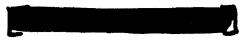
Mry

Bern, May 2002

^{*} TOX = Toxicology; ACC = Analytical and Clinical Chemistry; ECT = Environmental toxicity on aquatic and terrestrial organisms; ENF = Behaviour in water, soil and air, Bioaccumulation; EMN = Studies on effects on mesocosms and natural ecosystems; MUT = Mutagenicity; PCT = Physical-chemical testing; RES = Residue studies; OTH = Other, to be specified.

# **RCC Study Number 854128**

Sponsor's Reference Number KSL040164

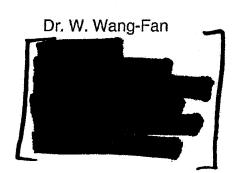


Local Lymph Node Assay (LLNA) in Mice (Identification of Contact Allergens)

**Report Amendment No.1** 

Author:

Sponsor:



Page 1 of 4 Total Number of Pages: 4 RCC STUDY NUMBER 854128 SPONSOR'S REFERENCE NUMBER KSL040164

**REPORT AMENDMENT NO. 1** 

Page 2

#### **SIGNATURES**

STUDY DIRECTOR:

Dr. W. Wang-Fan

W Wang-Fan date: 30 July 2004

**TEST FACILITY MANAGEMENT:** 

Dr. H. Fankhauser

ATTACHMENT PAGE 302

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#### LEAD QUALITY ASSURANCE UNIT

RCC Ltd, Toxicology, CH-4452 Itingen / Switzerland

#### STATEMENT

**RCC Study Number** 

Test Item

Study Director

Title

854128 Dr. W. Wang-Fan

Local Lymph Node Assay (LLNA) in Mice (Identification of Contact Allergens)

This Amendment to the Report was audited by the RCC Quality Assurance Unit. The date is given below.

The general facilities and activities are inspected periodically and the results are reported to the responsible person and the management.

Dates and Types o	f QAU Inspections	Dates of Reports to the Study Director and to Management
30-JUL-2004	Amendment No.1 to Report	30-JUL-2004

This statement also confirms that this Amendment to Report reflects the raw data.

Lead Quality Assurance:

S. van Dongen

Sale Jougan date: 30 - Sul - 2004

RCC STUDY NUMBER 854128 **REPORT AMENDMENT NO. 1** SPONSOR'S REFERENCE NUMBER KSL040164

Page 4

**PAGE** 

23

CONCERNING

CALCULATION AND RESULTS OF INDIVIDUAL DATA

**PRESENT** 

dpm/LN

NEW

dpm/Mouse

REASON FOR THE ALTERATION

Typing error.

# **DISTRIBUTION**

This amendment to report will be distributed as follows:

Sponsor:

1 Copy

Scientific Representative (responsible for distribution to the Sponsor company)

RCC Ltd, TOX, Itingen:

Original

Study File

1 Copy

Lead QA

RCC Ltd, Environmental Chemistry & Pharmanalytics, Itingen:

1 Copy

Test Site QA

# **RCC Study Number 854128**

Sponsor's Reference Number KSL040164



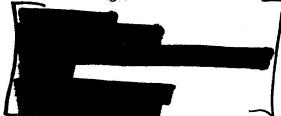
Local Lymph Node Assay (LLNA) in Mice (Identification of Contact Allergens)

Report

Author:

Sponsor:

Dr. W. Wang-Fan



Study Completion Date: 26 July 2004

Total Number of Pages: 45

#### REPORT

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### **PREFACE**

#### 1.1 **GENERAL**

Title

Sponsor

Scientific Representative

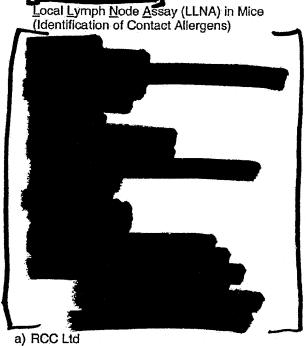


**Test Facility** 

**Test Site** 

Lead QA

Test Site QA



Toxicology CH - 4452 Itingen / Switzerland

b) RCC Ltd

Environmental Chemistry & Pharmanalytics

CH - 4452 Itingen / Switzerland

**RCC Ltd** 

Quality Assurance GLP

Toxicology

CH - 4452 Itingen / Switzerland

RCC Ltd

Quality Assurance GLP
Environmental Chemistry & Pharmanalytics
CH - 4452 Itingen / Switzerland (Responsible for test site)

REPORT

#### 1.2 RESPONSIBILITIES

Study Director Dr. W. Wang-Fan (a)

Deputy Study Director L.G. Ullmann (a)

Technical Coordinator / Necropsy N. Schäfer (a)

Head of Lead Quality Assurance I. Wüthrich

Principal Investigator

Study Phase: ³HTdR Determination Dr. R. Burri (b)

1.3 SCHEDULE

Experimental Starting Date 05-MAY-2004

Experimental Completion Date 19-MAY-2004

Delivery of Animals 05-MAY-2004

Acclimatization 05-MAY-2004 to 11-MAY-2004

Treatment (epicutaneous) 12-MAY-2004 to 14-MAY-2004

Treatment (intravenous) 17-MAY-2004

Observation 05-MAY-2004 to 17-MAY-2004

³HTdR Determination 18-MAY-2004

#### 1.4 ARCHIVING

RCC Ltd (CH-4452 Itingen / Switzerland) will retain the study plan, raw data of the test facility and the test site, sample of test item(s) and the final report of the present study for at least ten years. No data will be discarded without the Sponsor's consent. The remaining test item will be returned to the sponsor. Archiving of the test item is the responsibility of the Sponsor.

REPORT

#### 1.5 SIGNATURES

Study Director:

Dr. W. Wang-Fan

W. Wang - Fan date: 26 July 2004

**Test Facility Management:** 

Dr. H. Fankhauser

date: 26 sicy stay

REPORT

#### 1.6 QUALITY ASSURANCE UNIT

RCC Ltd, Toxicology, CH-4452 Itingen / Switzerland

#### STATEMENT

**RCC Study Number** 

Test Item

Study Director

Title

854128 Dr. W. Wang-Fan

Local Lymph Node Assay (LLNA) in Mice (Identification of Contact Allergens)

The general facilities and activities are inspected periodically and the results are reported to the responsible person and the management.

Study procedures were periodically inspected. The study plan and this report were audited by the RCC Quality Assurance Unit. The dates are given below:

Dates and Type	es of QAU Inspections	Dates of Reports to the Study Director and to Management
30-APR-2004	Study Plan	30-APR-2004
06-MAY-2004	Process Based (Raw Data, Test System, Test Item, Administration, Observation)	06-MAY-2004
08-JUL-2004	Report	08-JUL-2004

This statement also confirms that this final report reflects the raw data.

In addition this final report includes a QAU-Statement issued by the Test Site Quality Assurance Unit.

Lead Quality Assurance:

S. van Dongen

date

26 - Jul - 2000

REPORT

#### **GOOD LABORATORY PRACTICE**

#### 1.7 STATEMENT OF COMPLIANCE

**RCC Study Number** 

Test Item

Study Director

Title

854128

Dr. W. Wang-Fan

<u>L</u>ocal <u>Lymph Node Assay</u> (LLNA) in Mice (Identification of Contact Allergens)

The purity (characterisation), stability and homogeneity of the test item were not made available at the time of issuing this report and hence this information was excluded from the statement of compliance. However the sponsor was addressing this in a GLP compliant study Study Reference No. AC030449.

This study has been performed in compliance with the Swiss Ordinance relating to Good Laboratory Practice, adopted February 2nd, 2000 [RS 813.016.5]. This Ordinance is based on the OECD Principles of Good Laboratory Practice, as revised in 1997 and adopted November 26th, 1997 by decision of the OECD Council [C(97) 186/Final].

Study Director:

Dr. W. Wang-Fan

W. Wang - Fam date: 26 July 2004

REPORT

#### 1.8 TEST GUIDELINE

The study procedures described in this report meet or exceed the requirements of the following guideline:

OECD Guideline for the Testing of Chemicals, Guideline 429: Skin Sensitization: Local Lymph Node Assay (adopted 24 April 2002).

The study procedures were optimised to conform with the American regulatory preferences for the local lymph node assay.

#### 1.9 ANIMAL WELFARE

This study was performed in an AAALAC-approved laboratory in accordance with the Swiss Animal Protection Law under license no. 114.

#### 1.10 REFERENCES

Kimber I., Hilton J. and Welsenberger C. (1989). The murine local lymph node assay for identification of contact allergens: a preliminary evaluation of in situ measurement of lymphocyte proliferation. Contact Dermatitis, 21, 215-220.

Kimber I. and Basketter D.A. (1992). The murine local lymph node assay. A commentary on collaborative studies and new directions. Food and Chemical Toxicology, 30, 165-169.

Basketter D.A., Gerbrick G.F., Kimber I. and Loveless S.E. (1996). The local lymph node assay: a viable alternative to currently accepted skin sensitization tests. Food and Chemical Toxicology, 34, 985-997.

Chamberlain M. and Basketter D.A. (1996). The local lymph node assay: status of validation. Food and Chemical Toxicology, 34, 999-1002.

Basketter D.A., Lea L.J., Cooper K., Stocks J., Dickens A., Pate I., Dearman R.J. and Kimber I. (1999). Threshold for Classification as a Skin Sensitizer in the Local Lymph Node Assay: A Statistical Evaluation. Food and Chemical Toxicology, <u>37</u>, 1-8.

Steiling W., Basketter D.A., Berthold K., Butler M., Garrigue J-L., Kimber I., Lea L.J., Newsome C., Roggeband R., Stropp G., Waterman S. and Wiemann C. (2001): Skin Sensitisation Testing - New Perspectives and Recommendations. Food and Chemical Toxicology, 39, 293-301.

Basketter D.A., Lea L.J., Cooper K., Stocks J., Dickens A., Pate I., Dearman R.J. and Kimber I. (1999). Threshold for Classification as a Skin Sensitiser in the Local Lymph Node Assay: a Statistical Evaluation. Food and Chemical Toxicology, <u>37</u>, 1167-1174.

REPORT

#### 2 SUMMARY

In order to study a possible contact allergenic potential of groups each of five female mice were treated daily with the test item at concentrations of 0.25 %, 0.5 % and 1 % (w/v) in N,N-dimethylformamide (DMF) by topical application to the dorsum of each ear lobe (left and right) for three consecutive days. A positive control group of five mice was treated with 25 % (w/v) ALPHA-HEXYLCINNAMALDEHYDE in acetone:olive oil, 4:1 (v/v). Two different vehicles were used in this study, therefore, two control groups, each of five mice, were treated with one or other of the two vehicle materials only. Five days after the first topical application the mice were injected intravenously into a tail vein with radio-labelled thymidine (³H-methyl thymidine). Approximately five hours after intravenous injection, the mice were sacrificed, the draining auricular lymph nodes excised and pooled per mouse. Single cell suspensions of lymph node cells were prepared from pooled lymph nodes which were washed subsequently and incubated with trichloroacetic acid overnight. The proliferative capacity of pooled lymph node cells was determined by the incorporation of ³H-methyl thymidine measured in a β-scintillation counter.

All treated animals survived the scheduled study period.

No clinical signs were observed in any animals of the two vehicle control groups (Groups 1-2) or the three test item groups (Groups 4-6). On the second application day, a slight ear erythema was observed at both dosing sites in all mice of the positive control Group 3 (25 % HCA), persisting for a total of three days. In addition, on the third application day, a slight ear swelling was observed at both dosing sites in all mice of this group, persisting for a total of two days.

The results obtained (STIMULATION INDEX (S.I.)) are reported in the following table.

#### REPORT

Group	% (w/v)	DPM/mouse M ± SD	S.I. (SD)	(G = 2,	stical Analysis  a) t-test N = 10, t = 2.31) Dunnett-test N = 20, t = 2.59)
				t value	Conclusion
NCG 1		598 ± 135	-	-	
NCG 2	<b></b>	691 ± 190		-	All the second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second s
PCG 3	25 (HCA)	7565 ± 1770	11.0 (2.6)	8.63 ^{a)}	**
TG 4	0.25	2059 ± 280	3.4 (0.5)	4.03 ^{b)}	**
TG 5	0.5	2324 ± 803	3.9 (1.3)	4.76 b)	**
TG 6	1	2691 ± 758	4.5 (1.3)	5.77 ^{b)}	**
		erence at p ≤ 0.05	• • • • • • • • • • • • • • • • • • • •		

no significant difference at p ≤ 0.05 (two sides)

A dose-response relation was observed.

An EC3 value could not be determined because this calculation requires an S.I. value of less than 3.

NCG 1 Vehicle group = N,N-dimethylformamide (DMF)

NCG 2 Vehicle group = acetone:olive oil, 4:1 (v/v)

PCG 3 25 % (w/v) ALPHA-HEXYLCINNAMALDEHYDE in acetone:olive oil, 4:1 (v/v)

TG 4-6 Test item in N,N-dimethylformamide (DMF)

REPORT

## 3 CONCLUSION

A test item is regarded as a sensitizer in the LLNA if the exposure to at least one concentration of the test item resulted in an incorporation of ³HTdR at least 3-fold or greater than that recorded in control mice, as indicated by the STIMULATION INDEX (S.I.).

In this study a STIMULATION INDEX of 11.0 was obtained with the positive control item ALPHA-HEXYLCINNAMALDEHYDE (HCA) at a concentration of 25% (w/v) in acetone:olive oil, 4:1 (v/v). This S.I. confirms that HCA is a skin sensitizer. In the t-test a significant difference in the DPM/mouse values was obtained between the positive control (25% ALPHA-HEXYLCINNAMALDEHYDE) group and the vehicle control group at p  $\leq$  0.05 (two sides) which also confirms that HCA is a skin sensitiser. The positive control data therefore shows that the assay is consistent and reliable, and produces responses within the expected parameters.

In this study STIMULATION INDICES of 3.4, 3.9 and 4.5 were determined with the test item at concentrations of 0.25 %, 0.5 % and 1 % (w/v) in N,N-dimethylformamide (DMF). Stainless E-700-2003 was therefore found to be a skin sensitiser. An EC3 value could not be determined because this calculation requires an S.I. value of less than 3.

In the Dunnett-test a significant difference in the DPM/mouse values was obtained between each test item group and the vehicle control group at  $p \le 0.05$  (two sides) which also confirms that the test item

#### REPORT

#### **PURPOSE**

The purpose of this Local Lymph Node Assay was to identify the contact allergenic potential when administered to the dorsum of both ear lobes of mice.

This study should provide a rational basis for risk assessment to the sensitizing potential of the test item in man.

#### 5 MATERIALS AND METHODS

#### 5.1 **TEST SYSTEM**

Test system Mice, CBA/CaOlaHsd

Rationale Recognized as the recommended test system.

Source Harlan Netherlands B.V. Postbus 6174

NL - 5960 AD Horst / The Netherlands

30 females

Number of animals for the main study

Number of animals per group

5 females (nulliparous and non-pregnant)

Number of test groups Number of vehicle control groups 2

Number of positive control group 1

Age 8 - 12 weeks (beginning of acclimatization)

Body weight 16 g - 24 g (ordered)

Identification Each cage by unique cage card.

Randomization Randomly selected by computer algorithm at time of

delivery.

Acclimatization Under test conditions after health examination. Only

animals without any visible signs of illness were used

for the study.

REPORT

#### 5.2 ALLOCATION

The animals were distributed as follows:

GROUP	CONCENTRATION % (w/v)	NUMBER OF ANIMALS PER GROUP	CAGE NUMBER (Individually housed)
1 Vehicle Control Group a)	-	5	1-5
2 Vehicle Control Group b)	-	5	6 - 10
3 Positive Control Group c).	25	5	11 - 15
4 Test Item Group d)	0.25	5	16 - 20
5	0.5	5	21 - 25
6	1	5	26 - 30

a) Vehicle group = N,N-dimethylformamide (DMF)

## 5.3 HUSBANDRY

Room no.	129 B / RCC Itingen
Conditions	Standard Laboratory Conditions. Air-conditioned with target ranges for room temperature 22 ± 3 °C, relative humidity 30 - 70 % and 10 - 15 air changes per hour. Room temperature and humidity were monitored continuously and values outside of these ranges occasionally occurred, usually following room cleaning. These transient variations are considered not to have any influence on the study and, therefore, these data are not reported but are retained at RCC. There was a 12 hour fluorescent light / 12 hour dark cycle with at least 8 hours music during the light period.
Accommodation	Individual in Makrolon type-2 cages with standard softwood bedding ("Lignocel", Schill AG, CH-4132 Muttenz).
Diet	Pelleted standard Kliba 3433, batch no. 4/04 mouse maintenance diet (Provimi Kliba AG, CH-4303 Kaiseraugst) available ad libitum. Results of analyses for contaminants are archived at RCC.
Water	Community tap water from Itingen, available ad libitum. Results of representative bacteriological, chemical and contaminant analyses are archived at RCC.

b) Vehicle group = acetone:olive oil, 4:1 (v/v)

c) 25 % (w/v) ALPHA-HEXYLCINNAMALDEHYDE in acetone:olive oil, 4:1 (v/v)

d) Test item in N,N-dimethylformamide (DMF)

#### REPORT

#### 5.4 CHEMICALS

³H-methyl Thymidine Amersham TRA 310, aqueous solution, sterilized

74 GBq/mmol (2 Ci/mmol), 37 MBq/ml (1 mCi/ml)

quantities: 9.25 MBq (250 μCi), 37 MBq (1 mCi)

Supplier Amersham Biosciences UK Limited, Buckinghamshire

England HP7 9NA, UK

Batch number

Storage conditions In the original container at room temperature

314

(20 °C ± 3 °C), away from direct sunlight.

Trichloroacetic acid

Fluka no. 91230 (min. 99.5 %)

Supplier

Fluka Chemie AG (Industriestrasse 25, CH-9471

Buchs, Switzerland)

Batch number

422767/1 41801

Expiry date

14-DEC-2006

Storage conditions

In the original container at room temperature

(20 °C ± 3 °C), away from direct sunlight.

Phosphate buffered saline

(1 tablet solved in 200 ml bi-distilled water)

Supplier

Fluka Chemie AG (Industriestrasse 25, CH-9471

Buchs, Switzerland)

Batch number

434387/1 41202

Expiry date

MAR-2006

Storage conditions

In the original container at room temperature

(20 °C ± 3 °C), away from direct sunlight.

#### 5.5 VEHICLES

#### 1) N,N-Dimethylformamide (DMF)

Supplier

Merck KGaA (Frankfurter Str. 250, D-64293

Darmstadt, Germany)

Batch number

1.02937.0500

Expiry date

31-MAY-2006

Storage conditions

In the original container at room temperature

(20 °C  $\pm$  3 °C), away from direct sunlight

## 2) Acetone:olive oil, 4:1 (v/v)

**Acetone** 

Supplier

Baker, P. H. Stehelin & Cie AG (Spalentorweg 62, CH-

4003 Basel, Switzerland)

#### REPORT

Batch number

0310810002

Expiry date

AUG-2004

Storage conditions

In the original container at room temperature

(20 °C ± 3 °C), away from direct sunlight.

Olive oil

Supplier

Roth AG (Chr. Merian-Ring 7, CH-4153 Reinach BL,

Switzerland)

Batch number

22357895

Expiry date

09-SEP-2004

Storage conditions

In the original container at room temperature

(20 °C ± 3 °C), away from direct sunlight.

#### 5.6 TEST ITEM

Identity

Description

Sample number

S2539801

Stability of test item

Stable under storage conditions

Expiry date

01-JAN-2005

Storage conditions

In the original container at room temperature

(20 °C ± 3 °C). Keep in dark.

Safety precautions

Routine hygienic procedures (gloves, goggles, face

mask).

The supporting data for purity (characterisation), stability and homogeneity of the test item were not made available at the time of issuing this report and hence this information was excluded from the statement of compliance. However the sponsor is addressing this in a GLP compliant study Study Reference No. AC030449.

The above test item data were provided by the Sponsor.

#### 5.6.1 POSITIVE CONTROL ITEM

Identity

ALPHA-HEXYLCINNAMALDEHYDE

Description

liquid

Batch number

13102MO

Purity

tech., 85 %

Stability of test item

Stable under storage conditions

Expiry date

08-DEC-2005

REPORT

Storage conditions:

In the original container at room temperature

(20 °C ± 3 °C), away from direct sunlight.

Safety precautions

Routine hygienic procedures (gloves, goggles, face

mask).

These information was supplied by the supplier.

## 5.7 TEST ITEM FORMULATIONS PREPARATION

The test item and the positive control item ALPHA-HEXYLCINNAMALDEHYDE were placed into a volumetric flask on a tared Mettler balance, and vehicles N,N-dimethylformamide (DMF) or acetone:olive oil, 4:1 (v/v), respectively, was quantitatively added separately. The weight/volume dilutions were prepared individually.

Test item and positive control item formulations were made freshly before each dosing occasion and no more than 4 hours prior to application to the ears.

Homogeneity of the test item and positive control item in vehicles was maintained during treatment by use of a magnetic stirrer.

The test item in the study were assayed at three consecutive concentrations selected by the Sponsor, based on knowledge of the materials' toxicity.

Concentrations were in terms of material as supplied unless otherwise stated by the Sponsor.

#### 5.8 RATIONALE

The study procedure was used to detect a possible contact allergenic potential of the test item applied.

REPORT

#### 6 STUDY CONDUCT

#### 6.1 TREATMENT PROCEDURES

#### 6.1.1 TOPICAL APPLICATION

Each test group of mice was treated by (epidermal) topical application to the dorsal surface of each ear lobe (left and right) with the test item at 0.25 %, 0.5 % and 1 % (w/v) in N,N-dimethylformamide (DMF). A further three groups of mice were treated with an equal volume of either, the positive control item dilution, the positive control vehicle (AOO) or the negative control material (DMF). The application volume, 25ul, was spread over the entire dorsal surface ( $\varnothing \sim 8$  mm) of each ear lobe once daily for three consecutive days. A hair dryer was passed briefly over the ear's surface to prevent the loss of any of the test item applied.

## 6.1.2 ADMINISTRATION OF 3H-METHYL THYMIDINE*

³H-methyl thymidine (³HTdR) was purchased from Amersham International (Amersham product code no. TRA 310; specific activity, 2 Ci/mmol; concentration, 1 mCi/ml).

Five days after the first topical application, all mice were administered with 250 μl of 78.6 μCi/ml ³HTdR (equal to 19.7 μCi ³HTdR) by intravenous injection via a tail vein.

#### 6.1.3 DETERMINATION OF INCORPORATED 3HTDR*

Approximately five hours after treatment with ^aHTdR all mice were euthanized by intraperitoneal injection of VETANARCOL (Veterinaria AG, Zürich).

The draining lymph nodes were rapidly excised and pooled for each individual animal (2 nodes per mouse). Single cell suspensions (phosphate buffered saline) of pooled lymph node cells were prepared by gentle mechanical disaggregation through stainless steel gauze (200 µm mesh size). After washing two times with phosphate buffered saline (approx. 10 ml) the lymph node cells were resuspended in 5 % trichloroacetic acid (approx. 3 ml) and incubated at approximately +4 °C for at least 18 hours for precipitation of macromolecules. The precipitates were then resuspended in 5 % trichloroacetic acid (1 ml) and transferred to glass scintillation vials with 10 ml of 'Ultima Gold' scintillation liquid and thoroughly mixed.

The level of  3 HTdR incorporation was then measured on a  $\beta$ -scintillation counter. Similarly, background  3 HTdR levels were also measured in two 1ml-aliquots of 5 % trichloroacetic acid. The  $\beta$ -scintillation counter expresses  3 HTdR incorporation as the number of radioactive disintegrations per minute (DPM).

No phase report of the results of the ³HTdR level analysis was provided by the Principal Investigator.

Preparation of ³HTdR solutions and ³HTdR measurements at RCC Ltd, Environmental Chemistry & Pharmanalytics

REPORT

#### 6.1.4 INTERPRETATION OF RAW DATA

The proliferative responses of lymph node cells is expressed as the number of radioactive disintegrations per minute per animal (DPM/mouse). The mean DPM/mouse value was calculated for each of the test and control groups. The ratio of ³HTdR incorporated into lymph node cells of test lymph nodes relative to that recorded for the relevant vehicle control lymph nodes (STIMULATION INDEX) was calculated by dividing the mean DPM/mouse for each test group by the mean DPM/mouse of the relevant vehicle control group. Before DPM/mouse values are determined, mean scintillation-background DPM will be subtracted from test and control raw data.

A test item is regarded as a sensitizer in the LLNA if the following criteria are fulfilled:

- First, that exposure to at least one concentration of the test item resulted in an incorporation of ³HTdR at least 3-fold or greater than that recorded in control mice, as indicated by the STIMULATION INDEX (S.I.).
- Second, that the data are compatible with a conventional dose response, although allowance must be made (especially at high topical concentrations) for either local toxicity or immunological suppression.

#### 6.2 OBSERVATIONS

In addition to the sensitizing reactions the following observations and data were recorded during the test and observation period:

Mortality / Viability

Twice daily from acclimatization start to the termination

of in-life phase.

Body weights

On the test day 1 (prior to the 1st application) and on

the test day 6.

Clinical signs (local / systemic)

Daily from acclimatization start to the termination of in-life phase. Especially the treatment sites were

recorded carefully.

#### 6.3 STATISTICAL ANALYSIS

The mean body weights and mean DPM/mouse values for each test and control group were calculated. Standard deviations of the data used to determine these mean values were calculated.

The t-test was conducted for the assessment of significant differences between the positive control item group and its vehicle control group. The Dunnett-test was used for the assessment of the significant differences between the test item groups and the vehicle control group.

#### 6.4 DATA COMPILATION

Body weights will be recorded on-line in RCC-TOX LIMS.

Clinical signs were compiled directly into the RCC computer system.

#### 7 **RESULTS**

#### 7.1 CALCULATION AND RESULTS OF INDIVIDUAL DATA

The proliferative capacity of pooled lymph node cells was determined by the incorporation of ³H-methyl thymidine measured on a β-scintillation counter. The values measured are given in Appendix A.

Group	% (w/v)	DPM/mouse M ± SD	S.I. (SD)	(G = 2,	stical Analysis ^{a)} t-test N = 10, t = 2.31) Dunnett-test N = 20, t = 2.59)
				t value	Conclusion
NCG 1	-	598 ± 135	<u>-</u>	•	**
NCG 2	-	691 ± 190	-	-	••
PCG 3	25 (HCA)	7565 ± 1770	11.0 (2.6)	8.63 ^{a)}	**
TG 4	0.25	2059 ± 280	3.4 (0.5)	4.03 b)	**
TG 5	0.5	2324 ± 803	3.9 (1.3)	4.76 b)	**
TG 6	1	2691 ± 758	4.5 (1.3)	5.77 b)	**
** sig	nificant differe	nce at p ≤ 0.05 (tv	vo sides)		

A dose-response relation was observed.

An EC3 value could not be determined because this calculation requires an S.I. value of less than 3.

The radioactive disintegration values for the individual treatment animals are included in Appendix A.

#### 7.2 **VIABILITY / MORTALITY**

No deaths occurred during the study period.

no significant difference at p ≤ 0.05 (two sides)

NCG 1 Vehicle group = N,N-dimethylformamide (DMF)

NCG 2 Vehicle group = acetone:olive oil, 4:1 (v/v)

PCG 3 25 % (w/v) ALPHA-HEXYLCINNAMALDEHYDE in acetone:olive oil, 4:1 (v/v)

TG 4-6 Test item in N,N-dimethylformamide (DMF)

REPORT

## 7.3 CLINICAL SIGNS

No clinical signs were observed in any animals of the two vehicle control groups (Groups 1-2) or the three test item groups (Groups 4-6). On the second application day, a slight ear erythema was observed at both dosing sites in all mice of the positive control Group 3 (25 % HCA), persisting for a total of three days. In addition, on the third application day, a slight ear swelling was observed at both dosing sites in all mice of this group, persisting for a total of two days.

The individual clinical signs are included in Appendix B.

#### 7.4 BODY WEIGHTS

The body weight of the animals, recorded on the test day 1 (prior to the 1st-application) and on the test day 6, was within the range commonly recorded for animals of this strain and age.

The individual as well as groupwise summarised body weight values are included in Appendix C.

REPORT

# **APPENDIX A**

CALCULATION AND RESULTS OF INDIVIDUAL DATA

# CALCULATION AND RESULTS OF INDIVIDUAL DATA

The following results were obtained:

Vehicles: 1) N,N-dimethylformamide (DMF);

2) acetone: olive oil, 4:1 (v/v)

No.	Test Item	%. W/v	Grou P	dpm	dpm - BG a)	Ln N	dpm/Mouse	dpm/Ln M (SD)	Statistical Analyses	Statistical Significance	S.I.	S.L M	S.I. SD
	••	••	BG I	6		-	••					••	
-	**		BO II	9	••								
1		-	ÇG1	447	439	2	439	598	;•	-		<del>-</del>	
2			CG1	561	553	2	553	135					
3			CG1	748	740	2	740				••		
4		<b></b> ,	CG1	745	737	2	737				••		
5			CG1	529	521	2	521				••		
6	••		CG2	545	537	2	537	691	-	-			-
7			CG2	985	977	2	977	190					
8		•••	CG2	522	514	2	514						
9		•••	CG2	663	655	.2	655						
10		-	CG2	779	771	2	771						
11	HCA	25	PCG3	4950	4942	2	4942	7565	t-test		7.2		
12	HCA	25	PCG3	7379	7371	2	7371	1770	(G = 2, N = 10, t = 2.31)		10.7		
13	HCA	25	PCG3	9808	9800	,2	9800		t = 8.63		14.2	11.0	2.6
14	HCA	25	PCG3	8341	8333	2	8333				12.1	11.0	2.0
15	HCA	25	PCG3	7385	7377	2	7377				10.7		
16	Ti	0.25	TG4	2093	2085	2	2085	2059	Dunnett -test		3.5		
17	TI	0.25	TG4	2455	2447	2	2447	280	(G = 4, N = 20, t = 2.59)		4.1		
18	Ťl	0.25	TG4	1770	1762	2	1762		1 = 4.03	.,	2.9	3.4	0.5
19	Τį	0.25	TG4	2190	2182	2	2182		*		3.6		<b>U.</b> ,
20	TI	0.25	TG4	1825.	1817	2	1817				3.0		
21	Ti	0.5	TG5	1842	1834	2	1834	2324	Dunnett -test	**************************************	3.1		
22	TI	0.5	TG5	1718	1710	.2	1710	803	(G = 4, N = 20, t = 2.59)		2.9		
23	Ti	0.5	TG5	3642	3634	2	3634		t = 4.76	•	6.1	3.9	1.3
24	TI.	0.5	TG5	2562	2554	2	2554				4.3		-~
25	π	0.5	TG5	1895	1887	2	1887				3.2		
26	TI	1	TG6	1832	1824	2	1824	2691	Dunnett -test	··· · · · · · · · · · · · · · · · · ·	3,1		
27	'n	1	TG6	2384	2376	2	2376	758	(G = 4, N = 20, t = 2.59)		4.0		
28	Ti	1	TG6	3451	3443	2	3443		t = 5.77	•	5.8	4.5	1.3
29	TI	1	TG6	2286	2278	2	2278				3.5	7.0	,
30	TI	1	TG6	3542	3534	.2	3534				5.9		

⁻ no significant difference at p ( 0.05 (two sides)

BG = Background (1 ml 5 % trichloroacetic acid) in duplicate

CG = Control Group

TG = Test Group

S.I. = Stimulation Index

^{*} significant difference at p ( 0.05 (two sides)

REPORT

a) = The mean value was taken from the figures BG I and BG II

CG1 = Vehicle group = N,N-dimethylformamide (DMF)
CG2 = Vehicle group = acetone:olive oil, 4:1 (v/v)

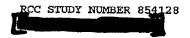
PCG3 = 25 % (w/v) ALPHA-HEXYLCINNAMALDEHYDE (HCA) in acetone:olive oil, 4:1 (v/v)

TG 4-6 = Test item in N,N-dimethylformamide (DMF)

RCC STUDY NUMBER 854128 Sponsor's Reference Number KSL040164 REPORT

# **APPENDIX B**

INDIVIDUAL / SUMMARY CLINICAL SIGNS



SYM-IND - 1 25-MAY-04

CLINICAL SIGNS, DAILY FEMALES GROUP 1 (NEG. CONTROL GROUP)

SIGN (MAX.GRADE)
(LOCATION)

WEEKS: 1.....

ANIMAL 1

NO CLINICAL SIGNS NOTED

ANIMAL 2

NO CLINICAL SIGNS NOTED

ANIMAL 3

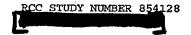
NO CLINICAL SIGNS NOTED

ANIMAL 4

NO CLINICAL SIGNS NOTED

ANIMAL 5

NO CLINICAL SIGNS NOTED



SYM-IND - 2 25-MAY-04

CLINICAL SIGNS, DAILY FEMALES GROUP 2 (NEG. CONTROL GROUP)

SIGN (MAX.GRADE)
(LOCATION)

WEEKS: 1.....

ANIMAL 6

NO CLINICAL SIGNS NOTED

ANIMAL 7

NO CLINICAL SIGNS NOTED

ANIMAL 8

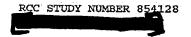
NO CLINICAL SIGNS NOTED

ANIMAL 9

NO CLINICAL SIGNS NOTED

ANIMAL 10

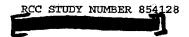
NO CLINICAL SIGNS NOTED



SYM-IND - 3 25-MAY-04

# CLINICAL SIGNS, DAILY FEMALES GROUP 3 (POS. CONTROL GROUP)

SIGN (MAX.GRADE) (LOCATION)	ACCLIMATISATION WEEKS: 1	ON TREATMENT
ANIMAL 11		
SKIN / FUR		4
SWELLING (3)	G:	11
(EAR LEFT) SWELLING (3)	G:	••
(EAR RIGHT)	GI	11
GENERAL ERYTHEMA (4) (EAR LEFT)	G:	.111
GENERAL ERYTHEMA (4) (EAR RIGHT)	G:	.111
ANIMAL 12		
SKIN / FUR SWELLING (3)	G:	
(EAR LEFT)	G:	11
SWELLING (3) (EAR RIGHT)	G:	11
GENERAL ERYTHEMA (4)	G:	.111
(EAR LEFT)	<b>y.</b>	.111
GENERAL ERYTHEMA (4) (EAR RIGHT)	G:	.111
ANIMAL 13		
SKIN / PUR	~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~	
SWELLING (3)	G:	
(EAR LEFT)	G. X	4.4
SWELLING (3) (EAR RIGHT)	G:	11
GENERAL ERYTHEMA (4) (EAR LEFT)	G:	,111
GENERAL ERYTHEMA (4) (EAR RIGHT)	G:	.111
NIMAL 14		
SKIN / FUR SWELLING (3)	<u> </u>	
(EAR LEFT)	G	11
SWELLING (3)	G:	11
(EAR RIGHT)	2	******
GENERAL ERYTHEMA (4)	G: ,	.111
(EAR LEFT)	_	
GENERAL ERYTHEMA (4) (EAR RIGHT)	G: ,	.111
NIMAL 15		
KIN / FUR		
SWELLING (3)	G:	11
(EAR LEFT)		
SWELLING (3) (EAR RIGHT)	G:	11
GENERAL ERYTHEMA (4)	G:	,111,
(EAR LEFT)		



SYM-IND - 4 25-MAY-04

CLINICAL SIGNS, DAILY FEMALES GROUP 4 (TEST GROUP 0.25%)

SIGN (MAX.GRADE)
(LOCATION)

WEEKS: 1.....

ANIMAL 16

NO CLINICAL SIGNS NOTED

ANIMAL 17

NO CLINICAL SIGNS NOTED

ANIMAL 18

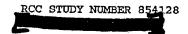
NO CLINICAL SIGNS NOTED

ANIMAL 19

NO CLINICAL SIGNS NOTED

ANIMAL 20

NO CLINICAL SIGNS NOTED



SYM-IND - 5 25-MAY-04

CLINICAL SIGNS, DAILY FEMALES GROUP 5 (TEST GROUP 0.5%)

SIGN (MAX.GRADE)
(LOCATION)

WEEKS: 1....,

ANIMAL 21

NO CLINICAL SIGNS NOTED

ANIMAL 22

NO CLINICAL SIGNS NOTED

ANIMAL 23

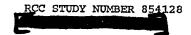
NO CLINICAL SIGNS NOTED

ANIMAL 24

NO CLINICAL SIGNS NOTED

ANIMAL 25

NO CLINICAL SIGNS NOTED



SYM-IND - 6 25-MAY-04

# CLINICAL SIGNS, DAILY FEMALES GROUP 6 (TEST GROUP 1%)

SIGN (MAX.GRADE) (LOCATION)	WEEKS :	ACCLIMATISATION	TREATMENT
ANIMAL 26			
NO CLINICAL SIGNS NOTED			
ANIMAL 27 NO CLINICAL SIGNS NOTED	•••••		
ANIMAL 28 NO CLINICAL SIGNS NOTED	****		
ANIMAL 29 NO CLINICAL SIGNS NOTED			
ANIMAL 30			
NO CLINICAL SIGNS NOTED			

RCC STUDY NUMBER 854128

Report

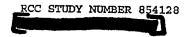
SYM-SUM - 1 25-MAY-04

CLINICAL SIGNS, DAILY (SUMMARY) FEMALES GROUP 1 (NEG. CONTROL GROUP)

SIGN (MAX.GRADE)

ACCLIMATISATION WEEKS: 1.....

TREATMENT



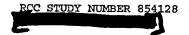
SYM-SUM - 2 25-MAY-04

CLINICAL SIGNS, DAILY (SUMMARY) FEMALES GROUP 2 (NEG. CONTROL GROUP)

SIGN (MAX.GRADE) LOCATION

ACCLIMATISATION WEEKS: 1.....

TREATMENT 1....

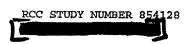


SYM-SUM - 3 25-MAY-04

# CLINICAL SIGNS, DAILY (SUMMARY) FEMALES GROUP 3 (POS. CONTROL GROUP)

SIGN (MAX.GRADE) LOCATION	WEEKS:	ACCLIMATISATION	TREATMENT
SKIN / FUR			
SWELLING (3)			11
(EAR LEFT)	% 1		AA
SWELLING (3)	·G:		11
(EAR RIGHT)		*****	AA
GENERAL ERYTHEMA (4)	G:	* * * * * * *	.111
(EAR LEFT)		f	.AAA
GENERAL ERYTHEMA (4)	G.	****	.111
(BAR RIGHT)			.AAA

G: Median value of the highest individual daily grades %: Percent of affected animals (0 = less than 5%, 1 = between 5% and 15%,..., A = more than 95% 4



SYM-SUM - 4 25-MAY-04

CLINICAL SIGNS, DAILY (SUMMARY) FEMALES GROUP 4 (TEST GROUP 0.25%)

SIGN (MAX.GRADE)

ACCLIMATISATION WEEKS: 1.....

TREATMENT

RCC STUDY NUMBER 854128

Report

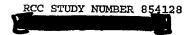
SYM-SUM - 5 25-MAY-04

CLINICAL SIGNS, DAILY (SUMMARY) FEMALES GROUP 5 (TEST GROUP 0.5%)

SIGN (MAX.GRADE) LOCATION

ACCLIMATISATION WEEKS: 1.....

TREATMENT 1....



SYM-SUM - 6 25-MAY-04

CLINICAL SIGNS, DAILY (SUMMARY) FEMALES GROUP 6 (TEST GROUP 1%)

SIGN (MAX.GRADE)

ACCLIMATISATION WEEKS: 1.....

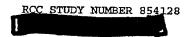
TREATMENT

RCC STUDY NUMBER 854128 Sponsor's Reference Number KSL040164

REPORT

## **APPENDIX C**

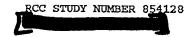
**INDIVIDUAL / SUMMARY BODY WEIGHTS** 



BW-IND - 1 25-MAY-04

# BODY WEIGHTS (GRAM) FEMALES

	TREATMENT				
DAYS	1	6			
WEBKS	1	1			
ANIMAL					
	(NEG. CONT	ROL GROUP)			
1	21.5	22.8			
2	20.7	21.7			
3	20.7	22.9			
4	21.3	22.2			
5	20.6	21.3			
GROUP 2	(NEG. CONT	ROL GROUP)			
6	20.4	20.9			
7	20.8	21.7			
8	18.6	19.6			
9	21.5	22.2			
10	20.1	19.6			
ROUP 3	(POS. CONTR	OL GROUP)			
11	21.5	22.3			
12	17.9	19.1			
13	20.8	22.0			
14	19.8	21.0			
15	20.8	22.9			
ROUP 4	(TEST GROUP	0.25%)			
16	22.0	22.8			
17	20.7	21.4			
18	21.2	22.8			
19	21.0	22.6			
20	20.4	22.1			
		·			
	(TEST GROUP				
21	17.4	19.8			
22	20.8	21.7			
23	21.0	21.5			
24	19.2	21.1			
25	19.1	19.8			
ROUP 6	(TEST GROUP	1%)			
26	20.1	21.0			
27	19.3	20.0			
28	19.0	19.8			
29	19.7	20.8			



BW-SUM - 1 25-MAY-04

# BODY WEIGHTS (GRAM) SUMMARY FEMALES

TREATME	NT	GROUP 1 NEG. CONTROL GROUP	GROUP 2 NEG. CONTROL GROUP	GROUP 3 POS. CONTROL GROUP	<del>,</del>
DAY 1 WEEK 1	MEAN ST.DI N	20.9 7V. 0.4 5	20.3 1.1 5	20.2 1.4 5	
		GROUP 4 TEST GROUP 0.25%	GROUP 5 TEST GROUP 0.5%	GROUP 6 TEST GROUP 1%	
	MEAN ST.DE N	y. 21.1 5	19.5 1.4 5	19.7 0.5 5	
		GROUP 1 NEG. CONTROL GROUP	GROUP 2 NEG. CONTROL GROUP	GROUP 3 POS. CONTROL GROUP	
DAY 6 BEK 1	Mean St.de N	v. 22.2 0.7 5	20.8 1,2 5	21.4 1.5 5	
		GROUP 4 TEST GROUP 0.25%	GROUP 5 TEST GROUP 0.5%	GROUP 6 TEST GROUP 1%	
	MEAN ST.DE	v. 22.3 0.6 5	20.8 0.9 5	20.6 0.7 5	er en en en en en en en en en en en en en

RCC STUDY NUMBER 854128 Sponsor's Reference Number KSL040164

REPORT

## APPENDIX D

#### **GOOD LABORATORY PRACTICE**

- STATEMENT OF COMPLIANCE (PRINCIPAL INVESTIGATOR)
- QUALITY ASSURANCE UNIT (PRINCIPAL INVESTIGATOR)

#### **GOOD LABORATORY PRACTICE**

## STATEMENT OF COMPLIANCE

RCC Study Number:

854128

Study Director:

Dr. W. Wang-Fan, Toxicology

Test Item:

Principal Investigator

³HTdR Determination:

Dr. R. Burri, Environmental Chemistry &

Pharmanalytics `

Phase to:

Local Lymph Node Assay (LLNA) in Mice

(Identification of Contact Allergens)

The preparation of the [methyl-3H]Thymidine solution and determination of radioactivity content were conducted in compliance with the Swiss Ordinance relating to Good Laboratory Practice, adopted February 2nd, 2000 [RS 813.016.5]. This Ordinance is based on the OECD Principles of Good Laboratory Practice, as revised in 1997 and adopted November 26th, 1997 by decision of the OECD Council [C(97)186/Final].

Principal Investigator ³HTdR Determination:

Dr. R. Burri

May 26, 2004

## **QUALITY ASSURANCE**

RCC Ltd, Environmental Chemistry & Pharmanalytics, CH-4452 Itingen / Switzerland

#### STATEMENT

**RCC Study Number:** 

854128

Study Director:

Dr. W. Wang-Fan, Toxicology

Test Item:

Principal Investigator ³HTdR Determination:

Dr. R. Burri, Environmental Chemistry &

Pharmanalytics

Phase to:

Local Lymph Node Assay (LLNA)

Assay (LLNA) in Mice

(Identification of Contact Allergens)

The general facilities and activities are inspected periodically and the results are reported to the responsible person and the management.

Study procedures were periodically inspected by the quality assurance. The date is given below.

Dates and Types of QA Inspections		Dates of Reports to the Principal Investigator and to the Management	
May 21, 2004	Process based (Preparation of application solution)	May 21, 2004	

Sections of the draft study plan relating to the phase were rewiewed and reported to the study director, lead QA and test facility management on April 29 2004

Summary report(s) of study related inspection(s) (if applicable) were issued to the study director, lead QA and test facility management.

**Quality Assurance:** 

Mr. Jürgen Lütte

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RCC STUDY NUMBER 854128 Sponsor's Reference Number KSL040164

REPORT

# **APPENDIX E**

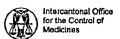
**GLP - CERTIFICATION** 

#### The Swiss GLP Monitoring Authorities



Swiss Federal Office of Public Health





# Statement of GLP Compliance

It is hereby confirmed that

during the period of

August 15 – 17, 2000 August 28 - 29 , 2001 and April 15 , 2002

the following Test Facilities of

RCC Ltd 4452 Itingen Switzerland

were inspected by the Federal Office of Public Health, the Swiss Agency for the Environment, Forests and Landscape and the Intercantonal Office for the Control of Medicines with respect to the compliance with the Swiss legislation on Good Laboratory Practice.

**Test Facilities** 

areas of expertise*

- Toxicology Division

TOX, ACC, MUT

- Environmental Chemistry and Pharmanalytics Division

ACC, ECT, ENF, EMN, PCT, RES, OTH (Animal metabolism)

 Microbiological Diagnostics by Biotechnology & Animal Breeding Division

OTH (Microbiology)

The inspection was performed in agreement with the OECD Guidelines for National GLP Inspections and Audits. It was found that the aforementioned test facilities were operating in compliance with the Swiss Ordinance relating to Good Laboratory Practice [RS 813.016.5] at the time they were inspected.

Federal Office of Public Health
The Director

Prof. Th. Zeltner

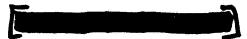
Mary

Bern, May 2002

^{*} TOX = Toxicology; ACC = Analytical and Clinical Chemistry; ECT = Environmental toxicity on aquatic and terrestrial organisms; ENF = Behaviour in water, soil and air. Bioaccumulation; EMN = Studies on effects on mesocosms and natural ecosystems; MUT = Mutagenicity; PCT = Physical-chemical testing; RES = Residue studies; OTH = Other, to be specified.

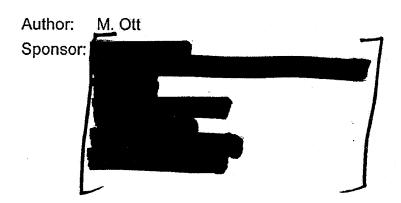
# **RCC Study Number 854240**





Contact Hypersensitivity in Albino Guinea Pigs, Bühler Test

#### Report



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### **PREFACE**

#### 1.1 **GENERAL**

Title

Sponsor

Contact Hypersensitivity in Albino Guinea Pigs, Bühler Test

Project Planing Contact Names

Mrs E. Selbie Mrs C. Talbot Miss S. Buljeeon

Ms. K. Wilson

Scientific Representative

**RCC Ltd** 

**Test Facility** 

Toxicology Wölferstrasse 4

CH-4414 Füllinsdorf / Switzerland

#### 1.2 RESPONSIBILITIES

**Study Director** 

M. Ott

**Deputy for Study Director** 

G. Arcelin

**Technical Coordinator** 

P. Reissbrodt

Head of RCC Quality

Assurance

1. Wüthrich

#### 1.3 SCHEDULE

**Experimental Starting Date** 

02-JUN-2004

Experimental Completion Date 09-JUL-2004

Delivery of the Animals

02-JUN-2004

Acclimatization (main study)

02-JUN-2004 to 07-JUN-2004

Observation

02-JUN-2004 to 09-JUL-2004

Treatment (main study)

08-JUN-2004 to 06-JUL-2004

RCC STUDY NUMBER 854240 Report SEAC Study Reference Number KSG040165

Page 5

Termination

09-JUL-2004

**Study Completion Date** 

10-AUG-2004

#### 1.4 ARCHIVING

RCC Ltd (CH-4452 Itingen / Switzerland) will retain the study plan, raw data, sample of test item(s) and the final report of the present study for at least ten years. No data will be discarded without the Sponsor's written consent. The remaining test item will be returned to the Sponsor. Archiving of the test items is the responsibility of the Sponsor.

RCC STUDY NUMBER 854240 SEAC Study Reference Number KSG040165

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## 1.5 SIGNATURE PAGE

Study Director:

M. Ott

Report

date: 10-Aug-2004

Management:

(for) Dr. H. Fankhauser

date: 19 - AUG - 2004

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#### 1.6 QUALITY ASSURANCE UNIT

RCC Ltd, Toxicology, CH-4452 Itingen / Switzerland

#### <u>STATEMENT</u>

RCC STUDY NUMBER:

854240

M. Ott

**TEST ITEM** 

•

STUDY DIRECTOR

TITLE :

Contact Hypersensitivity in Albino Guinea Pigs, Bühler Test

The general facilities and activities are inspected periodically and the results are reported to the responsible person and the management.

Study procedures with exception of the formulation trials were periodically inspected. The study plan and this report were audited by the Quality Assurance Unit. The dates are given below.

Dates and Types of QAU Inspections	Dates of Reports to the Study Director and to Management
01-JUN-2004 Study Plan	01-JUN-2004
30-JUN-2004 Process Based (Test System, Test Item, Raw Data)	30-JUN-2004
10-AUG-2004 Report	10-AUG-2004

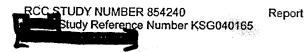
This statement also confirms that this final report reflects the raw data.

Quality Assurance:

date:

Hohl

10-AUG-2004



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#### **GOOD LABORATORY PRACTICE**

#### 1.7 STATEMENT OF COMPLIANCE

RCC STUDY NUMBER:

854240

**TEST ITEM** 

M. Ott

STUDY DIRECTOR

ODI DIRECTOR :

TITLE

Contact Hypersensitivity in Albino Guinea Pigs, Bühler Test

The formulation trials were performed before the study initiation date. Therefore, they are excluded from this statement.

The supporting data for purity (characterisation) was not made available at the time of issuing this report and hence this information has been excluded from the statement of compliance. However the sponsor has addressed this in a GLP compliant study. Study Reference Number AC030449.

This study has been performed in compliance with the Swiss Ordinance relating to Good Laboratory Practice, adopted February 2nd, 2000 [RS 813.016.5]. This Ordinance is based on the OECD Principles of Good Laboratory Practice, as revised in 1997 and adopted November 26th, 1997 by decision of the OECD Council [C(97)186/Final].

Study Director:

M. Ott

**ATTACHMENT PAGE 357** 

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#### 1.8 TEST GUIDELINES

The study procedures described in this report meet or exceed the requirements of the following guidelines:

Commission Directive 96/54/EC of 30 July 1996, adapting to technical progress for the 22nd time Council Directive 67/548/EEC. Official journal No. L248, Annex IVC, B.6 "Skin Sensitisation" and Annex V, section 3.2.7.2.

OECD Guidelines for Testing of Chemicals, Number 406 "Skin Sensitization", adopted by the Council on July 17, 1992 (reported Paris, April 29, 1993).

#### 1.9 ANIMAL WELFARE

This study was performed in an AAALAC-approved laboratory in accordance with the Swiss Animal Protection Law under license no. 61.

#### 1.10 CLASSIFICATION GUIDELINES

The evaluation of the results is based on the criteria of the Commission Directive 2001/59/ EC of 6 August 2001 adapting to technical progress for the 28th time Council Directive 67/ 548/EEC on the approximation of the laws, regulations and administrative provisions relating to the classification, packaging and labelling of dangerous substances. A potential contact sensitizer is classified as any article that produces in a non-adjuvant assay at least 15 % of test animals with allergic contact dermatitis. The test item will be then classified as "may cause sensitization by skin contact" and labelled with the risk phrase R43.

#### 1.11 REFERENCES

Ritz, H.L. and Bühler, E.V.

Current Concepts Cutaneous Toxicity, ed. Drill, V.A. and Lazar, T. (Academic Press, 1980) pp. 25-40: Planning, Conduct and Interpretation of Guinea Pig Sensitization Patch Tests.

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#### SUMMARY

The purpose of this skin sensitizing study was to assess the possible allergenic potential of when administered topically to albino guinea pigs.

For this purpose the "Bühler Test" modified by Ritz, H.L. and Bühler, E.V. (1980) was used. Twenty female animals of the test group were treated topically with 50 % in purified water once a week for a 3-week induction phase. Two weeks after the final induction application the animals were challenged with the test item concentration of 25 % in purified water.

The ten animals of the control group were not treated during the induction. They were treated once at challenge with at 25 % in purified water.

#### Results

None of the control or test animals were observed with skin reactions after challenge treatment with the highest tested, non-irritating concentration of in purified water).

#### PRIMARY SENSITIZATION RESULTS (INCIDENCE TABLES)

#### **CHALLENGE**

The highest tested non-irritating concentration of used for challenge was 25 % in purified water. The incidence of positive erythema reactions after topical challenge is described as follows:

ERYTHEMA SCORE	TEST GROUP 20 animals		CONTROL GROUP 10 animals	
	24 hrs	48 hrs	24 hrs	48 hrs
0	17	17	10	10
1	0	Ö	0	0
2	0	0	0	0
3	0	0	O	0
No. with grades ≥ 1	0	0	0	0
No. tested	17	17	10	10
INCIDENCE*	0/17***			10
SEVERITY**	0		(	)

Number of animals showing a response of grade 1 or greater at either 24- or 48-hour reading out of the total

Total sum of 24- and 48-hour response readings divided by the number of animals exposed (maximum of 3). Three animals of the test group were found dead on test days 10 and 11 (i.e. two and three days after the second induction application). At necropsy a number of macroscopic findings were recorded in these three animals including hemorrhagic lungs, congested (not collapsed) lungs with dense parenchymal focus/foci, englarged spleen and stomach distended with gas. The cause of death was not established but there are historical incidences of spontaneous death in the Buehler test (with the same macroscopic findings on necropsy), in both treated and control animals, which indicates that the deaths in this study were not treatment related.

RCC STUDY NUMBER 854240
Study Reference Number KSG040165

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## 3 CONCLUSION

In this study none of the animals of the control and test group were observed with skin reactions after challenge treatment performed with the highest tested non-irritating concentration of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study of the study

Report

Based on the above mentioned findings in a non-adjuvant sensitization test in guinea pigs and in accordance to Commission Directive 2001/59/EC, the sensitization test in guinea pigs and in accordance to Commission Directive 2001/59/EC, the sensitization test in guinea pigs and in accordance to Commission Directive 2001/59/EC, the sensitization test in guinea pigs and in accordance to Commission Directive 2001/59/EC, the sensitization test in guinea pigs and in accordance to Commission Directive 2001/59/EC, the sensitization test in guinea pigs and in accordance to Commission Directive 2001/59/EC, the sensitization test in guinea pigs and in accordance to Commission Directive 2001/59/EC, the sensitization test in guinea pigs and in accordance to Commission Directive 2001/59/EC, the sensitization test in guinea pigs and in accordance to Commission Directive 2001/59/EC, the sensitization test in guinea pigs and in accordance to Commission Directive 2001/59/EC, the sensitization test in guinea pigs and in accordance to Commission Directive 2001/59/EC, the sensitization test in guinea pigs and in accordance to Commission Directive 2001/59/EC, the sensitization test in guinea pigs and in accordance to Commission Directive 2001/59/EC, the sensitization test in guinea pigs and concentration of 25 % in purific directive 2001/59/EC, the sensitization test in guinea pigs and concentration of 25 % in purific directive 2001/59/EC, the sensitization test in guinea pigs and concentration of 25 % in purific directive 2001/59/EC, the sensitization test in guinea pigs and concentration of 25 % in purific directive 2001/59/EC, the sensitization test in guinea pigs and concentration of 25 % in purific directive 2001/59/EC, the sensitization test in guinea pigs and concentration test in guinea pigs and conce

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#### **PURPOSE**

The purpose of this skin sensitization study was to determine i the conditions described in the study plan and this report, causes an increased reaction in the skin of guinea pigs at challenge when compared to appropriate controls.

This study should provide a rational basis for risk assessment of the sensitizing potential of the test item in man.

The sensitivity and reliability of the experimental technique employed was assessed by use of ALPHA-HEXYLCINNAMALDEHYDE which is recommended by the OECD 406 Guidelines and is known to have moderate skin sensitization properties in the guinea pig strain. The results from the most recent test run (RCC study number 851772, performed from 25-NOV-2003 to 02-JAN-2004) are included in this report under the APPENDIX F.

#### 5 MATERIALS AND METHODS

#### 5.1 TEST SYSTEM

Test system

Albino Dunkin Hartley Guinea Pig, HsdPoc: DH, SPF

Rationale

Skin reactions in the guinea pig are classically used for determining the potential of test items to induce delayed contact hypersensitivity. No valid non-animal model (in-vitro) is available at present for the test of contact sensitization.

Source

Harlan Netherlands BV

Kreuzelweg 53

NL-5961 NM Horst / The Netherlands

Postbus 6174

NL-5960 AD Horst / The Netherlands

Number of animals for

main study / Irritation screen

30 females / 4 females (nulliparous and non-pregnant)

Challenge:

- 20 test animals - 10 control animals

- 4 animals Irritation Screen:

Age at delivery/

acclimatization start

4 - 6 weeks

Body weight at delivery/

acclimatization start

Test and control animals:

287 - 366 a

Animals used for irritation screen: 285 - 355 q

By unique cage number and corresponding individual animal number.

Randomization

Identification

Randomly selected by hand at time of delivery.

No computer randomization.

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Acclimatization

Under test conditions after health examination. Six days for the control and test group. However, contrary to the test group the control group remained untreated during the 3 induction weeks.

One day for the animals used in the irritation screen for induction and challenge. Only animals without any visible signs of illness were used for the study.

#### 5.2 ALLOCATION

The animals were distributed as follows:

	NUMBER OF ANIMALS PER GROUP	ANIMAL NUMBERS PER GROUP
1 Irritation Screen		
for Induction and Challenge	4	153 - 156
2 Control Group	10	157 - 166
3 Test Group	20	167 - 186

#### 5.3 HUSBANDRY

		no.
ĸ	$\alpha \alpha n$	וחחו

103 / RCC Ltd, Füllinsdorf

Conditions

#### Standard Laboratory Conditions

Air-conditioned with target ranges for room temperature 22 ±3 °C, relative humidity 30-70 % and approximately 10-15 air changes per hour. Room temperature and humidity were monitored continuously and values outside of these ranges occasionally occurred, usually following room cleaning. These transient variations are considered not to have any influence on the study and, therefore, these data are not reported but are retained at RCC. The animals were provided with an automatically controlled light cycle of 12 hours light and 12 hours dark. Music was played during the daytime light period.

Accommodation

Individually in Makrolon type-4 cages with standard softwood bedding ("Lignocel", Schill AG, CH-4132 Muttenz).

Diet

Pelleted standard Provimi Kliba 3418, batch nos. 24/04 and 33/04 guinea pig breeding / maintenance diet, containing Vitamin C (Provimi Kliba AG, CH-4303 Kaiseraugst), ad libitum. Results of analyses for contaminants are archived at

RCC Ltd.

Water

Community tap water from Füllinsdorf, ad libitum. Results of bacteriological, chemical and contaminant analyses are

archived at RCC Ltd.

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#### 5.4 TEST ITEM

The following information was provided by the sponsor:

Identification
Description

Batch number \$2539801

Purity / Formulation The purity of the test item was not available prior to admini-

stration, hence this information is excluded from the Statement of Compliance. However, the Sponsor is addressing this in a GLP compliant study. Study

Reference Number AC030449.

Stability of test item Stable under storage conditions;

expiration date: 01-JAN-2005

Stability of test item dilution Stable in purified water for at least 7 days in the refrigerator.

Storage conditions At room temperature (range of 20  $\pm$  3 °C), light protected.

Safety precautions Routine hygienic procedures were used to ensure the health

and safety of the personnel.

Characterization, stability and homogeneity are being addressed by the Sponsor in a GLP compliant study Care Study Reference Number AC030449.

#### 5.5 VEHICLE

The following information was provided by RCC Ltd:

Purified water prepared at RCC Ltd (deionised water which was processed and treated by the PURELAB Option-R unit. This latter links four purification technologies: reverse osmosis, adsorption, ion-exchange and photo oxidation).

The vehicle was selected based on preliminary solubility testing which was performed before the study initiation date. Therefore, the formulation trials were excluded from the statement of GLP compliance. Purified water was a suitable vehicle to be used for the study.

#### 5.6 TEST ITEM PREPARATION

The test item and vehicle were placed into a glass beaker on a tared Mettler PM 460 balance and weight/weight dilutions were prepared. Homogeneity of the test item in purified water was ensured and maintained during treatment using a magnetic stirrer. The preparations were made immediately prior to each dosing.

Dose levels were in terms of material as supplied by the sponsor.

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#### **RATIONALE** 5.7

Dermal administration has historically been used as the route of choice for determining delayed contact hypersensitivity.

#### 5.8 SELECTION OF CONCENTRATION OF TEST ITEM FOR MAIN STUDY

A number of factors contributed to the selection of the concentrations of test item including irritancy, slope of dose response curve and experience with similar test items. Selection was based on the following criteria:

Epidermal Induction: Concentration that produced some irritation but not adversely af-

fected the animals (determined at the irritation screen).

Epidermal Challenge: Concentration that was the maximum tested non-irritant concentration

(determined at the irritation screen).

#### 5.9 GRADING METHOD

The test item skin area of the animals used for irritation screen and challenge were depilated approximately 21 hours after the patches had been removed, using an approved depilatory cream (VEET Cream, Reckitt & Colman AG, CH-4123 Allschwil). The depilation was performed to clean the stratum corneum from the remants produced by the test item and to facilitate the reading of the skin reactions. The depilatory cream was placed on the patch sites and surrounding areas, and left on for up to 3-5 minutes. It was then thoroughly washed off with a stream of warm, running water. The animals were then dried with a disposable towel, and returned to their cages.

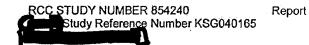
The scoring system was performed by visual assessment of erythema, cedema and other clinical changes in skin conditions. They were assessed as follows:

- 0 = no visible change:
- 1 = discrete or patchy erythema
- 2 = moderate and confluent erythema
- 3 = intense erythema and swelling

Grading of all animals was done by positioning each animal under true-light (Philips TLD 36W/84 or Osram 36W/31 830).

The grading method used for irritation screen, induction and challenge was identical. It was performed 24 hours (± 10 minutes) after removal of the patches for irritation screen, induction and challenge and repeated 24 ± 4 hours later (48-hour grades) for the irritation screen and the challenge.

Note: At challenge, control animals were graded before the test animals.



#### 5.10 TREATMENT METHODS

Patching method: The same patching method was used for irritation screen, induction and challenge.

The animal's fur was shaved with a fine clipper blade just prior to the exposure. Closed patches were applied to the animals as follows:

0.5 mL of the freshly prepared test item solution in a 25 mm Hill Top Chamber.

The 25 mm Hill Top Chamber was firmly secured by an elastic plaster wrapped around the trunk of the animal and secured with impervious adhesive tape. The occlusive dressing was left in place for six hours ( $\pm$  10 minutes).

#### 6 STUDY CONDUCT - TREATMENT PROCEDURE

#### 6.1 DIAGRAMMATIC STUDY PLAN

Acclimatization	Study day	y		<del>ryde S</del>	
-6 -5	1	8	1.5	22	29
IS	1	1	l		С

IS = Irritation screen to determine the minimal irritating concentration used in the induction period and the highest non-irritating concentration used for the challenge.

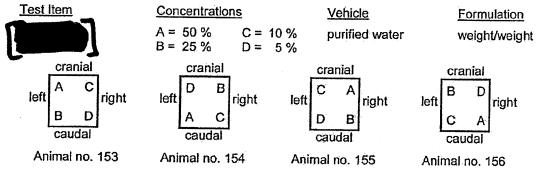
I = Induction (test group only)

C = Challenge (control and test group)

# 6.2 IRRITATION SCREEN FOR INDUCTION AND CHALLENGE – PERFORMED DURING THE ACCLIMATIZATION PERIOD

The test item concentrations described below were selected during a preliminary solubility testing which was performed before the study initiation date.

For patch placements, the format described below was used on 4 guinea pigs. Four different concentrations were used on each animal for a 6-hour exposure period.



The allocation of the different test item dilutions to the sites (A, B, C, D) on the four animals was alternated in order to minimize site-to-site variation in responsiveness.

The application sites were assessed for erythema and oedema 24 and 48  $\pm$  4 hours after removal of the patches.

The results are described on page 24 and are summarized as follows:

				Irritancy	Results			
	1		hour rea tion (%)	ding	afte	er the 48- oncentra		~
Response Grade	50 %	25 %	10 %	5 %	50 %	25 %	10 %	5%
0	0*	4	4	4	2	4	4	4
1	4	0	0	0	2	0	0	0
2	0	0	0	0.	0	0	0	0
3	0	0	0	0	0	0	0	0

⁼ number of grade-related skin response

A concentration of 50% in purified water caused some irritation without adversely affecting the animals and was therefore chosen as the most appropriate concentration to stimulate a state of immune hypersensitivity during the induction phase. The highest non-irritating concentration tested was 25% in purified water and this was chosen as the challenge concentration.

#### 6.3 INDUCTION - PERFORMED ON TEST DAYS 1, 8 AND 15

The fur was clipped from the left shoulder of each test animal and the patches applied, over a period of 3 weeks. Each animal received one patch per week with the test item at 50 % in purified water which remained in place for 6 hours each. The repeated application was performed at the same site. The interval between exposure was one week. The control animals remained untreated.

After the last induction exposure the test animals were left untreated for 2 weeks before the challenge.

The skin responses were graded 24 hours (± 10 minutes) after the patches had been removed.

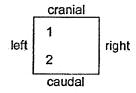
Any gross skin reactions were recorded without depilation.

#### 6.4 CHALLENGE - PERFORMED ON TEST DAY 29

The animals previously exposed during the induction period (i.e. test group) as well as the previously untreated control animals were challenged two weeks after the last induction exposure using the test item at 25 % in purified water. The fur was clipped from the left posterior quadrant of the side and back of the animals. Patch sites for challenge are indicated below. The exposure period was 6 hours (± 10 minutes) on a naive skin site.

The responses were graded at 24 and 48 hours (± 10 minutes) after the patches had been removed, according to the grading method described above.

# 6.5 FORMAT FOR INDUCTION AND CHALLENGE PATCH APPLICATION



1 = Induction (test group only)

2 = Challenge (control and test group)

#### 6.6 OBSERVATIONS

The following observations and data were recorded during the study:

Viability / Mortality

Daily from delivery of the animals to the termination of test.

Clinical signs / Grading of skin response score

Daily from delivery of the animals to the termination of test. Skin responses were graded during the irritation screen.

induction and challenge period.

Body weights

At acclimatization and treatment start, and at the termination

of the study.

Records were maintained of all additional and standard observations.

These observations applied to the main study groups and to the irritation screen group to the extent of their use in the study.

#### 6.7 EVALUATION OF SKIN REACTIONS

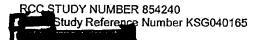
For evaluation, two parameters were used: the incidence index and the severity index, for both test and control animals. The incidence index is an expression of the number of animals showing a response of grade 1 or greater at either 24- or 48-hour reading out of the total animals in the group, while the severity index is calculated from the total sum of 24- and 48-hour response readings divided by the number of animals exposed.

In this study, the incidence and severity index are of zero.

#### 7 PATHOLOGY

#### 7.1 NECROPSY

Necropsy was performed on three animals (no. 167, 169, 184) of the test group which were found dead on test days 10 and 11 (i.e. two and three days after the second induction application).



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No necropsies were performed on the surviving animals of the control and test group sacrificed at termination of their observation period or on the animals of the irritation screen sacrificed on test day 1.

The surviving animals were euthanized by Intraperitoneal injection of Vetanarcol at a dose of at least 2.0 mL/kg body weight (equivalent to 324 mg sodium pentobarbitone/kg body weight) and discarded.

#### 8 STATISTICAL ANALYSIS

Descriptive statistics (means and standard deviations) were calculated for body weights. No inferential statistics were used.

#### 9 DATA COMPILATION

The following data were recorded on data sheets and transcribed for compilation and analysis: skin reactions, viability/mortality, clinical signs.

The following data were recorded on-line: body weights.

The following data were compiled into the RCC Tox Computer System during recording: macroscopic findings.

The RCC Tox Computer System (RCC-Tox-Lims) has been validated with respect to data collection, storage and retrievability.

# 10 RESULTS Main Study

#### 10.1 VIABILITY / MORTALITY / MACROSCOPIC FINDINGS

Three animals (no. 167, 169, 184) of the test group were found dead on test days 10 and 11 (i.e. two and three days after the second induction application). At necropsy a number of macroscopic findings were recorded in these three animals including hemorrhagic lungs, congested (not collapsed) lungs with dense parenchymal focus/foci, englarged spleen and stomach distended with gas. The cause of death was not established but there are historical incidences of spontaneous death in the Buehler test (with the same macroscopic findings on necropsy), in both treated and control animals, which indicates that the deaths in this study were not treatment related.

See p. 30

#### 10.2 CLINICAL SIGNS, SYSTEMIC

No symptoms of systemic toxicity were observed in the animals.

#### 10.3 SKIN EFFECT IN THE INDUCTION

Due to the yellow remants of the test item, a possible erythema reaction could not be determined during the three weeks of induction. However, no oedema was observed. The test sites were not depilated to facilitate the reading during the three inductions unlike the irritation screen and challenge procedure. The depilation was omitted to avoid repeated mechanical irritation produced during the removal of the depilation cream and test item.

The control group remained untreated.

See p. 26

#### 10.4 SKIN EFFECT IN THE CHALLENGE

No skin reactions were observed in the control and test animals treated with the test item at 25 % in purified water.

The control and test animals were depilated approximately 3 hours prior to the 24-hour reading to clean the test item skin area from the yellow remants produced by the test item and to facilitate the reading of the skin reactions.

See p. 28

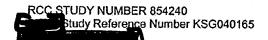
RCC STUDY NUMBER 854240 Report
Study Reference Number KSG040165

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## 10.5 BODY WEIGHTS

The body weight of the animals was within the range commonly recorded for animals of this strain and age.

See pp. 32 - 34



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#### APPENDIX A

SKIN REACTIONS DURING IRRITATION SCREEN FOR INDUCTION AND CHALLENGE

- INDIVIDUAL FINDINGS

Page 24

# SKIN REACTIONS DURING IRRITATION SCREEN FOR INDUCTION AND CHALLENGE – INDIVIDUAL FINDINGS

#### **IRRITATION SCREEN**

Animal No.:

153 female

	Skin reac	tions after
	24 Hours	48 Hours
A = 50 %	1	1
B = 25 %	0	0

	Skin reac	tions after
	24 Hours	48 Hours
C = 10 %	0	0
D = 5 %	0	0

Animal No.:

154 female

	Skin reac	tions after
	24 Hours	48 Hours
D = 5 %	0	0
A = 50 %	1	0

	Skin reac	tions after
	24 Hours	48 Hours
B = 25 %	0	0
C = 10 %	0	0

Animal No.:

155 female

	Skin reac	tions after
	24 Hours	48 Hours
C = 10 %	0	0
D=5%	0	0

	Skin reac	tions after
: L	24 Hours	48 Hours
A = 50 %	1	1
B = 25 %	0	0

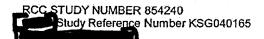
Animal No.:

156 female

	Skin reac	tions after
	24 Hours	48 Hours
B = 25 %	0	0
C = 10 %	0	0

	Skin reac	tions after
	24 Hours	48 Hours
D = 5 %	.0	0
A = 50 %	1 .	0

Three hours prior to the 24-hour reading both flanks were depilated.



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#### **APPENDIX B**

## SKIN REACTIONS OBSERVED DURING INDUCTION

- INDIVIDUAL FINDINGS

Page 26

# SKIN REACTIONS OBSERVED DURING INDUCTION - INDIVIDUAL FINDINGS

#### INDUCTION WEEK 1 / application on test day 1

Test item concentration:

50 %

Vehicle:

Purified water

TEST GROUP

Animal number female	167	168	169	170	171	172	173	174	175	176
Skin reaction*	_	-	-	-		<b>-</b>	-	-	-	-
Animal number female	177	178	179	180	181	182	183	184	185	186

#### INDUCTION WEEK 2 / application on test day 8

Test item concentration:

50 %

Vehicle:

Purified water

**TEST GROUP** 

Animal number female	167	168	169	170	171	172	173	174	175	176
Skin reaction*	<u> </u>	-	-	-	-	_	-	-	-	
Animal number female	177	178	179	180	181	182	183	184	185	186

#### INDUCTION WEEK 3 / application on test day 15

Test item concentration:

50 %

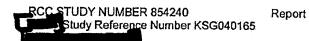
Vehicle:

Purified water

#### **TEST GROUP**

Animal number female	167	168	169	170	171	172	173	174	175	176
Skin reaction*	exitus	-	exitus	-	-	-	-	-	-	<del>-</del>
Animal number female	177	178	179	180	181	182	183	184	185	186
Skin reaction*				-				exitus		

^{*} Due to yellow remnants produced by the test item a possible erythema reaction could not be determined. However, no oedema was observed. The animals were not depilated.



## **APPENDIX C**

#### SKIN REACTIONS AFTER CHALLENGE

- INDIVIDUAL FINDINGS

Page 28

# SKIN REACTIONS AFTER CHALLENGE - INDIVIDUAL FINDINGS

Test item:

Test item concentration:

25 %

Vehicle:

Purified water

#### **CONTROL GROUP**

i	Animal No.	Skin Reactions after (± 2 Hours)		
	female	24 Hours	48 Hours	
	157	0	0	
	158	0	0	
	159	0.	0	
-	160	0	0	
	161	0	0	

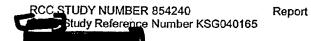
	Animal No.		eactions 2 Hours)
	female	24 Hours	48 Hours
Ì	162	0	0
	163	0	Ö
	164	0	0.
	165	0	0
1	166	0	0

#### **TEST GROUP**

	Animal	Skin Reactions				
	No.	after (±	2 Hours)			
	female	24 Hours	48 Hours			
	167	EXITUS	EXITUS			
	168	0	0			
	169	EXITUS	EXITUS			
	170	0	0			
	171	0	O O			
	172	0	0			
	173	0	0			
	174	0	0			
	175	0	0			
1	176	0	n			

	Animal	Skin Reactions				
	No.	after (±	2 Hours)			
	female	24 Hours	48 Hours			
	177	0	0			
	178	0	0			
	179	0	0			
	180	0	0			
	181	0	0			
-	182	0	0			
	183	0	0			
l	184	EXITUS	EXITUS			
	185	0	0			
	186	0	l o l			

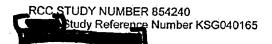
Approximately 3 hours prior to the 24-hour reading, the test item-treated flank was depilated.



### **APPENDIX D**

**NECROPSY** 

- MACROSCOPIC FINDINGS



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## **NECROPSY - MACROSCOPIC FINDINGS**

MACROSCOPICAL FINDINGS FEMALES GROUP 3 (TEST GROUP)

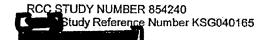
ANIMAL 167	•	(SPONTANEOUS DEATH, 18-JUN-04)		
LUNGS	HEMORRHAGIC.			
SPLEEN	enlarged.			
ANINAL 169		(SPONTANEOUS DEATE, 18-JUN-04)		
LUNGS	HEMORRHAGIC.			
STONACH	DISTENDED WITE GAS.			
ANIKAL 184		(SPONTANEOUS DEATH, 17-JUN-04)		
LUNGS	NOT COLLAPSED. CONGESTION. DENSE PARENCHYMAL FOCUS/FOCI.			
SPLEEN	ENLARGED.			

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# **APPENDIX E**

#### **BODY WEIGHTS**

- SUMMARY
- INDIVIDUAL



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# **BODY WEIGHTS - SUMMARY**

# BODY WEIGHTS (GRAM) SUMMARY FEMALES

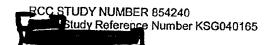
ACCLIMATIZATION		ATION	GROUP 1 IRRITATION SCREEN	GROUP 2 CONTROL GROUP	GROUP 3 TEST GROUP	
DAY	3	MEAN	305	347	319	
WEEK	1	ST.DEV. MINIMUM MAXIMUM	33.3 285 3 <i>55</i>	13.3 325 366	27.0 287 355	
		и	4	10	20	

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## **BODY WEIGHTS - SUMMARY (CONTINUED)**

# BODY WEIGHTS (GRAM) SUMMARY FEMALES

TREATHENT			GROUP 1 IRRITATION SCREEN	GROUP 2 CONTROL GROUP	GROUP 3 TEST GROUP	
DAY	1	mean	355	428	397	
Keek	1	ST.DEV.	41.4	16.9	32.8	
		MINIMUM	315	392	345	
		MAXIMUM	413	448	447	
		N	4	10	20	
ŅΥ	32	MEAN	***	640	619	
YEEK	5	ST.DEV.		49.3	38.4	
		MININUM		532	548	
		MAXINUN		699	683	
		n	0	10	17	



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# **BODY WEIGHTS - INDIVIDUAL**

# BODY WEIGHTS (GRAM) FEMALES

DAYS	~~~~~~~~~~~~~~~~					
	1	1	32			
LAMINAL	1	1	5			
ROUP 1	(IRRITATION SCREEN			 <del></del>		<del></del>
153		•				
	293	339				
154	288	315	/			
155	285	352				
156	355	413				
ROUP 2	(CONTROL GROUP)					
157	366	448	699			
158	337	429	634			
159	350	433	647			
160	331	443	686			
161	347	411	662			
162	356	427	635			
163	325	392				
164	341		532			
165	352	437	625			
166	362	420	599			
200	304	441	685			
ROUP 3	(TEST GROUP)					
167	354	425				
168	350	421	662			
169	351	447				
170	335	434	628			
171	355	428	625			
172	294	345	616			
173	290	368				
174	312		632			
175	300	363	617			
176	352	388	647			
-, 0	334	438	683			
177	343	432	620			
178	354	439	669			
179	323	404	574			
1.80	292	378	580			
181	292	382	596			
182	296	351	548			
183	298	369	560		-	
84	287	378	200		•	
.85	304	366	606			

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#### **APPENDIX F**

**RESULTS OF POSITIVE CONTROL** 

#### **RESULTS OF POSITIVE CONTROL**

# **RCC Study Number 851772**

# **ALPHA-HEXYLCINNAMALDEHYDE:**

Contact Hypersensitivity in Albino Guinea Pigs, Bühler Test

# **POSITIVE CONTROL**

performed from 25-NOV-2003 to 02-JAN-2004

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#### RESULTS OF POSITIVE CONTROL (CONTINUED)

#### SUMMARY

For validation of the sensitivity of test method and test system used, a known moderate sensitizer ALPHA-HEXYLCINNAMALDEHYDE was selected as a positive control. This was performed from 25-NOV-2003 to 02-JAN-2004 in accordance with the recommendation of:

OECD Guidelines for Testing of Chemicals, Number 406 "Skin Sensitization", adopted by the Council on July 17, 1992 (reported Paris, April 29, 1993).

The raw data from this study are kept in a separate file at RCC Ltd, CH-4452 Itingen. The test described was performed under GLP-conditions with a final QA-check.

#### **TEST ITEM**

Identification

ALPHA-HEXYLCINNAMALDEHYDE (HCA)

Description

Yellow liquid

Supplier

Aldrich Chemical Company Inc.

P.O. Box 260

CH-9471 Buchs SG / Switzerland

Date of test item receipt

07-SEP-2001

Lot number

01016AQ

Purity

87.8 % (certificate of analysis to be retained as data)

Stability of test item

Stable under storage conditions:

expiration date: 07-SEP-2004

Stability of test item dilution

Unknown in PEG 300.

Storage conditions

At room temperature (range of 20 ± 3 °C), light protected.

Safety precautions

Routine hygienic procedures were used to ensure the health

and safety of the personnel.

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# **RESULTS OF POSITIVE CONTROL (CONTINUED)**

#### VEHICLE

Identification

Polyethylene glycol 300 (PEG 300)

Description

Colorless viscous liquid

Lot number

448174/1 21203148

Source

FLUKA Chemie GmbH, CH-9471 Buchs

Stability of vehicle

Stable under storage conditions; expiration date: 16-APR-2005

Storage conditions

In the original container, at room temperature

(range of 20 ± 3 °C), light protected.

Safety precautions

Routine hygienic procedures were used to ensure the health

and safety of the personnel.

#### **TEST SYSTEM**

Test system

Ibm: GOHI; SPF-quality guinea pigs

(synonym: Himalayan spotted)

Rationale

Skin reactions in the guinea pig are classically used for determining the potential of test items to induce delayed contact hypersensitivity. No valid non-animal model (in-vitro) is available at present for the test of contact sensitization.

Source

RCC Ltd, Laboratory Animal Services CH-4414 Füllinsdorf / Switzerland

Number of animals for

main study / Irritation screen

30 females / 4 females (nulliparous and non-pregnant)

Challenge:

- 20 test animals

- 10 control animals

Irritation Screen: - 4 animals

Age at delivery / acclimatization start

4 - 6 weeks

Body weight at delivery /

acclimatization start

Test and control animals:

348 - 414 g

Animals used for irritation screen: 366 - 381 g

Identification

By unique cage number and corresponding individual animal

number.

Randomization

Randomly selected by hand at time of delivery.

No computer randomization.

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# RESULTS OF POSITIVE CONTROL (CONTINUED)

Acclimatization

Under test conditions after health examination. One week for the control and test group. However, contrary to the test group the control group remained untreated during the 3 induction weeks.

One day for the animals used in the irritation screen for induction and challenge. Only animals without any visible signs of illness were used for the study.

The purpose of this skin sensitizing study was to confirm the possible allergenic potential of ALPHA-HEXYLCINNAMALDEHYDE and to prove the sensitivity of the test system when administered topically to albino guinea pigs.

For this purpose the "Bühler Test" modified by Ritz, H.L. and Bühler, E.V. (1980) was used. Twenty female animals of the test group were treated topically with ALPHA-HEXYLCINNA-MALDEHYDE at 50 % in PEG 300 once a week for a 3-week induction phase. Two weeks after the final induction application the animals were challenged with the test item concentration of 5 % in PEG 300.

The ten animals of the control group were not treated during the induction. They were treated once at challenge with ALPHA-HEXYLCINNAMALDEHYDE at 5 % in PEG 300.

#### Results

Twenty (at the 24-hour reading) and seventeen (at the 48-hour reading) out of 20 test animals were observed with discrete/patchy to moderate/confluent erythema after the challenge treatment with the highest tested non-irritating concentration of ALPHA-HEXYLCINNAMAL-DEHYDE at 5 % in PEG 300. No skin effect was observed in the control group.

## **RESULTS OF POSITIVE CONTROL (CONTINUED)**

#### PRIMARY SENSITIZATION RESULTS (INCIDENCE TABLES)

#### **CHALLENGE**

The highest tested non-irritating concentration of ALPHA-HEXYLCINNAMALDEHYDE used for challenge was 5 % in PEG 300. The incidence of positive erythema reactions after topical challenge is described as follows:

ERYTHEMA SCORE	TEST GROUP 20 animals		CONTROL GROUP 10 animals	
	24 hrs	48 hrs	24 hrs	48 hrs
0	0	3	10	10
1	8	13	0	0
2	12	4	0	0
3	0	0	0	0
No. with grades ≥ 1	20	17	0	0
No. tested	20	20	10	10
INCIDENCE*	20/20		0/	10
SEVERITY**	1.1 – 1.6		(	)

Number of animals showing a response of grade 1 or greater at either 24- or 48-hour reading out of the total animals.

#### CONCLUSION

In this study, 100 % (at the 24-hour reading) of the animals of the test group were observed with skin reactions after challenge treatment performed with the highest tested non-irritating concentration of ALPHA-HEXYLCINNAMALDEHYDE at 5 % in PEG 300.

No skin reactions were observed in the control group treated in the same conditions during the challenge phase.

Based on the above mentioned findings in a non-adjuvant sensitization test in guinea pigs and in accordance to Commission Directive 2001/59/EC, ALPHA-HEXYLCINNAMALDE-HYDE applied at a concentration of 5 % in PEG 300 does have to be classified and labelled as a skin sensitizer and proved the sensitivity of the test system.

^{**} Total sum of 24- and 48-hour response readings divided by the number of animals exposed (maximum of 3).

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# **RESULTS OF POSITIVE CONTROL (CONTINUED)**

#### **CHALLENGE**

Test item:

ALPHA-HEXYLCINNAMALDEHYDE

Test item concentration:

5 %

Vehicle:

**PEG 300** 

#### **CONTROL GROUP**

Animal No.	Skin Reactions after (± 2 Hours)		
female	24 Hours	48 Hours	
508	0	0	
509	0	0	
510	0	0	
511	0	0	
512	0	0	

Animal No.	Skin Re after (± :	eactions 2 Hours)
female	24 Hours	48 Hours
513	0	0
514	0	0
515	0	0
516	0	0
517	0	0

#### **TEST GROUP**

Animal	Skin Reactions					
No.	after (± 2 Hours)					
female	24 Hours	48 Hours				
518	1	1				
519	2	1				
520	.2	1				
521	1	0				
522	1	1				
523	2	1				
524	.2	2				
525	2	1				
526	1	0				
527	1	1				

	The second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second secon					
Animal	Skin Reactions					
No.	after (± 2 Hours)					
female	24 Hours	48 Hours				
528	2	1				
529	2	1				
530	2	1				
531	2	2				
532	2	2				
533	1	0				
534	2	1				
535	1	1				
536	1	1				
537	2	2				

Approximately 3 hours prior to the 24-hour reading, the test item-treated flank was depilated.

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## **APPENDIX G**

SUMMARY TABLE OF STUDY INFORMATION AND RESULTS

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## SUMMARY TABLE OF STUDY INFORMATION AND RESULTS

Test item identification:							
SKIN TOLERANCE STUD							
(SENSITIZATION POTENT		OUS ADI	VINISTE	RATION - BÜ	HLER	TEST)	
	2539801						
	54240						
Study Completion Date: 1	0-AUG-2004						
				Number of exposed animals: 30			
HsdPoc: D							
Procedure	Administration route		Day		Vehicle		
Induction phase/	Occl. patch/left sho	ch/left shoulder 1, 8, 1		5 Purified water		ed water	
6-hour application							
Challenge/							
6-hour application	Occl. patch/left flan	ık 29			Durified water		
Study Group	Control Group		Z5	Test Group		Purified water	
Study Croup	Concentration	Numb	or of	Concentr		Number of	
						appl, and dose	
Induction phase/	•••	appl. and dose		50 %		1x0.5mL/week/	
6-hour application				00 /0		25mm Hill Top	
				ł		Chamber	
Challenge/	25 %	1x0.5mL/25mm		25 %		1x0.5mL/25mm	
6-hour application		Hill Top Chamber				Hill Top	
	1					Chamber	
Number of animals and sex	10 females			20 females			
Number of animals showing	a grade ≥ 1 at eithe	24 or 48	hours /	out of total (i	nciden	ce index)	
Challenge			-,				
	0/10			0/17*			
Summary of salient findings be a skin sensitizer.	: The test item tested	d under the	e descri	bed condition	ns is co	onsidered not to	
Study in compliance with GLP:				YES: X		NO:	
QA inspected/audited:				YES: X		NO:	

^{*} Three animals of the test group were found dead on test days 10 and 11.

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#### **APPENDIX H**

**GLP - CERTIFICATION** 

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#### **GLP - CERTIFICATION**

The Swiss GLP Monitoring Authorities





Swissmedic Swiss Agency for Therapeutic Produc

# Statement of GLP Compliance

it is hereby confirmed that

during the period of

November 18 - 22, 2002

the following Test Facilities of

RCC Ltd 4452 Itingen Switzerland

were inspected by the Federal Office of Public Health, the Swiss Agency for Therapeutic Products and the Swiss Agency for the Environment, Forests and Landscape with respect to the compliance with the Swiss legislation on Good Laboratory Practice.

**Test Facilities** 

Areas of expertise *

- Toxicology

TOX, ACC, MUT, OTH (Safety Pharmacology)

 Environmental Chemistry and Pharmanalytics

ACC, ECT, ENF, EMN, PCT, RES, OTH (Animal metabolism)

The inspections were performed in agreement with the OECD Guidelines for National GLP Inspections and Audits. It was found that the aforementioned test facilities were operating in compliance with the Swiss Ordinance relating to Good Laboratory Practice [RS 813.016.5] at the time they were inspected.

Federal Office of Public Health The Director

2//

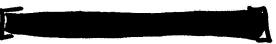
Bern, March 2003

Prof. Th. Zeltner

^{*} TOX = Toxicology; ACC = Analytical and Clinical Chemistry; ECT = Environmental toxicity on equatic and terrestrial organisms; ENF = Behaviour in water, soit and air. Bioaccumulation; EMN = Studies on effects on mesocosms and natural accesses MUT = Mutagenicity; PCT = Physical-chemical testing; RES = Residue studies; OTH = Other, to be specified.

# **RCC Study Number 851276**

Sponsor Study Number KF 030425

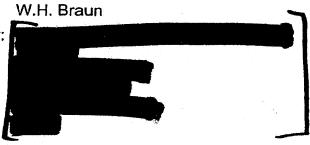


7-Day Range-Finding Oral Toxicity (Gavage) Study in the Wistar Rat

Report

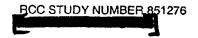
Author:

Sponsor:



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#### GENERAL

In this dose range-finding toxicity study oral gavage to SPF-bred Wistar rats of both sexes at dose levels of 10, 100 or 1000 mg/kg body weight for a period of seven days. A control group received a similar dose volume (10 ml/kg body weight) of the vehicle, bidistilled water. The study comprised two animals per group and sex which were sacrificed after seven days of treatment. Clinical signs, food consumption and body weights were recorded periodically during the acclimatization and treatment periods. At the end of the dosing period, all animals were killed, necropsied and examined post mortem. The results of the study are summarized as follows:

#### Mortality

All control animals and all rats treated with 10 mg/kg/day and 100 mg/kg/day survived until scheduled necropsy.

One male (no. 8) and both females (nos. 15 and 16) treated with 1000 mg/kg/day were found dead on Day 1 of treatment, and the remaining male (no. 7) treated with 1000 mg/kg/day was killed for ethical reasons on same day.

#### Clinical Signs

One hour after administration, three rats treated with 1000 mg/kg/day were found dead. Male no. 7 had convulsive contractions and was prostrate. When observed again approximately 10 minutes later, these signs were still present and signs of somnolence were recorded. This male was sacrificed for ethical reasons.

No clinical signs were seen in rats treated with 10 mg/kg/day or 1000 mg/kg/day from days 1-7 of treatment,

#### **Food Consumption**

The mean daily food consumption of the surviving test item-treated males and females was not affected during days 1-7 of treatment.

#### **Body Weights**

The mean body weights of the remaining test item-treated males and females compared favorably to those of the respective control values.

#### **Organ Weights**

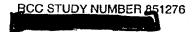
No test item-related effects on mean absolute and relative organ weights were noted after 7 days' treatment when comapred with the controls.

#### **Macroscopical Findings**

Bilateral renal pelvis dilation was noted in one female (no. 12) treated with 10 mg/kg/day. This is considered to be a typical background finding and is unrelated to the treatment with the test item.

Dark red thymic foci were noted in one female (no. 16) which was found dead on treatment day 1.

All other animals were without macroscopical changes at necropsy.

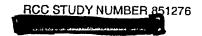


#### **ASSESSMENT**

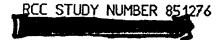
Based on the results of this seven-day dose range-finding study and discussions with the sponsor, dose levels of 1, 10 or 100 mg/kg body weight/day are proposed for the subsequent 28-day study (RCC Study Number 851277) with

**Study Director** 

W.H. Braun

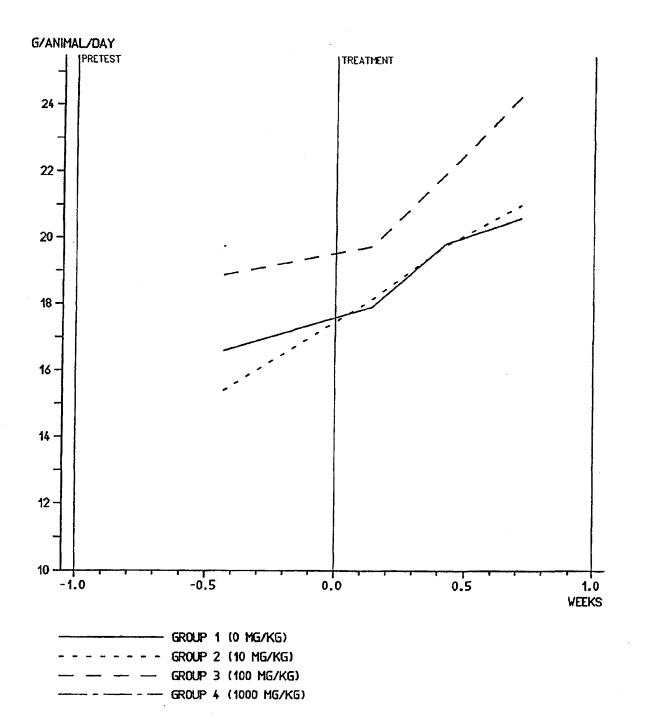


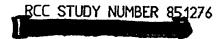
# **FIGURES**



FC-SPLT - 1 24-NOV-03

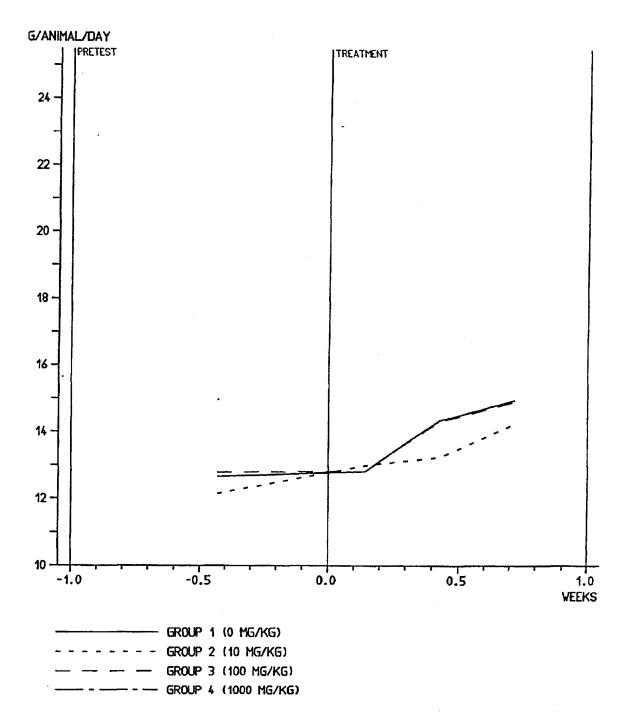
# FOOD CONSUMPTION MALES

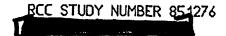




FC-SPLT - 2 24-NOV-03

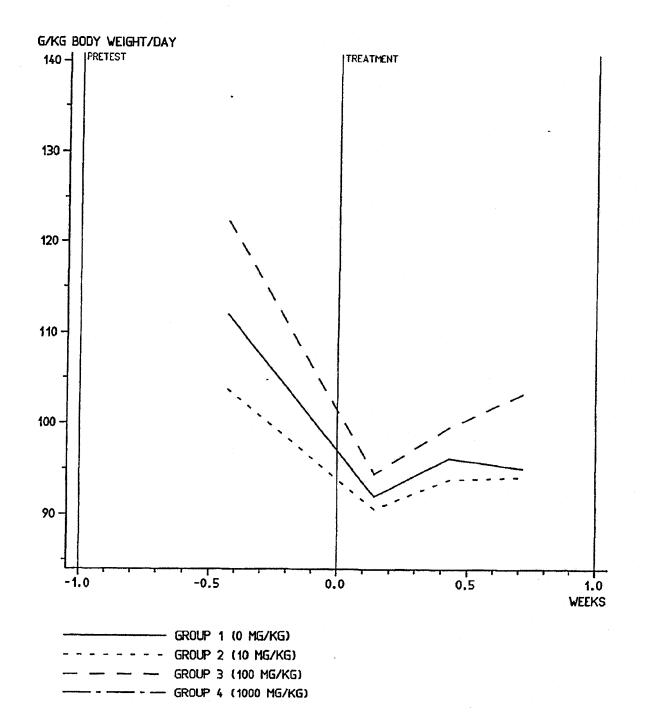
# FOOD CONSUMPTION FEMALES





RFC-SPLT - 1 24-NOV-03

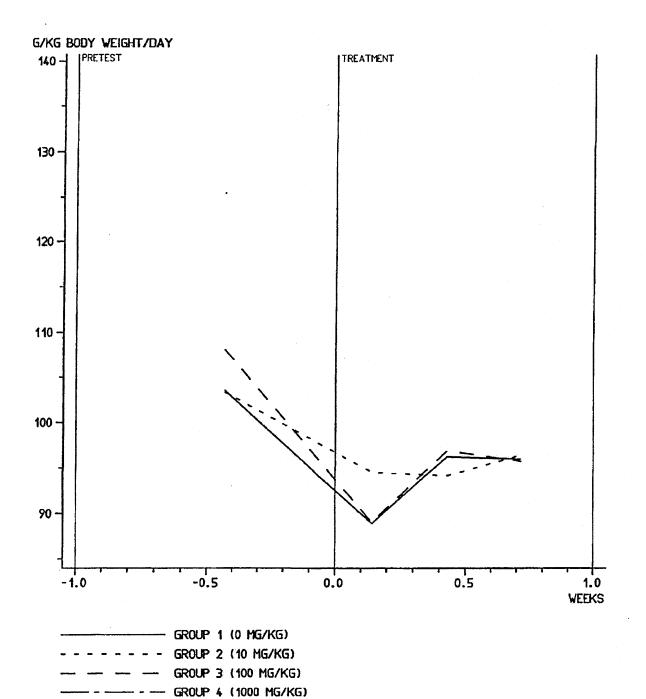
# RELATIVE FOOD CONSUMPTION MALES

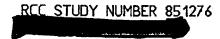


### BCC STUDY NUMBER 851276

RFC-SPLT - 2 24-N0V-03

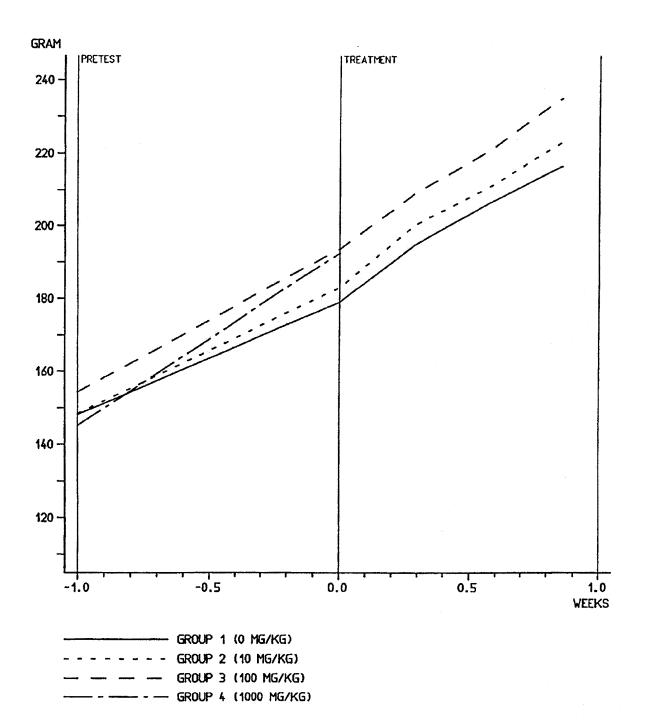
# RELATIVE FOOD CONSUMPTION FEMALES

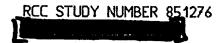




BW-SPLT - 1 24-NOV-03

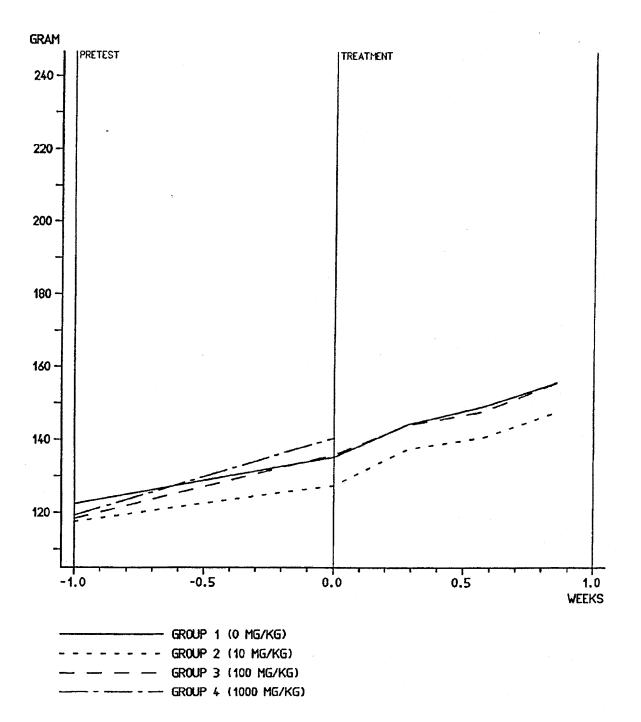
# BODY WEIGHTS MALES





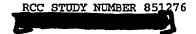
BW-SPLT - 2 24-NOV-03

# BODY WEIGHTS FEMALES



RCC STUDY NUMBER 851276

#### **SUMMARY TABLES**

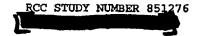


FC-SUM - 1 24-NOV-03

## FOOD CONSUMPTION (G/ANIMAL/DAY) SUMMARY MALES

PRETEST		GROUP 1 0 MG/KG	GROUP 2 10 MG/KG	GROUP 3 100 MG/KG	GROUP 4 1000 MG/KG
DAYS 1-8	MBAN	16.6	15.4	18.9	19.7
WREKS 1/2	ST.DEV.		* = =		
	N (CAGE)	1	1	1	1

^{* / ** ;} Dunnett-Test based on pooled variance significant at 5% (*) or 1% (**) level

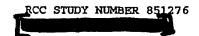


PC-SUM - 2 24-NOV-03

## FOOD CONSUMPTION (G/ANIMAL/DAY) SUMMARY MALES

TREATMENT		GROUP 1 0 MG/KG	GROUP 2 10 MG/KG	GROUP 3 100 MG/KG	GROUP 4 1000 KG/KG
PAYS 1-3	MEAN	17.9	18.2	19.8	
Week 1	ST.DEV.				
	N (CAGE)	. 1	1	1	0
DAYS 3-5	MEAN	19.8	19.8	22.0	
WEEK 1	ST.DEV.				
	N (CAGE)	1	1	1	0
DAYS 5-7	MEAN	20.6	21.0	24.3	
VERK 1	ST.DEV.				
	N (CAGE)	1	1	1	O
tean of me	ANS				
VER TREAT		19.5	19.6	22.0	

^{* / ** :} Dunnett-Test based on pooled variance significant at 5% (*) or 1% (**) level

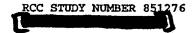


FC-SUM - 3 24-NOV-03

## FOOD CONSUMPTION (G/ANIMAL/DAY) SUMMARY FEMALES

PRETEST		GROUP 1 0 MG/KG	GROUP 2 10 MG/KG	GROUP 3 100 MG/KG	GROUP 4 1000 HG/KG
DAYS 1-8	MEAN	12.7	12.2	12.8	15.0
WEEKS 1/2	ST.DEV.				<del>-</del>
•	N (CAGE)	1	1	1	1

^{* / ** :} Dunnett-Test based on pooled variance significant at 5% (*) or 1% (**) level

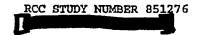


FC-SUM - 4 24-NOV-03

## FOOD CONSUMPTION (G/ANIMAL/DAY) SUMMARY FEMALES

TREATMENT		GROUP 1 0 MG/KG	GROUP 2 10 MG/KG	GROUP 3 100 MG/KG	GROUP 4 1000 NG/KG
DAYS 1-3	MEAN	12.8	13.0	12.8	
WEEK 1	ST.DEV.				-,
	N (CAGE)	1	1	1	0
DAYS 3-5	mean	14.4	13.3	14.3	
WEEK 1	ST.DEV.				
	N (CAGE)	1	1	1	0
DAYS 5-7	MEAN	15.0	14.2	14.9	
TEEK 1	ST.DEV.				
	N (CAGE)	1	1	1	D.
EAN OF ME	ANS			•	
VER TREAT	MENT	14.0	13.5	14.0	

^{* / ** :} Dunnett-Test based on pooled variance significant at 5% (*) or 1% (**) level

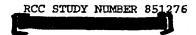


RFC-SUM - 1 24-NOV-03

## RELATIVE FOOD CONSUMPTION SUMMARY (G/KG BODY WEIGHT/DAY) MALES

PRETEST		GROUP 1 0 MG/KG	GROUP 2 10 MG/KG	GROUP 3 100 MG/KG	GROUP 4 1000 HG/KG
DAYS 1-8	NEAN	112.0	103.7	122.3	136.0
WEEKS 1/2	ST.DEV.				
	N (CAGE)	1	1	1	1

^{• / ** :} Dunnett-Test based on pooled variance significant at 5% (*) or 1% (**) level

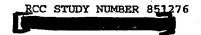


RFC-SUM - 2 24-NOV-03

# RELATIVE FOOD CONSUMPTION SUMMARY (G/KG BODY WEIGHT/DAY) MALES

TREATMENT		GROUP 1 0 MG/KG	GROUP 2 10 MG/KG	GROUP 3 100 MG/KG	GROUP 4 1000 MG/KG
AYS 1-3	MEAN	92.0	90.7	94.5	
VERK 1	ST.DEV.				
	N (CAGE)	1	1	1	0
AYS 3-5	Mean	96.2	93.9	99.6	<b>₩</b> ₩ <b></b>
EBK 1	ST.DEV.				*
	N (CAGE)	1	1	1	0
AYS 5-7	MEAN	95.1	94.2	103.3	
EBK 1	ST.DEV.			ph. iap las	
	N (CAGE)	1	1	1	0
EAN OF M	BANS				
VER TREA	TKENT	94.4	92.9	99.1	

^{* / ** :} Dunnett-Test based on pooled variance significant at 5% (*) or 1% (**) level

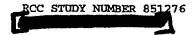


RFC-SUM - 3 24-NOV-03

# RELATIVE FOOD CONSUMPTION SUMMARY (G/KG BODY WEIGHT/DAY) FEMALES

PRETEST		GROUP 1 0 MG/KG	GROUP 2 10 MG/KG	GROUP 3 100 MG/KG	GROUP 4 1000 MG/KG
DAYS 1-8	NEAN	103.5	103.4	108.1	125.5
WEEKS 1/2	ST.DEV.				
· · · · · · · · · · · · · · · · · · ·	N (CAGE)	1	ı	.1	1.

^{* / ** :} Dunnett-Test based on pooled variance significant at 5% (*) or 1% (**) level

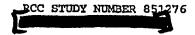


RFC-SUM - 4 24-NOV-03

## RELATIVE FOOD CONSUMPTION SUMMARY (G/KG BODY WEIGHT/DAY) FEMALES

FREATME	INT	GROUP 1 0 MG/KG	GROUP 2 10 MG/KG	GROUP 3 100 MG/XG	GROUP 4 1000 KG/KG
DAYS 1		89.0	94.6	89.1	
TEEK 1		-,-,-			
	n (Cage)	1	1	1	0
DAYS 3	-5 MEAN	96.3	94.2	97.0	÷
VEEK 1	ST.DEV.				
	N (CAGE)	1	1	1	0
AYS 5	-7 MEAN	96.0	96.4	95.8	
VEEK 1	ST.DEV.			***	
	N (CAGE)	1	1	1	0
EAN OF	MEANS				
VER TR	EATMENT	93.7	95.1	93.9	

^{* / ** :} Dunnett-Test based on pooled variance significant at 5% (*) or 1% (**) level

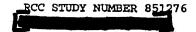


BW-SUM - 1 24-NOV-03

## BODY WEIGHTS (GRAM) SUMMARY MALES

PRETE	ST		GROUP 1 0 MG/KG	GROUP 2 10 MG/KG	GROUP 3 100 MG/KG	GROUP 4 1000 NG/KG
DAY.	1	MEAN	148.2	148.4	154.3	145.1
WEEK	1	ST.DEV.	4.7	9.5	4.2	6.3

^{* / ** :} Dunnett-Test based on pooled variance significant at 5% (*) or 1% (**) level

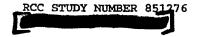


BW-SUM - 2 24-NOV-03

## BODY WEIGHTS (GRAM) SUMMARY MALES

TREAT	MENT		GROUP 1 0 MG/KG	GROUP 2 10 MG/KG	GROUP 3 100 MG/KG	GROUP 4 1000 MG/KG
DAY	1	MAZM	179.1	183.0	193.6	192.4
Webk	1	ST.DEV.	2.1	10.3	5.0	5.5
		N	2	2	2	2
DAY	3	MEAN	194.7	200.2	209.0	
VEEK.	1	ST.DEV.	1.6	11.1	3.6	
		N	2	2	2	0
λY	5	Mean	206.3	210.8	220.6	
VEEK	1	ST.DEV.	4.5	12.6	5.4	
		n	2	2	2	0
PAY	7	mean	216.5	222.9	234.9	
ÆEK	1	ST.DEV.	6.2	14.1	4.7	
		N	2	2	2	0

^{* / ** :} Dunnett-Test based on pooled variance significant at 5% (*) or 1% (**) level

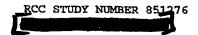


BW-SUM - 3 24-NOV-03

### BODY WEIGHTS (GRAM) SUMMARY FEMALES

PRETE	st		GROUP 1 0 MG/KG	GROUP 2 10 MG/KG	GROUP 3 100 MG/KG	GROUP 4 1000 MG/KG
DAY	1	Mean	122.4	117.5	118.4	119.3
WEEK	1	ST.DEV.	1.9	0.5	1.4	2.6
		N	2	2	2	2

^{* / ** :} Dunnett-Test based on pooled variance significant at 5% (*) or 1% (**) level

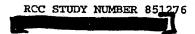


BW-SUM - 4 24-NOV-03

## BODY WEIGHTS (GRAM) SUMMARY FEMALES

TREAT	MENT		GROUP 1 0 MG/KG	GROUP 2 10 NG/KG	GROUP 3 100 MG/KG	GROUP 4 1000 MG/KG
DAY	1	Mean	135.3	127.5	135.9	140.5
WEEK	1	ST.DEV.	1.3	2.1	3.3	0.9
		n	2	2.	2	2
YAC	3	MEAN	144.2	137.5	144.0	
<b>VEEK</b>	ı	ST.DEV.	2.0	4.9	1.2	
		n	2	2	2	. 0
λΥ	5	MEAN	149.1	140.6	147.7	
ÆEK	1	ST.DEV.	1.1	3.9	4.5	
		N	2	2	2	0
AY	7	mean	155.7	147.6	155.6	
TEEK	1	ST.DEV.	1.6	5.5	2.3	
		N	2	2	2 -	0

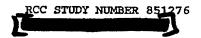
^{* / ** :} Dunnett-Test based on pooled variance significant at 5% (*) or 1% (**) level



OW-SUM - 1 25-NOV-03

#### ORGAN WEIGHTS (GRAM) SUMMARY AFTER 7 DAYS MALES

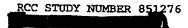
		GROUP 1 0 MG/KG	GROUP 2 10 MG/KG	GROUP 3 100 MG/KG	GROUP ( 1000 MG/K
BODY W.	мели	217.94	223.05	231.96	
	ST.DEV.	10.77	18.46	7.94	
	n	2	2	2	0
HEART	MEAN	0.762	0.812	0.802	
	ST.DEV.	0.003	0.114	0.004	
	n	2	2	2	0
LIVER	Mean	9.60	10.05	10.02	
	ST.DEV.	0.60	1.48	0.47	•••
	- N	2	2	2	0
HYMUS	MRAN	0.52	0.59	0.64	
	ST.DEV.	0.12	0.05	0.02	
	N	2	2	2	0
IDNEYS	MEAN	1,56	1.77	1.73	
	ST.DEV.	0.30	0.30	0.04	
	N	2	2	2	0
DRENALS	MEAN	0.045	0.052	0.050	•••
	ST.DEV.	0.007	0.004	0.001	
	n	2	2	2	0
PLEEN	Mean	0.669	0.767	0.767	
	ST.DEV.	0.071	0.040	0.092	
	N	2	2	2	0
ESTES	MEAN	2.60	2.75	2.74	
	ST.DEV.	0.11	0.33	0.10	
	N	2	2	2	0



OW-SUM - 2 25-NOV-03

#### ORGAN/BODY WEIGHT RATIOS SUMMARY AFTER 7 DAYS MALES

		GROUP 1 0 MG/KG	GROUP 2 10 MG/KG	GROUP 3 100 MG/KG	GROUP 4 1000 MG/KG
BODY W.	Mean	217.94	223.05	231.96	مرتم ند
(GRAM)	ST.DEV.	10.77	18.46	7.94	
	n	2	2	2	0
IZART	MEAN	0.350	0.363	0.346	
(₺)	ST.DEV.	0.019	0.021	0.010	
	n	2	2	2	0
IVER	MEAN	4.40	4.50	4.32	
(%)	ST.DEV.	0.06	0.29	0.06	
	И	2	2	2	0-
HYMUS	MEAN	0.24	0.26	0.28	
*)	ST.DEV.	0.04	0.00	0.02	
	И	2	2	2	0
IDNRYS	MRAN	0.71	0.79	0.75	
%)	ST.DEV.	0.10	0.07	0.01	
	N.	2	2	2	0
DRENALS	MEAN	0.021	0.023	0.021	
<b>%</b> )	ST.DEV.	0.002	0.000	0.001	
	N	2	2	2	.0
PLEEN	MEAN	0.307	0.344	0.330	
<b>%</b> }	ST.DEV.	0.017	0.011	0.029	
	n	2	2	2	O
estes	MEAN	1.20	1.23	1.18	
%)	ST.DEV.	0.11	0.05	0.00	
	N	2	2	2	0

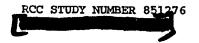


OW-SUM - 3 25-NOV-03

#### ORGAN WEIGHTS (GRAM) SUMMARY AFTER 7 DAYS FEMALES

		GROUP 1 0 NG/KG	GROUP 2 10 MG/KG	GROUP 3 100 MG/KG	GROUP 4 1000 MG/KG
ODY W.	Mean	151.86	148.22	149.96	
	ST.DEV.	1.86	3.45	6.68	
	И	2	2	2	0
EART	Hean	0.626	0.558	0.615	
	ST.DEV.	0.012	0.001	0.008	
	N	2	2	2	0
IVER	MEAN	6.80	6.33	6.89	
	ST.DEV.	0.59	0.66	0.35	
	N	2	2	2	0
HYMUS	MRAN	0.55	0.46	0.46	
	ST.DEV.	0.09	0.05	0.01	
	N	2	2	2	0
IDNEYS	Kean	1.23	1.24	1.26	
	ST.DEV.	0.09	0.01	0.03	
	N	2	2	2	D
DRENALS	Mean	0.065	0.060	0.061	
	ST.DEV.	0.006	0.004	0.007	555
	N	2	2	2	0
PLEEN	MEAN	0.400	0.496	0.489	
	ST.DEV.	0.015	0.031	0.135	
	N	2	2	0.133	

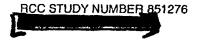
^{*/**:} Dunnett-test based on pooled variance sig. at 5% or 1% level.



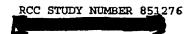
OW-SUM - 4 25-NOV-03

## ORGAN/BODY WEIGHT RATIOS SUMMARY AFTER 7 DAYS FEMALES

		GROUP 1 0 MG/KG	GROUP 2 10 MG/KG	GROUP 3 100 MG/KG	GROUP 4 1000 MG/KG
BODY W.	Mean	151.86	148.22	149.96	
(GRAM)	ST.DEV.	1.86	3.45	6.68	
	N	2	2	2	O
HEART	MEAN	0.412	0.376	0.410	
(%)	ST.DEV.	0.013	0.008	0.013	
	¥	2	2	2	0
LIVER	Mean	4.48	4.27	4.61	
(%)	ST.DEV.	0.33	0.35	0.44	
	N	2	2	2	0
CHYMUS	MEAN	0.36	0.31	0.31	
(%)	ST.DEV.	0.06	0.04	0.02	
	N	2	2	2	0
IDNEYS	KEAN	0.81	0.84	0.84	
(%)	ST.DEV.	0.05	0.02	0.02	
- <del>-</del>	N	2	2	2	0
DRENALS	MEAN	0.043	0.041	0.041	
(%)	ST.DEV.	0.003	0.004	0.003	
	n	2	2	2	0
PLEEN	Mean	0.264	0.335	0.329	
(4)	ST.DEV.	0.013	0.028	0.105	
	N	2	2	2	0



#### **INDIVIDUAL TABLES**



MORT-IND - 1 25-NOV-03

# MORTALITY DATA MALES GROUP 1 (0 MG/KG)

ANIMAL	SCHEDULED NECROPSY	SPONTANEOUS DEATH	KILLED IN EXTREMIS	TREATMENT FROM	то
1 2	24-NOV-03 24-NOV-03			17-NOV-03 17-NOV-03	23-NOV-03 23-NOV-03

RCC STUDY NUMBER 851276

MORT-IND - 2 25-NOV-03

MORTALITY DATA MALES GROUP 2 (10 MG/KG)

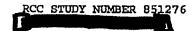
ANIMAL	SCHEDULED NECROPSY	SPONTANEOUS DEATH	KILLED IN EXTREMIS	TREATMENT FROM	то
3 4	24-NOV-03 24-NOV-03			17-NOV-03 17-NOV-03	23-NOV-03 23-NOV-03

RCC STUDY NUMBER 851276

MORT-IND - 3 25-NOV-03

#### MORTALITY DATA MALES GROUP 3 (100 MG/KG)

ANIMAL	SCHEDULED NECROPSY	SPONTANEOUS DEATH	KILLED IN EXTREMIS	TREATMENT PROM	то	
5 6	24-NOV-03 24-NOV-03			17-NOV-03 17-NOV-03	23-NOV-03 23-NOV-03	



MORT-IND - 4 25-NOV-03

MORTALITY DATA MALES GROUP 4 (1000 MG/KG)

ANIMAL	SCHEDULED NECROPSY	SPONTANEOUS DEATH	KILLED IN EXTREMIS	TREATMENT FROM	то
7		17-NOV-03	17-NOV-03	17-NOV-03 17-NOV-03	17-NOV-03 17-NOV-03

RCC STUDY NUMBER 851276

MORT-IND - 5 25-NOV-03

#### MORTALITY DATA FEMALES GROUP 1 (0 MG/KG)

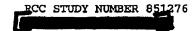
ANIMAL	SCHEDULED NECROPSY	SPONTANEOUS DEATH	KILLED IN EXTREMIS	TREATMENT FROM	то	
9 10	24-NOV-03 24-NOV-03			17-NOV-03 17-NOV-03	23-NOV-03 23-NOV-03	

RCC STUDY NUMBER 851276

MORT-IND - 6 25-NOV-03

MORTALITY DATA FEMALES GROUP 2 (10 MG/KG)

ANINAL	SCHEDULED NECROPSY	SPONTANEOUS DEATH	KILLED IN EXTREMIS	TREATMENT FROM	то	
11 12	24-NOV-03 24-NOV-03				23-NOV-03 23-NOV-03	



MORT-IND - 7 25-NOV-03

#### MORTALITY DATA FEMALES GROUP 3 (100 MG/KG)

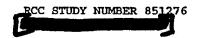
YNIWYF	SCHEDULED NECROPSY	SPONTANEOUS DEATH	KILLED IN EXTREMIS	TREATMENT FROM	то
13 14	24-NOV-03 24-NOV-03			17-NOV-03 17-NOV-03	23 - NOV - 03 23 - NOV - 03

RCC STUDY NUMBER 851276

MORT-IND - 8 25-NOV-03

MORTALITY DATA FEMALES GROUP 4 (1000 MG/KG)

ANINAL	SCHEDULED NECROPSY	SPONTANEOUS DEATH	KILLED IN EXTREMIS	TREATMENT FROM	то
15 16		17-NOV-03 17-NOV-03		17-NOV-03 17-NOV-03	17-NOV-03 17-NOV-03



FC-IND - 1 24-NOV-03

## FOOD CONSUMPTION (G/ANIMAL/DAY) MALES

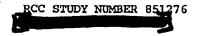
3	RETEST	TREATMENT				
Days Weeks Cage	1-8 1/2	1-3	3-5 1	5-7 1		
GROUP 1	0 MG/KG)					
1	16.6	17.9	19.8	20.6		
GROUP 2	10 MG/KG)					
2	15.4	18.2	19.8	21.0		
GROUP 3	100 MG/KG)				-	
3	18.9	19.8	22.0	24.3		
GROUP 4	1000 MG/KG)					
4	19.7					

### RCC STUDY NUMBER 851276

FC-IND - 2 24-NOV-03

## FOOD CONSUMPTION (G/ANIMAL/DAY) FEMALES

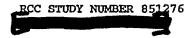
	PRETEST	TREATMENT		· · · · · · · · · · · · · · · · · · ·		 	<del>,</del>
Days Weeks Cage	1-8	1-3	3-5 1	5-7 1			
GROUP 1	(0 MG/KG)				740.		
5	12.7	12.8	14.4	15.0			
GROUP 2	(10 MG/KG)						
6	12.2	13.0	13.3	14.2			
GROUP 3	(100 HG/KG)						
7	12.8	12.8	14.3	14.9			
GROUP 4	(1000 MG/KG)						
8	15.0						



RFC-IND - 1 24-NOV-03

## RELATIVE FOOD CONSUMPTION (G/KG BODY WEIGHT/DAY) MALES

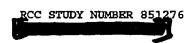
	PRETEST	TREATMENT		
Days Weeks Cage	1-8	1-3 1	3-5 1	5-7 1
GROUP 1	L (O MG/KG)			
1	112.0	92.0	96.2	95.1
GROUP 2	(10 MG/KG)			
2	103.7	90.7	93.9	94.2
GROUP 3	(100 MG/KG)			
3	122.3	94.5	99.6	103.3
GROUP 4	(1000 MG/KG)			
4	136.0			



RFC-IND - 2 24-NOV-03

# RELATIVE FOOD CONSUMPTION (G/KG BODY WEIGHT/DAY) FEMALES

	PRETEST	TREATMENT				
DAYS WEEKS CAGE	1-8	1-3 1	3-5 1	5-7 1		
GROUP :	L (O MG/KG)					
5	103.5	8,9.0	96.3	96.0		
GROUP :	(10 MG/KG)					
6	103.4	94.6	94.2	96.4		
GROUP :	(100 MG/KG)					
7	108.1	89.1	97.0	95.8		
GROUP 4	(1000 NG/KG)					
8	125.5					

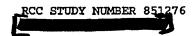


BW-IND - 1 24-NOV-03

## BODY WEIGHTS (GRAM) MALES

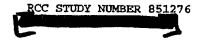
1	PRETEST 1 1	TREATMENT	3	5 1		
Days Weeks Animal		1 1			7 1	
GROUP 1	(0 MG/KG)			**		
1	151.5	180.6	193.6	203.1	212.1	
1 2	144.8	177.6	195.9	209.5	220.9	
GROUP 2	(10 MG/KG)					
3	155.2	190.3	208.1	219.7	232.9	
4	141.7	175.7	192.4	201.9	213.0	
GROUP 3	(100 MG/KG)					
5	151.3	197.1	211.6	224.4	238.3	
5 6	157.3	190.1	206.4	216.8	231.6	
GROUP 4	(1000 MG/KG)					
7	140.7	188.6				
8	149.6	196.3				





## BODY WEIGHTS (GRAM) FEMALES

I	RETEST	TREATMENT				
DAYS	1 1	1 1	3	5 1	7	
weeks Animal	1	1	1	1	1	
GROUP 1	(0 MG/KG)					
9	123.7	136.2	145.6	149.8	156.8	
10	121.0	134.4	142.8	148.3	154.6	
GROUP 2	10 MG/KG)					
11	117.2	129.0	140.9	143.4	151.5	_
1.2	117.9	126.0	134.0	137.9	143.7	-
GROUP 3 (	100 MG/KG)					
13	119.3	138.2	144.8	150.9	157.2	
14	117.4	133.6	143.1	144.6	154.0	
ROUP 4	1000 HG/KG)					
15	117.4	139.8				
16	121.1	141.1				



MAC-IND - 1 25-NOV-03

# MACROSCOPICAL FINDINGS MALES GROUP 1 (0 MG/KG)

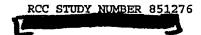
ANIMAL 1

(SCHEDULED NECROPSY, 24-NOV-2003)

NO FINDINGS NOTED

ANIMAL 2

(SCHEDULED NECROPSY, 24-NOV-2003)



MAC-IND - 2 25-NOV-03

MACROSCOPICAL FINDINGS MALES GROUP 2 (10 MG/KG)

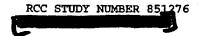
ANIMAL 3

(SCHEDULED NECROPSY, 24-NOV-2003)

NO FINDINGS NOTED

ANIMAL 4

(SCHEDULED NECROPSY, 24-NOY-2003)



MAC-IND - 3 25-NOV-03

MACROSCOPICAL FINDINGS MALES GROUP 3 (100 MG/KG)

ANIMAL 5

(SCHEDULED NECROPSY, 24-NOV-2003)

NO FINDINGS NOTED

ANIMAL 6

(SCHEDULED NECROPSY, 24-NOV-2003)

RCC STUDY NUMBER 851276

MAC-IND - 4 25-NOV-03

MACROSCOPICAL FINDINGS MALES GROUP 4 (1000 MG/KG)

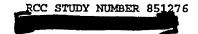
ANIMAL 7

(KILLED IN EXTREMIS, 17-NOV-2003)

NO FINDINGS NOTED

ANIMAL 8

(SPONTANEOUS DEATH, 17-NOV-2003)



MAC-IND - 5 25-NOV-03

# MACROSCOPICAL FINDINGS FEMALES GROUP 1 (0 MG/KG)

ANIMAL 9

(SCHEDULED NECROPSY, 24-NOV-2003)

NO FINDINGS NOTED

ANIMAL 10

(SCHEDULED NECROPSY, 24-NOV-2003)

BCC STUDY NUMBER 851276

MAC-IND - 6 25-NOV-03

#### MACROSCOPICAL FINDINGS FEMALES GROUP 2 (10 MG/KG)

ANIMAL 11

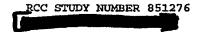
(SCHEDULED NECROPSY, 24-NOV-2003)

NO FINDINGS NOTED

ANIMAL 12

(SCHEDULED NECROPSY, 24-NOV-2003)

KIDNEYS..... BOTH SIDES: PELVIC DILATION.



MAC-IND - 7 25-NOV-03

MACROSCOPICAL FINDINGS FEMALES GROUP 3 (100 MG/KG)

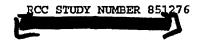
ANIMAL 13

(SCHEDULED NECROPSY, 24-NOV-2003)

NO FINDINGS NOTED

ANIMAL 14

(SCHEDULED NECROPSY, 24-NOV-2003)



MAC-IND - 8 25-NOV-03

#### MACROSCOPICAL FINDINGS FEMALES GROUP 4 (1000 MG/KG)

ANIMAL 15

(SPONTANEOUS DEATH, 17-NOV-2003)

NO FINDINGS NOTED

ANIMAL 16

(SPONTANEOUS DEATH, 17-NOV-2003)

THYMUS..... FOCUS/FOCI, ISOLATED, D=1 kM, DARK RED.

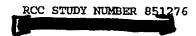
# RCC STUDY NUMBER 851276

OW-IND - 1 25-NOV-03

ORGAN WEIGHTS (GRAM) AFTER 7 DAYS MALES

## GROUP 1 (0 MG/KG)

animal Number	BODY W.	HEART	LIVER	THYMUS	KIDNEYS	ADRENALS	SPLEEN	TESTES
1 2	210.32 225.55	0.764 0.759	9.18 10.02	0.43 0.61	1.35	0.041 0.050	0.620 0.719	2.67
GROU	P 2 (1	.0 MG/	KG)					
ANIMAL NUMBER	BODY W.	HEART	LIVER	THYMUS	KIDNEYS	ADRENALS	SPLEEN	TESTES
3	236.10	0.893	11.10	0.63	1.98	0.055	0.795	2.98
4	210.00	0.731	9.01	0.55	1.56	0.049	0.739	2.51
-	P 3 (1			0.55 THYMUS	1.56	0.049	0.739	2.51
GROUI	P 3 (1	00 MG	/KG)					
GROUI ANIMAL NUMBER  5 6	P 3 (1	0.804 0.799	/KG) LIVER 10.35 9.69	THYMUS	RIDNEYS	ADRENALS	SPLREN	TESTES 2.81

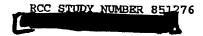


OW-IND - 2 25-NOV-03

# ORGAN/BODY WEIGHT RATIOS (%) AFTER 7 DAYS MALES

#### GROUP 1 (0 MG/KG)

animal Number	BODY W. (GRAM)	HEART	LIVER	THYMUS	KIDNEYS	ADRENALS	SPLEEN	TESTES
1 2	210.32 225.55	0.363 0.337	4.36	0.21 0.27	0.64 0.78	0.019 0.022	0.295 0.319	1.27
GROU	P 2 (1	.0 MG/	KG)					
ANINAL NUMBER	BODY W. (GRAM)	HEART	LIVER	THYMUS	KIDNEYS	adrenals	SPLEEN	TESTES
3 4	236.10 210.00	0.378 0.348	4.70 4.29	0.27 0.26	0.84 0.74	0.023 0.023	0.337 0.352	1.26
GROU	P 3 (1	.00 MG	/KG)	anna de Carantina de Carantina de Carantina de Carantina de Carantina de Carantina de Carantina de Carantina d				·
animal Number	BODY W. (GRAM)	HEART	LIVER	THYMUS	KIDNEYS	adrenals	SPLEEN	TESTES
5 6	237.57 226.34	0.339 0.353	4.36 4.28	0.26 0.29	0.74 0.75	0.021 0.022	0.350 0.310	1.18
GROU:	P 4 (1	OOO M	G/KG)	THYMUS	KIDNEYS	ADRENALS	SPLERN	TESTES
7								

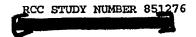


OW-IND - 3 25-NOV-03

#### ORGAN WEIGHTS (GRAM) AFTER 7 DAYS FEMALES

## GROUP 1 (0 MG/KG)

ANIMAL NUMBER	BODY W.	HEART	LIVER	THYMUS	KIDNEYS	ADRENALS	SPLEEN	
9	150.54 153.17	0.635 0.618	6.38 7.22	0.48 0.62	1.17	0.061	0.411 0.390	
GROU	P 2 (1	.0 MG/	KG)					
ANIMAL NUMBER	BODY W.	HBART	LIVER	THYMUS	KIDNEYS	ADRENALS	SPLEEN	
11 12	150.66 145.78	0.558 0.557	6.80 5.86	0.42 0.49	1.24	0.057 0.063	0.474 0.518	<del></del>
GROU:	P 3 (1	00 MG	/KG)					
aninal Number	BODY W.	HEART	LIVER	THYMUS	KIDNEYS	ADRENALS	SPLEEN	
13	145.23 154.68	0.609 0.620	7.14 6.65	0.47 0.46	1.24	0.056 0.067	0.585 0.394	
14								
	? <b>4</b> (1	000 M	G/KG)					
	9 4 (1	000 MO	G/KG)	THYNUS	KIDNEYS	ADRENALS	epleen	



# ORGAN/BODY WEIGHT RATIOS (%) AFTER 7 DAYS FEMALES

#### GROUP 1 (0 MG/KG)

ANIMAL NUMBER	BODY W. (GRAM)	HEART	LIVER	THYMUS	KIDNEYS	ADRENALS	SPLEEN
9	150.54 153.17	0.422 0.403	4.24	0.32 0.40	0.77 0.84	0.040 0.045	0.273 0.254

## GROUP 2 (10 MG/KG)

animal Number	BODY W. (GRAN)	HEART	LIVER	THYMUS	KIDNEYS	ADRENALS	SPLEEN	
11 12	150.66 145.78	0.371 0.382	4.51	0.28	0.82 0.85	0.038 0.043	0.315 0.355	

#### GROUP 3 (100 MG/KG)

ANIMAL	BODY W. (GRAN)	HEART	LIVER	THYMUS	KIDNEYS	adrenals	SPLEEN
13	145.23 154.68	0.419 0.401	4.92 4.30	0.32	0.85 0.83	0.039 0.043	0.403 0.255

## GROUP 4 (1000 MG/KG)

ANIMAL NUMBER	BODY W. (GRAN)	HEART	LIVER	TEYMUS	Kidneys	ADRENALS	SPLEEN
15 16			•••				